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# The Multi-Source National Forest Inventory of Finland — methods and results 2017 and 2019

Kai Mäkisara, Matti Katila and Jouni Peräsaari

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## Abstract

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This report presents the methods and results of the Finnish multi-source forest inventory corresponding to years 2017 and 2019. In addition to field data, satellite images, digital map data and other georeferenced data were used. The main purpose of the article is to make multi-source forest inventory results available for the users and to help the users to understand the principles of the methods and advantages and limitations of the products. The field data originate from the 11th, 12th and 13th Finnish National Forest Inventory from years 2012 to 2019. The field data have been computationally updated to the date 31 July 2017 or 31 July 2019. The satellite images were from years 2017 and 2018 (two frames) for the 2017 product and years 2018 and 2019 for the 2019 product. The basic features of the improved k-NN, ik-NN, estimation method are described. A new image window-based calibration step has been added to processing of some themes.

The results are presented by region (maakunta) and within the regions by municipality, the boundaries as on 1.1.2018 or 1.1.2020. The estimates are given, for example, for land areas, areas of tree species dominance, age, and development classes of stands and often separately for forests available for wood supply. The mean volume and total volume estimates are given in many different ways: by tree species and by timber assortments for forest land, and combined forest land and poorly productive forest land and also for forests available for wood supply, as well as by age and development classes. The biomass estimates are given, in addition to the total biomass estimates, by tree species groups in young thinning stands in which the first commercial thinning was proposed for the first 5-year period, separately for stem and bark and branches and foliage. The biomass estimates of mature forests are presented separately for branches, foliage and stem residuals, and stumps and large roots by tree species groups. These biomass estimates are given separately for land available for wood supply.

In addition to the tabular results, numerical forest resource maps have been computed for 44 themes. The themes include the same variables than in the tables, but the estimation unit is a pixel. Estimates for arbitrary larger units can be computed from the raster maps. Some of the differences between the tabulated results and similar results computed from the maps are discussed in the report.

**Keywords:** multi-source forest inventory, national forest inventory, remote sensing, satellite images, genetic algorithm, k-nearest neighbours, small-area estimation, stratification, statistical calibration

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## List of abbreviations

k-NN	k-Nearest Neighbour method
ik-NN	Improved k-NN method
NFI	National forest inventory
MS-NFI	Multi-source national forest inventory
MS-NFI-2017	Multi-source national forest inventory for 2017
MS-NFI-OA-2017	Open access MS-NFI-2017
MS-NFI-2019	Multi-source national forest inventory for 2019
MS-NFI-OA-2019	Open access MS-NFI-2019
Sentinel-2A/B	European remote sensing satellites in the Copernicus program
Sentinel-2 MSI	MultiSpectral Instrument, the optical imager on board the Sentinel-2
Landsat	Land satellite (NASA/USGS)
Landsat OLI	Operational Land Imager, a high-resolution multispectral imaging system on board the Landsat-8 satellite.
NLS	National Land Survey of Finland
DEM	Digital elevation model
RMSE	Root mean square error
SE	Standard error
FRYL	Forestry land, in MS-NFI covers forest land, poorly productive forest land, and unproductive forest land
FPPF	Forest and poorly productive forest land

# 1. Introduction

The Multi-Source National Forest Inventories (MS-NFI) in Finland use numerical raster data to extend the results of the field-data based inventories (NFI) to smaller units of area. The accuracy of the results decreases when the size of the unit decrease, but the results have still been found useful in research and in many practical applications. The methods used in MS-NFI enable computation of both raster maps and statistics for areas like the municipalities in the same process. The statistics are not computed directly from the rasters: the method uses intermediate results from computation of rasters to avoid problems encountered if the statistics are computed from the final raster results.

The main source of small area data in the MS-NFI is medium-resolution satellite images, e.g., data from the Sentinel-2A/B satellites of European Space Agency (ESA) and the Landsat 8 satellite of United States Geological Survey (USGS). This kind of data is nowadays freely available and it is possible to obtain a (nearly) full cloud-free coverage over Finland each year. This means that the results represent the state of the forest within a small time interval. This differentiates MS-NFI from several other remote sensing based estimates, where collecting the data takes a longer time (e.g., estimates based on airborne LIDAR data).

This report describes both the methods used and the results from the two most recent MS-NFI data sets, namely the result sets targeted for years 2017 and 2019. The results include both pixel-wise estimates and statistics for the municipalities. The inventories are called MS-NFI-2017 and MS-NFI2019 throughout this article. This report is meant to be self-sufficient for understanding these versions of the results, but this means that there is a lot of overlap with publications describing the previous versions (e.g., Tomppo et al. 2013, 2014, Mäkisara et al. 2016, Mäkisara et al. 2019). A more thorough description of the background is in the book by Tomppo et al. (2008b) describing the results based on the ninth National Forest Inventory (NFI, 1996–2003).

The first NFI was carried out in Finland from 1921 to 1924. The 11<sup>th</sup> inventory began in 2009 and the field measurements were completed in 2013. The 12th inventory started in 2014 and the measurements were completed in 2018. The 13th inventory was started in 2019. Field data from the three latest inventories has been used in the work reported here. The latest field data for Northern Lapland is from the 11<sup>th</sup> inventory and it is used for the northernmost municipalities.

Based on the information from sample plots, estimates can be made for the entire country, or regions within a country, with a minimum size of about 300 000–500 000 hectares, depending on the forest parameter. The densities of plots are high enough to ensure that the resulting sampling errors are low for core variables, such as areas of land classes and the volume of growing stock. The estimates of forest parameters are currently presented by regions (maakunta). Finland is divided into 19 regions (see Fig. 1.1). The forestry land areas of the regions vary from 117 000 hectares (Åland) to 9 million hectares (Lapland) (Korhonen et al. 2017).

The development of the Finnish multi-source national forest inventory (MS-NFI) began in the Forest Research Institute of Finland (Metla) in 1989, and the first operative results were calculated in 1990 (Tomppo 1990, 1991, 1996, 2006b). The MS-NFI was introduced during the 8th rotation of NFI (1986–1994) in the Pohjois-Savo region of the Public Service Unit of the Finnish Forest Centre (see Fig. 1.2). The first results for the entire country were published in 1998 (Tomppo et al. 1998). The second country level results were published in 2008 (Tomppo et al. 2008b), the third results corresponding year 2005 and covering South and Central Finland

(Tomppo et al. 2009a), and the fourth results corresponding to year 2007 and covering the entire country except Åland and the Northernmost Lapland (Tomppo et al. 2012). After this, results have been produced to correspond to a certain year, 2009 (Tomppo et al. 2013) (Northernmost Lapland excluded), 2011 (Tomppo et al. 2014), 2013 (Mäkisara et al. 2016), 2015 (Mäkisara et al. 2019), and now for 2017 and 2019. In total, ten sets of country level results have been computed.

The progress of the Finnish NFI was changed somewhat for NFI10 (2004–2008). From the fifth to the ninth inventory, the measurements proceeded by region each year. In NFI10, the scheduling was changed so that one fifth of the plots were measured each year (excluding Åland and Northern Lapland, where measurements were completed within one or two years). At the same time, the inventory rotation was shortened to 5 years, or nearly half of its previous rotation duration. This change made it possible to compute the basic forest resource estimates annually for the entire country, both from field data and in MS-NFI. In the NFI plans, MS-NFI results were decided to be calculated every second year. The new approach to progress set some additional challenges for the MS-NFI, e.g., field measurements from several years can and must be employed.

For MS-NFI, methods were sought that would provide area and volume estimates, possibly broken down into subclasses, such as tree species, timber assortments, and stand-age classes. Since NFI10, the estimates of potential wood energy biomass from forests have been produced. In the optimal case, the MS-NFI method should be able to provide estimates for small areas as accurate as the field-based method provides estimates at national and regional levels. Since the first implementation of this method, it has been modified continuously and new features have been added (Katila et al. 2000, Katila & Tomppo 2001, 2002, Tomppo et al. 2009a). The core of the current Finnish MS-NFI method is presented in (Tomppo & Halme 2004) as well as in (Tomppo et al. 2008b). A multi-temporal data fusion combining MS-NFI estimators at municipality from three time points was tested as a means to improve single time point MS-NFI estimates resulting small but, however, consistent improvement (Katila and Heikkinen 2020). Post-stratification (PS) based results of NFI field data employing exogenous MS-NFI maps based on the previous NFI have been tested for regions (Haakana et al. 2019) and municipalities (Haakana et al. 2019). Post-stratification allows for the design-based variance estimation. For the smallest municipalities the estimates may not be reliable (Haakana et al. 2020). Post-stratified results by regions and municipalities are available at the Luke website (in Finnish only) <https://vmilapa.luke.fi/>.

Somewhat similar methods to the Finnish MS-NFI, which combine field data and satellite images, have been developed and employed or tested in a several other countries like Sweden (Nilsson 1997, Reese et al. 2002, 2003, Hagner & Olsson 2004, Wallerman et al. 2021), USA (Franco-Lopez et al. 2001, McRoberts et al. 2002a,b, McRoberts 2006), Norway (Gjertsen 2005), Austria (Koukal et al. 2005), New Zealand (Tomppo et al. 1999), China (Tomppo et al. 2001), Germany (Diemer et al. 2000) and Italy (Maselli et al. 2005). Tomppo et al. (2008b) and Chirici et al. (2016) give a lists of references. The Swedish k-NN product is used for a multitude of purposes, as well as a basis for post-stratification to produce the official Swedish forest statistics, see also (Tomppo et al. 2008b). McRoberts et al. (2002a), McRoberts et al. (2002b) and Haakana et al. (2019) also applied k-NN products to post-stratified estimation. Other examples of the development work in USA are the studies by McRoberts (2006) and McRoberts et al. (2007), who presented a model-based approach to derive k-NN error estimators for a group of pixels at an arbitrary size, Finlay et al. (2006) and Finlay and McRoberts (2008), who presented two methods of increasing the efficiency of the k-NN search. A review of using remote sensing data in NFIs is presented by McRoberts et al. (2010a), McRoberts et al. (2010b) and Kangas et



al. (2018). An error estimation method based on bootstrapping is presented by McRoberts et al. (2011) and a BRR resampling method by Magnussen et al. (2010).

To better use the field data from several years, the method for making MS-NFI-2011 was improved and the same basic method has been used since then. Instead of just removing from the ground data the field plots that have changed between imaging and field work, the ground data have been updated to a set date (31 July 2017 or 31 July 2019 for these inventories). The forest variables at plots that have been cut (or otherwise radically changed) have been updated to the new state. All of the field data have been computationally updated so that the total volume matches the total volume estimated from the field plots for each processing window for year 2017 or 2019. The method is described in more detail in Section 3.2.3.

Note that this change affects the difference between MS-NFI-2011 or later and MS-NFI-2009 or earlier. With the old method, the mean field data correspond roughly to the midpoint of the field data interval. With the new method, the field data correspond roughly to the end of the field data interval. This means that, for example, the difference between MS-NFI-2009 and MS-NFI-2011 corresponds to a longer time span than two years.

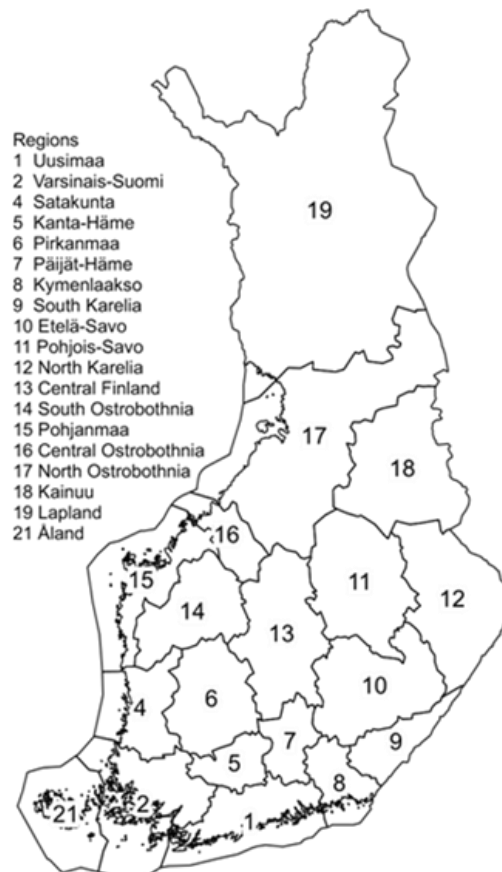
The level of several variables has been calibrated from MS-NFI-2015 Mäkisara et al. (2019), where calibration was performed separately for each processing window. For the 2017 inventory, the method has been improved by computing the calibration parameters for all windows together (see Section 3.4.6). This change has made the accuracy more consistent within the regions.

The main users of the MS-NFI results, municipality level estimates and forest resource maps are the forestry authorities at the Finnish Forest Centre, forest industries and forest environment researchers. More details of the uses are given in Tomppo et al. (2008a, 2008b, 2012, 2013). The number of users has increased after the map form estimates from MS-NFI-2009 were made publicly available in November 2012. The maps from MS-NFI-2017 have been added to the publicly available data sets in July 2019 and the MS-NFI-2019 images in April 2021. The publicly available data sets are also part of the EU INSPIRE data from Finland.

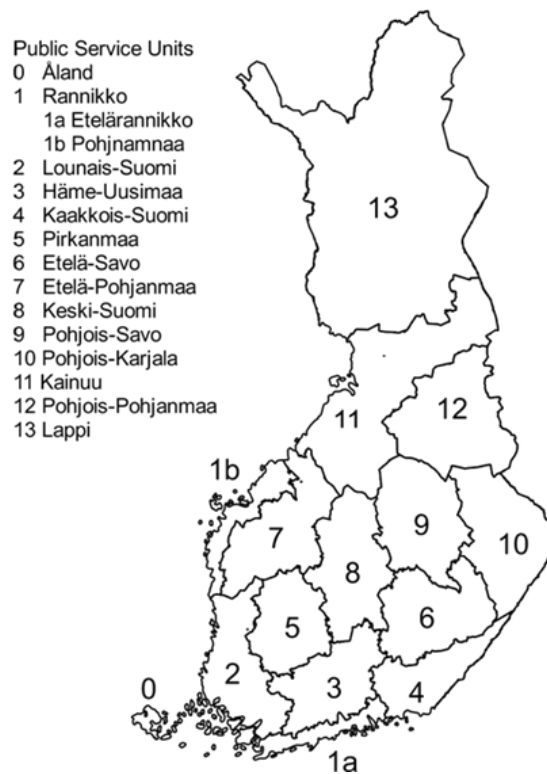
The spatial MS-NFI data sets are available free of charge. They can be viewed at <https://www.paikkatietoikkuna.fi/web/en>, Paikkatietoikkuna (2018) and downloaded from <https://kartta.luke.fi/index-en.html> (Luke 2018). The data is also available as an Atom Feed (INSPIRE download service, <https://kartta.luke.fi/inspireatom/mvmi.xml>). These open access data sets include earlier data at pixels where the most current data is not available (see Section 4.6 for details). These data sets are called here open access MS-NFI-2017 and MS-NFI-2019, abbreviated as MS-NFI-OA-2017 and MS-NFI-OA-2019. The municipality level results are available in electronic form as an appendix of this report. In the appendix the municipalities are grouped by region.

The results computed using field data, the municipality statistics and results computed from the thematic maps may seem contradictory. Often the reason is that the results don't actually answer the same question: they are not describing exactly the same area. This report includes some examples of results computed from MS-NFI-2019 and explanations of the differences.

Computing the change in forest variables during time is often based on differences between MSNFI results at two timepoints. The differences result from real differences of the variables and different kinds of error in the two MS-NFI results at the locations in question. This report includes a comparison between the statistics computed from MS-NFI-2019 and MS-NFI-2017 at the level of regions.



**Figure 1.** The regions (maakunta) of Finland. Digital map data: contains data from the National Land Survey of Finland general map 1:4.5 M 06/2015 and municipal division 1:4.5 M 01/2018.



**Figure 2.** The Public Service Units of the Finnish Forest Centre and the Åland region 1.1.2013. Digital map data: ©National Land Survey of Finland, licence No. MML/VIR/MYY/328/08.

## 2. Materials

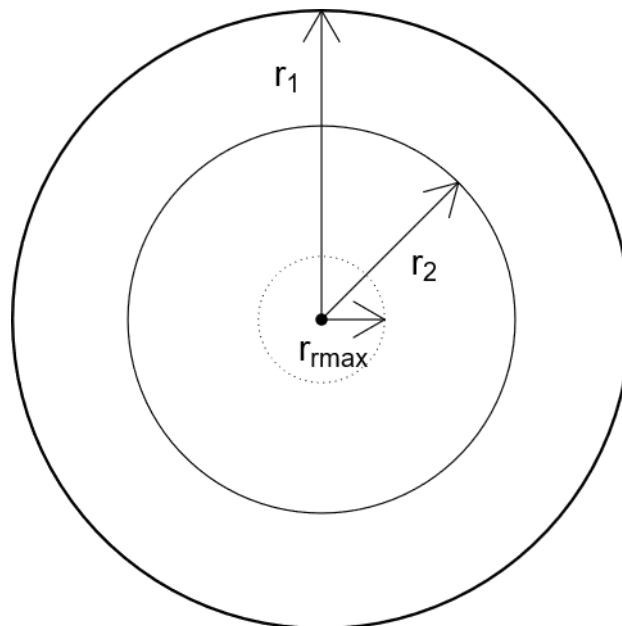
### 2.1. Field data

For MS-NFI-2017, field sample plots from NFI11 from year 2013 and from NFI12 from 2014 to 2017 were used together with field plots from Northern Lapland from years 2012 and 2013 (NFI11). The total number of plots was 77 559 plots. Of these, 65 909 plots were on land, 54 525 on forestry land (including forestry roads) and 54 023 plots on the combined forest land, poorly productive forest land and unproductive land.

For MS-NFI-2019, sample plot data from NFI12 plots from 2015 to 2018 and NFI13 plots from 2019, together with the plots in Northern Lapland from NFI11 were used. The total number of plots was 74 472 plots. Of these, 64 061 plots were on land, 53 139 on forestry land (including forestry roads) and 52 650 plots on the combined forest land, poorly productive forest land and unproductive land.

The Finnish national forest inventory is a sampling-based inventory. The sample plots are arranged into clusters. The field measurements and assessments of the NFI are carried out on the field sample plots and, on those forest stands that include at least a part of a field plot. The field sample plot is also a unit in the field data-based estimation (Tomppo 2006a, Tomppo et al. 2011a, Korhonen et al. 2017).

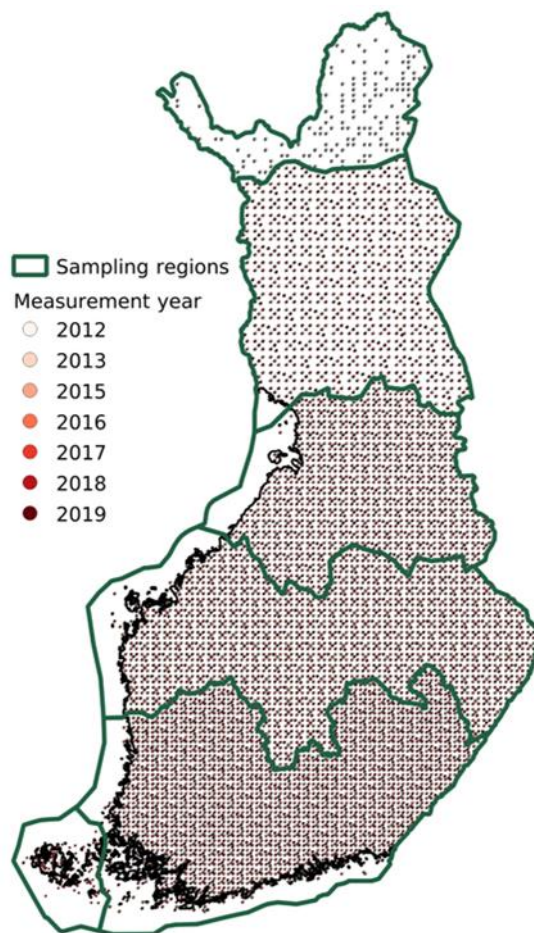
The field sample plot of NFI has been an angle count plot (Bitterlich) plot since NFI5 and was also in NFI11. In the angle count plots, the distance where a tree is included depends on the diameter of the tree. A maximum distance from the centre point of the plot to the trees to be included into the plot was introduced during NFI8 in North Finland in 1991. The maximum distance detracts very little from the reliability of the estimates but decreases the amount of field work and also reduces possible errors caused by unobserved trees (Korhonen et al. 2017).



**Figure 3.** A sample plot of NFI 12 and NFI13

For NFI12, the field plot type was changed. A fixed radius plot (Fig. 3) is used, where all trees with diameter  $\geq 95$  mm are measured up to distance  $r_1$  of 9 meters. In addition to this, trees with diameter  $\geq 45$  mm are measured up to distance  $r_2$  of 5.64 meters. Small trees with diameter  $< 45$  mm are measured using a relascope plot with relascope factor 1.5. The maximum radius  $r_{max}$  of the relascope plot is 1.84 meters. For NFI13, the maximum distance  $r_2$  for trees with diameter between 45–95 mm was changed to 4.00 meters.

The basic principles of NFI11, NFI12 and NFI13 designs are similar to those of NFI10 and NFI9 (Tomppo 2009a). The country is divided into six sampling regions, shown in Figure 4 together with the sample plots used in MS-NFI-2019. Åland was further subdivided into 2 regions in NFI11 because an Airborne Laser Scanning (ALS) inventory using NFI sample plots was also performed in the central part (Åland 1, Table 1) using the same field data. This required higher sampling density in that area than is needed for just NFI.



**Figure 4.** NFI layout of clusters and the six geographic regions with different sampling intensities, NFI11 2012–2013, NFI12 2015–2018, NFI13 2019 used in MS-NFI-2019. Contains map data from the National Land Survey of Finland Municipal Division dataset 03/2021 and General Map 3/2018.

Except in Northern Lapland in both MS-NFI-2017 and MS-NFI-2019 (NFI11) and Åland in MS-NFI-2019 (NFI12), the sampling structure can be explained using repeating blocks of clusters in a systematic grid. Each block consists of permanent clusters (that can't be moved between inventories) and temporary clusters (that are moved between inventories). Each cluster consists of a number of sample plots. The number of plots in a cluster and the cluster structure should enable measurement of one cluster in a single workday. As an example, the block structure

used in Central Finland in NFI11 is shown in Fig. 5. The block parameters for the different regions in NFI11 and NFI12 are shown in Table 1 for MSNFI-2017 and in Table 2 for MS-NFI-2019. These parameters include the nominal representativeness (hectares/plot) that describes the density of the plots in each sampling region.

Stratified sampling was used in Northern Lapland. Based on previous MS-NFI results, the region was divided into six strata. All clusters consisted of nine plots. The number clusters and the locations of the clusters were optimised base on MS-NFI estimates and other data (Korhonen et al. 2017). The representativenesses of plots in each stratum varied from 1048 to 7208 hectares per plot.

The inventory in Åland has been carried out during one year in both NFI11 and NFI12. In NFI11 (used in MS-NFI-2017), the field work was done in year 2013 and in NFI12 (used in MS-NFI-2019) in year 2018.

In NFI12, the sampling design for temporary plots in Åland was based on the Local Pivotal Method (LPM) Rätty et al. (2019). The measurements were done in year 2018. In LPM, the number of clusters and the locations of the clusters are optimized using auxiliary data (in this case, raster data from MSNFI-2007, (Tomppo et al. 2012)) to increase the efficiency of the design. The cluster size was five plots and the resulting density for the plots was slightly higher than the density in Southern Finland.

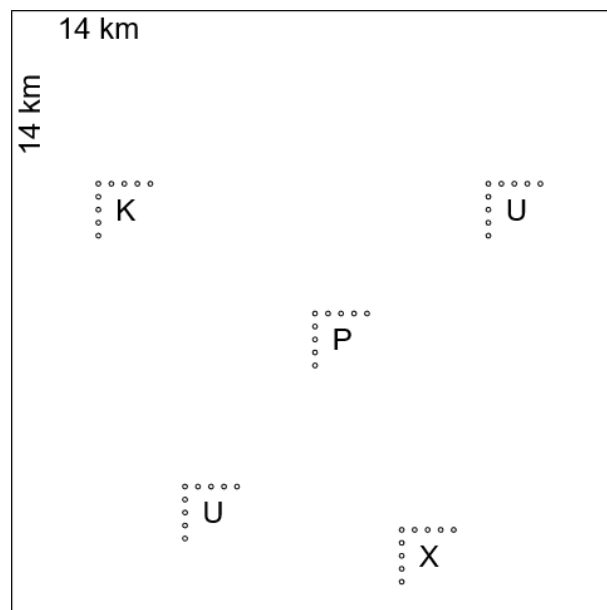
**Table 1.** Sampling parameters for the repeating blocks in the different regions in MS-NFI-2017.

NFI	region	distance (km)	perm clust		temp clust		hectares / plot
			clust	plots	clust	plots	
11	Åland 1	12	1	10	15	9	99
11	Åland 2	12	1	10	9	9	158
11	South	12	1	10	4	9	313
11	Central	14	1	14	4	11	338
11	Kainuu-PP	14	1	11	4	9	417
11	South Lapland	20	1	11	3	12	851
12	Southern	12	1	10	4	8	343
12	Central	14	1	14	4	9	392
12	Kainuu-PP	14	1	11	4	8	456
12	South Lapland	20	1	11	4	10	784

**Table 2.** Sampling parameters for the repeating blocks in the different regions in MS-NFI-2019.

NFI	region	distance (km)	perm clust		temp clust		hectares / plot
			clust	plots	clust	plots	
12	Åland	LPM		10		5	261
12	Southern	12	1	10	4	8	343
12	Central	14	1	14	4	9	392
12	Kainuu-PP	14	1	11	4	8	456
12	South Lapland	20	1	11	4	10	784
13	Southern	12	2/1	8/10	2	8	343
13	Central	14	2/1	9	2	9	436
13	Kainuu-PP	14	2/1	8/11	2	8	456
13	South Lapland	20	2/1	10/11	2	10	784

The tree level stem volumes on the field sample plots are converted to volumes per hectare in the MS-NFI using the expansion factor. After this, the different plot structure in NFI11, NFI12 and NFI13 is not visible in the computation. Volumes per hectare are estimated for each sample plot by tree species and by timber assortment classes based on the tally tree volumes. The estimation of volumes and volumes of timber assortments for tally trees from field measurements is described in Tomppo et al. (2011a). Otherwise, the field variables used are similar to those in the NFI calculations that use field data only. Biomass estimates were calculated for each field plot by tree species groups and by tree compartments. The biomass estimates can be used for assessing carbon balance of forests in small areas, and for energy wood estimation.



**Figure 5.** Sampling design for Central Finland in NFI12. The clusters denoted by 'K' are temporary clusters. The other clusters are permanent clusters created in different inventories (P in NFI9, U in NFI12 and X in NFI13).

## 2.2. The satellite images

High-resolution (about 10–30 metres pixel size) multispectral satellite images were used in the operative application. Large coverage and good availability with reasonable price, or free of charge, were additional selection criteria. Based on these requirements, the Landsat 8 OLI sensor was long the most suitable one for this application. The EU/ESA Sentinel-2A satellite was launched in 2015 and Sentinel-2B was launched in 2017. Data from both of these new satellites were available for both inventories, in addition to Landsat 8 data.

A suitable imaging season for forest inventory purposes in Finland is from mid-May until the end of August, with the optimal time being from early June until the end of July. Even with three satellites, because of clouds, it was not possible to obtain complete cloud-free coverage for whole Finland during one year. Some data from the previous year had to be used to fill gaps.

The Sentinel-2 data is provided in 100 km by 100 km tiles in the UTM coordinate system without cost (Sentinel-2 2021). The image data for MS-NFI is assembled from the tiles covering relatively cloud-free areas within single overflights. Most of the tiles over Finland are in UTM zone 35, but some are in zones 34 or 36.

The Landsat 8 data is provided from USGS (U.S. Geological Survey) without cost (Landsat 2021). The overflights are divided into frames (the WRS-2 system) and orthorectified by USGS to UTM zones 34, 35, and 36, as appropriate.

Where possible, adjacent satellite image frames or tiles from same path and date were combined to increase the number of field plots within image and to simplify processing.

Both Sentinel-2 and Landsat 8 data were provided in system corrected format or atmospherically corrected format. The atmospherically corrected versions were tested but contained artefacts. Because of this, the system corrected images were used in both inventories.

For MS-NFI-2017, the target year of image acquisition was 2017. The satellite images used include data from 13 Sentinel-2A/B overflights (86 tiles) and seven Landsat 8 overflights (20 frames) (Table 3, Fig. 6). Two of the Sentinel-2A overflights were from year 2018, the other 11 overflights of Sentinel-2A and Sentinel-2B were from year 2017. All of the seven Landsat 8 OLI overflights (20 frames) were from year 2017. One of the overflights was split into two parts for processing.

**Table 3.** List of satellite images used in the MS-NFI-2017: image index number in Fig. 6, left), satellite sensor, path/row, acquisition dates of images and number of image tiles/frames in one image.

Image No. (Fig. 6)	Sensor	Path/Row	Date	No. of image tiles/frames
1	Sentinel-2A MSI	022	03062017	8
2	Sentinel-2A MSI	036	04062017	4
3	Sentinel-2A MSI	079	07062017	8
4	Sentinel-2A MSI	022	13062017	3
5	Sentinel-2A MSI	079	02072018	8
6	Sentinel-2B MSI	036	09072017	10
7	Sentinel-2A MSI	122	15072018	6
8	Sentinel-2B MSI	122	25072017	14
9	Sentinel-2B MSI	122	14082017	3
10	Sentinel-2B MSI	136	15082017	4
11	Sentinel-2B MSI	022	17082017	6
12	Sentinel-2B MSI	065	30082017	4
13	Sentinel-2A MSI	079	05092017	8
14	Landsat 8 OLI	186/16to18	16052017	3
15	Landsat 8 OLI	188/14to17	15062017	4
16	Landsat 8 OLI	188/14to17	01072017	4
17	Landsat 8 OLI	190/11to12	16082017	2
18	Landsat 8 OLI	190/17to18	28052017	2
19	Landsat 8 OLI	192/11to13	28052017	3
20	Landsat 8 OLI	195/11to12	04092017	2

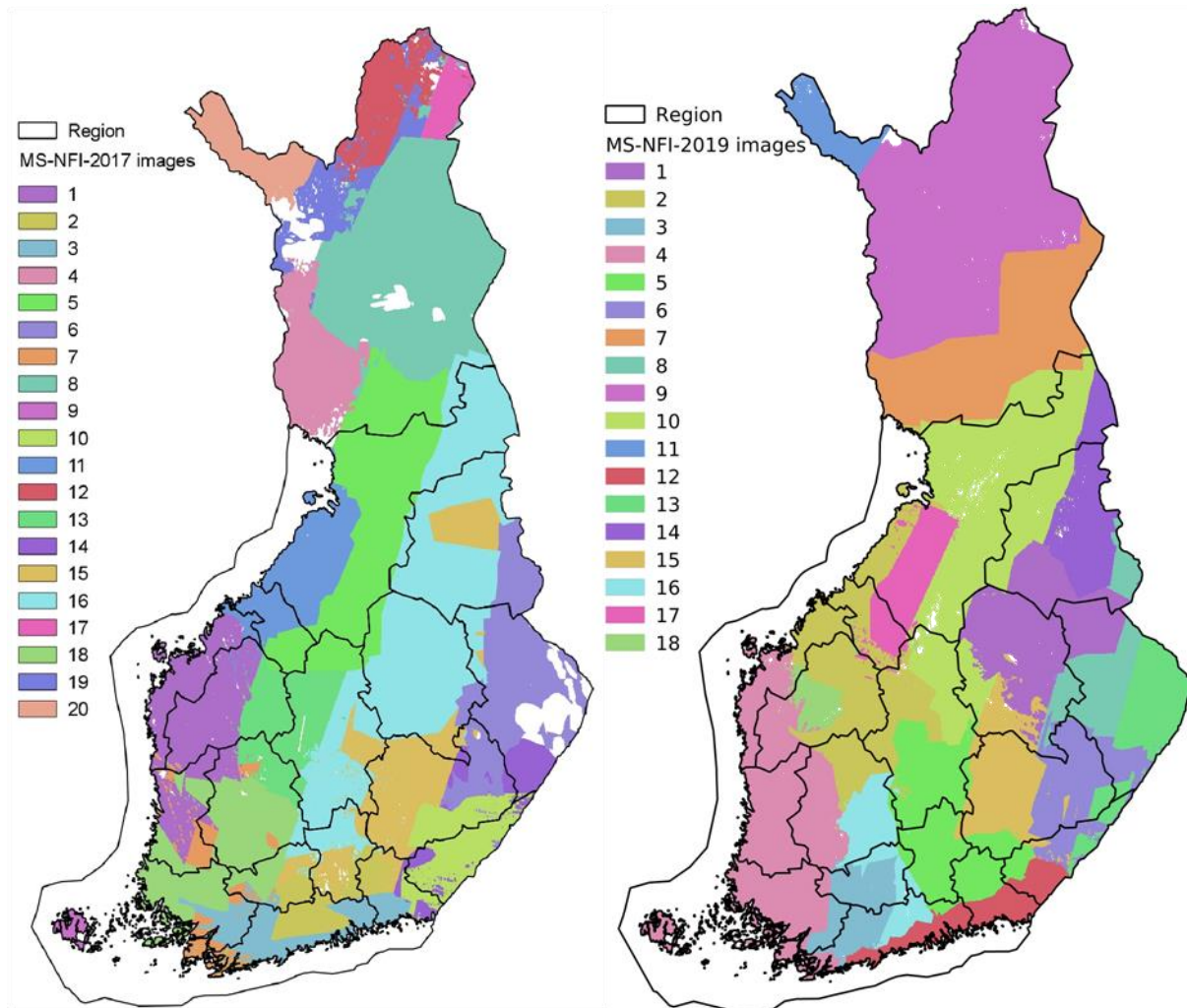


**Table 4.** List of satellite images used in the MS-NFI-2019: image index number in Fig. 6, right), satellite sensor, path/row, acquisition dates of images and number of image tiles/frames in one image.

Image No. (Fig. 6)	Sensor	Path/Row	Date	No. of image tiles/frames
1	Sentinel-2A MSI	036	14062019	10
2	Sentinel-2A MSI	122	30062019	11
3	Sentinel-2A MSI	122	10072019	2
4	Sentinel-2A MSI	122	20072019	12
5	Sentinel-2A MSI	079	06082019	8
6	Sentinel-2B MSI	136	06062019	5
7	Sentinel-2B MSI	122	15062019	6
8	Sentinel-2B MSI	136	16062019	7
9	Sentinel-2B MSI	022	08072019	15
10	Sentinel-2B MSI	122	25072019	17
11	Sentinel-2B MSI	008	27072019	4
12	Sentinel-2B MSI	036	28082019	5
13	Landsat 8 OLI	185/16to17	16062019	2
14	Landsat 8 OLI	187/14to15	02092019	2
15	Landsat 8 OLI	187/16to17	30062019	2
16	Landsat 8 OLI	189/17to18	28062019	2
17	Landsat 8 OLI	191/15to16	28072019	2
18	Sentinel-2B MSI	122	10072018	3

For MS-NFI-2019, the target year of image acquisition was 2019. MS-NFI-2019 used data from 18 satellite overflights (see Table 4 and Figure 6), 13 overflights of Sentinel 2A/B and five overflights of Landsat 8. All images except one were from year 2019. One image from 2018 was used to fill a small area in Central Finland. Three overflights were split into two parts for processing.

All of the satellite images needed to be in the ETRS-TM35FIN coordinate system for further processing. The Landsat 8 OLI images were delivered in the UTM projection but the rectification accuracy was not good enough for our purposes. Some of the images were delivered in UTM projection zones 34 and 36. Because of these facts, the OLI images were re-rectified to the ETRS-TM35FIN projection. The location accuracy of the Sentinel-2A data was good enough, and only reprojection to the ETRS-TM35FIN coordinate system with pixel size of 16 by 16 meters was done. See Subsection 3.1 for details.



**Figure 6.** The satellite image mosaics used to cover Finland in MS-NFI-2017 (left) and MS-NFI-2019 (right) and the boundaries of regions. Digital map data: contains data from the National Land Survey of Finland General Map 1:4.5 M 06/2015 and Municipal Division 1:4.5 M 01/2018.

## 2.3. Digital map data

### 2.3.1. The use of the map data

Digital map data are used to reduce the errors in the estimates. The errors in both the area and total volume estimates can be reduced significantly by the multi-source method if the differentiation of forestry land from non-forestry land can be supported by digital map information in addition to satellite images (Tomppo 1996). The map information is used to separate forestry land from other land classes, such as arable land, built-up areas, roads, urban areas and single houses. The effect of possible map errors on small-area estimates is reduced by using one of two alternative statistical methods (Katila et al. 2000, Katila & Tomppo 2002, Tomppo et al. 2008b). The first one is a calibration method using a confusion matrix derived from the land class distributions on the basis of field plot data and map data, and the second one employs stratification of the field plots on the basis of map data (see Subsection 3.4.4). In addition, the map data are used to stratify the forestry land area and the corresponding field plots into a mineral soil stratum, a peatland soil stratum and open bog and fen stratum. Digital map data

is also used to delineate the computation units in the MS-NFI. Areas of protected forests were used to calculate estimates for forestry land available for wood supply by municipalities.

**Table 5.** Sources and quality of the numerical map data.

Map theme(s)	Delivered by	Scale	Date in database MS-NFI-2017	Date in database MS-NFI-2019	Area covered	Data source
Topographic database; land use classes, peatlands, municipality borders	NLS	1:10 000	4/2018	1/2020	whole country	Topographic database
Protected forests	SYKE		29.5.2018	28.9.2020	whole country	Nature conservation
Nature conservation programmes	SYKE		1978–1996	1978–1996	whole country	Nature conservation databases
Regional land use plans (Maakuntakaava), protected areas 'S'	SYKE	1:250 000	29.5.2018	29.5.2018	most of the country	Regional land use GIS database
NATURA 2000 areas 'SPA', 'SPC'	SYKE		-	11.12.2018	Whole country	NATURA 2000 database

The mineral soils and different types of organic soils (peatland soils) can have significantly different spectral signatures even when the growing stock is the same (e.g., Katila & Tomppo 2001). In addition, some peatland cannot be separated from mineral soils by means of remote sensing. Therefore, stratification based on digital peatland information is used to decrease the prediction and estimation errors (Tomppo 1996, Katila & Tomppo 2001). The site class definition is vegetation-based in the NFI: the forest stand is considered to be peatland (spruce mires, pine mires, open bogs and fens) if the organic layer covering the mineral soil is peat or if 75 % of the under-storey vegetation is peatland vegetation (Lehto & Leikola 1987). A geological definition of peatland is used for the topographic mapping: peatland is covered mainly by peat vegetation and the thickness of peat layer is over 30 cm. Thus, the peatland mask cannot be used in a categorical way, but it is used to stratify the forestry land, satellite images and corresponding field plots for subsequent analysis in the estimation phase. Stratification is used to avoid the biases caused by the peatland map that deviates from the peatland used in the NFI, the deviations caused by the different definitions and locational errors in the maps.

Almost all map data were obtained in vector format from the Topographic database of National Land Survey of Finland (NLS) (Table 5) (Maanmittauslaitos 2018). A raster map with 16 m by 16 m pixel size was computed from selected topographic database elements. For the purposes of the calibration method (Subsection 3.4.4), the overlaying of the map elements was done in such a way that it would be possible to form map strata as homogeneous as possible with respect to the NFI field plot-based land class distribution (Katila et al. 2000). The main objective was to use the derived digital land use map (Fig. 9b) to obtain as precise estimate as possible for the combined forest land, poorly productive forest land and unproductive forest land (denoted by forestry land) when compared to the NFI field data-based estimate.

### 2.3.2. The map data

**The elements from the topographic database** The first version of the topographic database in year 2018 was used for MS-NFI-2017 and the first version in year 2020 was used for MS-NFI-2019. The positional accuracy of the topographic database is comparable to maps on scale 1:5 000–1:10 000 (Maanmittauslaitos 2018). The topographic database consists of map sheets which are updated in 5–10 years periods. However, all roads and almost all the buildings are updated annually, as well as administrative boundaries. Due to this staggered processing, the date of the topographic map elements varies.

All the map elements were rasterised to 16 m by 16 m pixel size. The rasterisation was done separately for each element and suitable widths for line elements (roads, power lines, etc.) and buffer zones for buildings were defined. These widths and buffer zones were determined iteratively by comparing the proportions of the land classes based on the rasterised topographic database and the NFI10 field plot data (Tomppo et al. 2012). The main principle in the rasterisation and generalisation was to keep the total area covered by each map theme as same as that based on the NFI data. The visual appearance of the non-forestry land classes in the MS-NFI output map was considered to be of secondary importance. The selected elements of topographic database, possible width in processing and their priority in overlaying of the elements are described in Table 6. The agreement of the resulting map for MS-NFI-2015 against the NFI field observations has been evaluated in Mäkisara et al. (2019).

The topographic database includes subclasses of open bogs, woody peatland (peat depth  $\geq$  30 cm) and paludified lands (peat depth  $<$  30 cm). It was therefore possible to stratify the peatland in the ik-NN estimation into open bogs and woody peatland (Subsection 3.4.1). Paludified peatland correspond most often to mineral soils in NFI field plots and were thus kept as mineral soils in MS-NFI-2017 and MS-NFI-2019.

Arable land is the third largest land class after forestry land and inland waters with an area of 2.7 million hectares (Finnish Statistical Yearbook of Forestry 2014). The area of the forestry land was 26.2 million hectares and the area of the inland watercourses 3.5 million hectares. Most of the land use changes occur between arable land and other land classes.

Urban areas and other built-up areas (e.g., mineral resources extraction areas, peat production areas, landfill areas, cemeteries, airfields, parks, sports and recreation areas) were delineated using elements of the topographic database.

**Digital boundaries of the computation units** The basic computation unit in the multi-source inventory is the municipality, the number being 311 at the beginning of 2018 (MS-NFI-2017). Two municipalities merged at the beginning of 2020, reducing the number municipalities to 310 in MS-NFI-2019. Their land areas range from around 1000 hectares to some hundreds of thousands of hectares (up to 1.5 million hectares in Inari). Digital municipality boundaries are used to delineate the computation units (Tomppo 1996). The boundary information originates from NLS topographic database and was obtained in vector format. The map data and land areas of the municipalities dating 1.1.2018 (MS-NFI-2017) and 1.1.2020 (MS-NFI-2019) were employed to calculate the small area estimates (cf. calibration to official land areas, Subsection 3.4.4).

**Digital boundaries of protected forests** Some estimates for municipalities were calculated for forestry land available for wood supply (Subsection 4.5, Appendix Tables). Areas of protected forests and nature conservation programmes obtained from the Finnish Environment Institute were used for this purpose (Suomen ympäristökeskus 2018). The protected forests

data were obtained in vector format dating 29.5.2018, while the nature conservation programmes delineations originate from the date of founding the programmes (1978–1996). All the map data were rasterised to 16 m by 16 m pixel size. The protected areas included strict nature reserves, national parks, wilderness areas, special protected areas, protected old-growth forest areas, protected herb-rich forest areas, mire conservation areas, nature reserves on private land (protected permanently or temporarily), protected areas established by the Finnish Forest and Park Service and natural habitat types preserved on the basis of Nature Conservation Act. The nature conservation programmes employed are: "Aarnialue", areas protected based on decision by the authority responsible of management; mires; herb-rich forests; natural parks and nature reserves developing; avian water areas ('Mikkelinsaaret'). The nature conservation programmes digital database has not been updated since its creation. Therefore it contains a) areas where there is not yet final decision of protection made, b) areas with decision of status made. However, among the latter ones there is a minor proportion of areas which have been rejected and thus are erroneously classified as protected forests in our mask.

Thirdly, the protected areas (code 'S') from the regional land use plans were used to complete the protected forests mask. The data sources for the regional land use plans is called 'Maakuntakaava' as of 29.5.2018.

In the MS-NFI-2019 NATURA 2000 Special Protection Areas (SPA) and Special areas of conservation (SAC) were included in the protected areas, dating 11.12.2018. However, the areas that were protected by waterbodies, rapids, land use or building acts were excluded.

It has been noticed that the area of forestry land not available for wood production is an underestimate when compared to the field inventory-based estimates from the NFI11. This is due to the multiple-rule based definition of the Metsähallitus non-production areas. Some of these areas were not available in digital formats, e.g., poorly productive forest land and wasteland land areas protected by the decision of the Metsähallitus.

**Table 6.** The elements of the topographic database selected to the land class map and applied line buffer zone widths in the rasterising. The elements are in the priority order in the table (uppermost first). Forestry land is the area not covered by elements extracted from the topographic database.

MS-NFI code	MS-NFI Map	Description	Topog. database	Notes
14	30252	Roads	See roads below	Overl. over water
90	30253	Sea water	36211	
91	30253	Fresh water	36200, 36313	
92	30253	Decomposing reliction	38300	
13	30251	Built-up area	40200	
16	30252	Railroad	14111, 14112,	Buffer 17 m
14	30252	Roads, class Ia–IIIb	12111, 12112	Buffer 16 m
			12121	Buffer 12 m
			12122	Buffer 9 m
			12131, 12132	Buffer 8 m
22	30254	Agricultural field	32611	
19	30250	Quarry, gravel pit	32500, 32111,	
20	30248	Peat production area	32113	
21	30254	Meadow	32800	

12	30252	Airport	32410–32418	
2	30252	Motor traffic area	32421	
3	30250	Graveyard	32200	
4	30250	Landfill	32300	
5	30250	Garden	32612	
6	30250	Park	32900	
7	30250	Earth fill	33000	
8	30250	Sports/recreational area	33100	
11	30250	Built construction	45700	Buffer 5 m
9	30250	Basin	44300	Buffer 5 m
10	30250	Storage area	38900	
15	30252	Other road	12141, 12314	Buffer 5 m
17	30250	Power line	22311	Buffer 14 m
			22312	Buffer 5 m
18	30250	Gas pipe	26111	Buffer 20 m
103	prediction	Bare sand	34300	
104	prediction	Exposed bedrock	34100, 34700	
1	30251	Building	42211	Buffer 25 m
			42210, 42212	Buffer 30 m
			42220–42222	Buffer 20 m
			42230–42232	Buffer 10 m
			42240–42242	Buffer 30 m
			42250–42252,	Buffer 30 m
			42260–42262	Buffer 5 m
106	prediction	Paludified area, <= 0.25	35300	
107	prediction	Paludified area <= 0.5 ha	35300	
105	prediction	Paludified area	35300	
109	prediction	Forested marsh <= 0.25	35412, 35422	
110	prediction	Forested marsh <= 0.5 ha	35412, 35422	
108	prediction	Forested marsh	35412, 35422	
111	prediction	Open bog	35411, 35421	
112	prediction	Open reliction area	39130	
101	prediction	Forestry land <= 0.25 ha		
102	prediction	Forestry land <= 0.5 ha		
100	prediction	Forestry land		

## 2.4. Digital elevation model

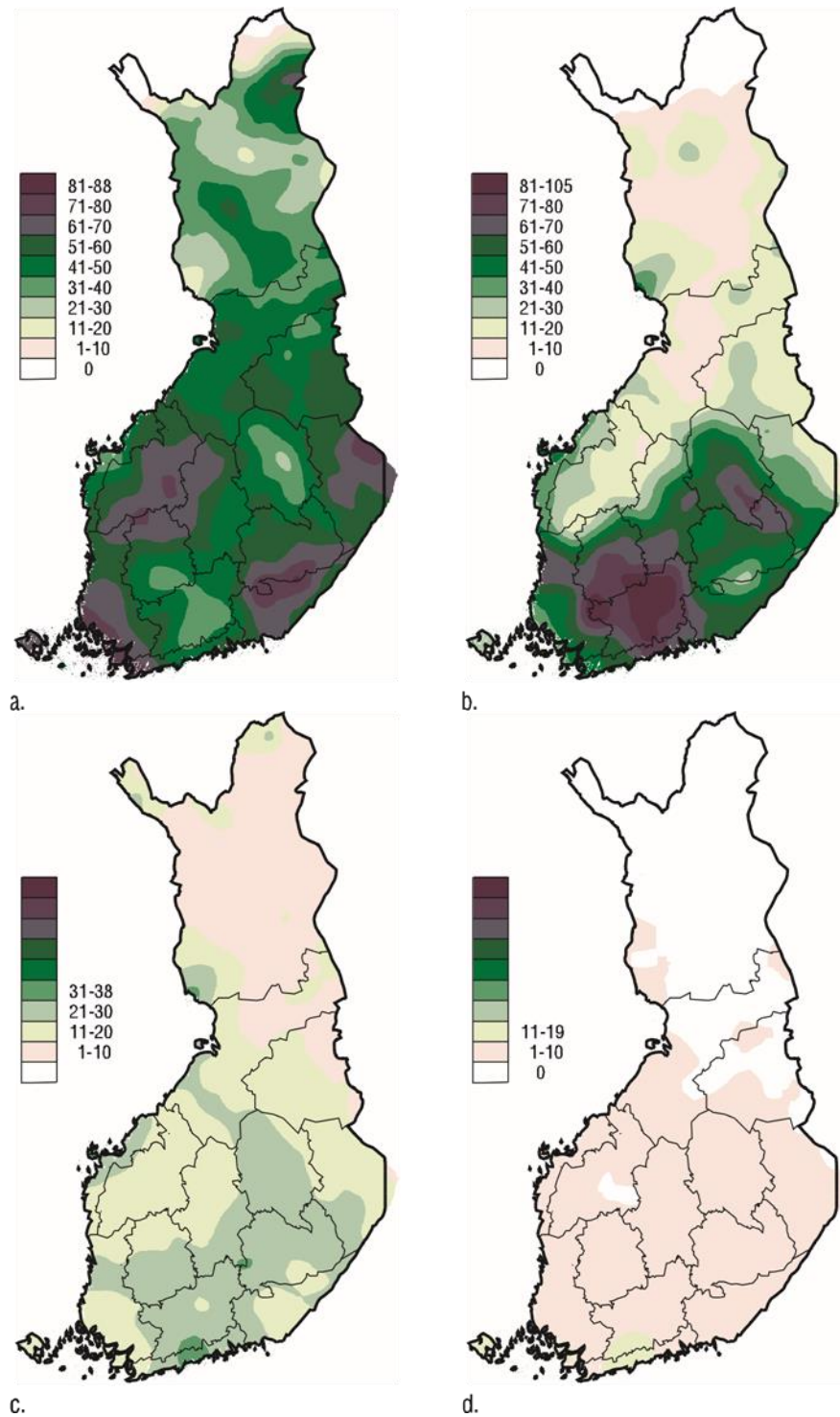
A digital elevation model is used in two ways: for stratification on the basis of elevation data and for correcting the spectral values by reference to the angle between solar illumination angle and the terrain normal (Subsection 3.4.1, Tomppo 1992, Tomppo et al. 2008b, 2012, 2013). Stratification in this context means using the maximum vertical distance from a pixel to its nearest neighbours. The selection of parameters for stratification and spectral correction has been studied by Katila and Tomppo (2001). The digital elevation model (DEM) employed was a raster file with a horizontal spatial resolution of 10 metres by 10 metres and with a vertical resolution of 0.1 metres (Maanmittauslaitos 2017). The values used in the 16 by 16 metre grid

were obtained by applying a Gaussian filter to the data after resampling. This was done to prevent artefacts in the slope computed from the DEM. The full width of the Gaussian at half maximum (FWHM) was 27 meters.

## 2.5. Large area forest resource data

The improved ik-NN method was introduced during NFI9. It employs a coarse scale variation of the key forest variables to guide the selection of field plots from which the data are transferred to the pixel to be analysed. The variation is presented in the form of coarse-scale digital forest variable maps (Fig. 7), derived either from the current inventory data or from the data of the preceding inventory. For MS-NFI2017 and MS-NFI-2019, the NFI field plots from 2006–2010 were used. The large area changes in forests are slow and the tree species proportions of the volume of growing stock do not change essentially in a few years. The coarse scale maps made with the field data from the years 2006–2010 are thus relevant also for the MS-NFI products for the years 2017 and 2019.

There were 72 234 field plots on land across the entire country in the 2006–2010 data, of which 59 785 were on forestry land, 54 828 on combined forest land and poorly productive forest land, and 50 492 were on forest land alone. All the plots on forest land and poorly productive forest land were used for the final large-area maps. The principles construction of the maps is described in (Tomppo et al. 2008b). Moving average interpolation was used. The cluster level averages of the volumes by tree species groups (pine, spruce, birch species and other broad-leaved tree species) were first calculated. The averages of these cluster level averages were calculated within a circle of a radius of 30 km and the original cluster levels averages were replaced by these moving averages. The values of these moving averages were predicted for each grid cell of 1 km x 1 km in Finland using 1-NN method. The distance was in the geographical space. Further smoothing was employed using moving averages twice with windows sizes of 11 km x 11 km and 25 km x 25 km.



**Figure 7.** Large scale variation of mean volumes (m<sup>3</sup>/ha) of pine (a), spruce (b), birch (c), and other tree species (d) on Forest and Poorly Productive Forest Land (FPPF) in NF110 and NF111 (2006–2010) with boundaries of the Public Service Units of the Finnish Forest Centre and the Åland region. Digital map data: ©National Land Survey of Finland, licence MML/VIR/-MYY/328/08.



## 3. Methods

### 3.1. Image rectification and radiometric correction of the spectral values

The Landsat images were obtained from the USGS archive (Landsat 2021) and they were rectified to the UTM coordinate systems using the WGS84 datum. The UTM zone for each frame depended on the location. The images arrived in zone 34, 35, or 36 and the pixel size was 30 meters (15 meters for the panchromatic channel). The MS-NFI processing requires images in the ETRS-TM35FIN coordinate system with pixel size of 16 meters. The rectification accuracy within Finland was not good enough to enable simple reprojection. Because of this, rectification based on control points was used for the Landsat images. The rectification accuracy for the Sentinel images was good enough and no control points were used with that material. The original pixels (10 m and 20 m) were resampled to the grid used in MS-NFI using cubic convolution resampling.

The rectification was based on control points, determined interactively by comparing the digital map (outlines of lakes, islands, roads, buildings, etc.) and the satellite image using an image display. The operator moved the map over the satellite image until they match locally. A control point was established based on the horizontal and vertical shifts of the map to connect the image coordinates and the map coordinates at the point. A regression model was fitted to the control points for rectification. First or second order polynomial regression models were usually employed for this purpose. A typical number of control points has been around 50. The cubic convolution method was applied to re-sampling of the images to pixel size of 16 m by 16 m. No atmospheric correction was performed.

Areas corresponding to the cloud-free parts of satellite images are used in operative applications. A cloud mask is manually made for each satellite image. Automatic methods for this task have been tested, but no satisfactory method has been found so far. Note that cloud masking can be made very coarsely in those parts of the images in the image mosaic that are not needed for the final product (i.e., covered by another, better image).

The slope and aspect of the terrain locally change the illumination conditions of the surface and affect the reflectance from the ground and vegetation, as well as the radiance received by an imaging instrument. A digital elevation model was employed to remove the variation of the spectral values caused by the changes in the slope and aspect of the terrain. The details of the method are given in (Tomppo 1992, Tomppo et al. 2008b). The parameter selection has been studied in Katila and Tomppo (2001).

### 3.2. Preparation and updating of the field data

#### 3.2.1. Canopy cover

The canopy cover was measured at field plots in NFI10, but the measurement was not continued in NFI11. In order to provide multi-source estimates for canopy cover, it was estimated for NFI11, NFI12 and NFI13 field plots using NFI10 data. The models presented by Korhonen et al. (2007) were tested using NFI10 field plots. The result was that the models could not reproduce the measured values when the canopy cover was very low or very high. Because of this, a new

method for estimating the canopy cover was designed for MS-NFI-2009 and has been used since then.

The design goal was to make a method that can reproduce the values measured in NFI10 as well as possible. Because the NFI10 field data were extensive, the k-NN method was used. The input variables and weights were manually determined. This was done separately for forest land, poorly productive land, and unproductive land because the set of available field measurements was different. For forest land and poorly productive land, the estimation was done separately according to the dominant species (pine, spruce, others).

The canopy cover for deciduous trees was computed from the canopy cover according to the proportion of deciduous trees in the field plot (computed from stem counts in seedling stands and basal area in mature stands).

### **3.2.2. Overview of updating**

The updating method has not changed from MS-NFI-2013. For completeness, the method is explained also in this report.

The satellite images are from a different date than the field work. This means that there may be large differences between the state of the plot at time of field work and at the time of imaging. An example is clear-cut between the dates. In these cases the image data and field data should not be used as such because of the incompatibility of the field data and image data. This incompatibility would increase the estimation and prediction errors.

In the first applications, we solved this problem by omitting these plots from the field data. This, however, changes the distribution of the field data and this tends to reflect in the prediction results. A typical case is clear-cut after the measurement date of the field data and before the imaging date. In this case, a high-volume plot is removed from the field data lowering the average volume of the prediction results.

Another problem is that the field data have been collected during five years wherefore the average date of the field data is about two years before the end of the field data collection. This means that the prediction results reflect in this case, on average, the time two years before the images. Within each image in the image mosaic, the spatial distributions of the forest variables depend primarily on the image data and, because of this, correspond primarily to the imaging date.

To solve the problems mentioned above, we decided to use a conservative partial updating controlled by the NFI field data and satellite images. The algorithm was designed to match the total volume after updating to the estimate computed from the field data only (Subsection 3.2.3). The data of individual field plots were modified according to the satellite image data and, in some cases, aerial photographs. If the plot was not cut between the field work and imaging, the growth models were applied to the growing stock variables. If the plot was identified as cut after the field work, the forest variables were modified according to the cut type identified from the image data and the growth models were applied to this modified data. It was not possible to detect thinnings from image data and these cases are included into the growth models. The growth models were controlled so that the total volume after updating matched the target.

The satellite images are from different dates and even different years. This means that the correction for the cuttings is different for different processing windows. Because of this, the updating was performed separately for each processing window.

### 3.2.3. Updating of the field plot data

**The updating target** The simplest updating target would be the mean of the total volume of the whole field data set. However, looking at the data from different years within the processing windows shows that, in many cases, this average does not represent well the total volume at the target time of updating. One reason for this may be an increasing or decreasing trend visible in the volumes seen in the field observations within the processing window. The yearly averages within windows fluctuate so that using a single year average would not be a good solution. Because of this, we decided to fit a regression line to the averages of the five years of field data.

The predictions computed with regression were visually compared to the averages computed from the field data for each year. The predictions looked reasonable in all cases in this work. However, the method may need to be refined later if cases will be found where the prediction does not look reasonable.

**Large changes** The large changes at the field plots between the image data and the field data are mostly due to regeneration cuts, but include also, e.g., severe wind falls. These changes can't be detected with models, but can, in most cases, be identified by examining visually the field plot data and available image data. The field plot data can also be reasonably modified to reflect the state at the image date if the field work has been done before the image date. If the change has occurred after image date but before the field work date, the field data can't be modified. In these cases, the plots were removed from the training material.

The changes where updating the data is possible were handled in the following way. First, the field plots were listed where these kinds of changes potentially occur. These plots included advanced thinning stands and mature stands, together with young thinning stands where total volume was at least 100 m<sup>3</sup>/ha. The image data at these candidate plots was matched against image data from plots that were cut recently according to field data and the candidate plots were ordered according to decreasing similarity. The candidate plots were then visually checked using the satellite data and, if available, recent aerial photographs. The plots where image data did not visually match what was expected from the field plot data were selected for modification. The selected plots were classified to plots where some trees were left (natural regeneration cut), and plots with no trees (clear cut).

The field plot data were changed according to the status of the field plot in the visual inspection. All of the substands were combined in to one stand. This was because it was not possible in practice to reliably identify different changes for the different substands. The updated forest variables reflected partly the previous state of the centre point stand. The dominant tree species was retained and no other species was assumed to survive. In case of clear cut, the volumes, basal area, mean height, mean diameter, mean age, and tree cover were zeroed. In case of natural regeneration cut, the changes were more complicated. The mean height, mean diameter and mean age were left intact. The total volume was set to the mean of storeys of this type in the field data in this geographic region (30 m<sup>3</sup>/ha in Southern Finland and 20 m<sup>3</sup>/ha elsewhere). The other volumes, basal area, canopy cover and biomasses were changed according to the change in total volume. The main changes to field data were:

- development class: temporarily unstocked regeneration stand for plots with no trees, seedling tree or shelter tree stand for plots with some trees, randomised according to the ratio between seed tree and shelter tree cuts in NFI data from 2007–2012
- cut type: regeneration cut for artificial regeneration for plots without trees, regeneration cut for natural regeneration for plots with some trees
- field work date: midpoint between original field work date and image date, according to the growing season definition (see Subsection Growth models) to young seedling stand
- mean age, mean height, mean diameter: set to zero if no trees, otherwise unchanged
- total dominant tree species: not changed, except when temporarily unstocked stand was changed
- volume: zero for plots without trees, 30 m<sup>3</sup>/ha (Southern Finland) or 20 m<sup>3</sup>/ha (otherwise) for plots with some trees
- second storey volume; zero other volumes, basal area, canopy cover: zero for plots without trees, otherwise put all to dominant species (canopy cover for broad-leaved trees set only if dominant species is broad-leaved)
- biomasses: dominant species modified according to volume change, others set to zero

**Growth models** Some key plot level (sub-plot level) and stand level variables were updated using growth models, in addition to cutting and natural mortality assessments (see Subsection 3.2.1). The models were applied to each plot part and sub-plot stand separately when a plot intersected several stands (Subsection 3.3). The data were updated to the date 31 July of the target year, independently of the date of the image acquisition. Either existing growth models or own models, derived for this purpose, were used to estimate the increment from the date of the field measurements to 31 July 2017 (MS-NFI-2017) or 31 July 2019 (MS-NFI-2019). The variables updated with the increments were the plot level mean volumes (m<sup>3</sup>/ha) by tree species groups and timber assortments, plot level biomasses by the tree species groups and tree compartments, canopy cover of all trees and separately for broad-leaved trees, as well as stand level variables, mean diameter, mean height and mean age and basal area of trees, as defined in the NFI. The increments of the stand level variables were estimated separately for the dominant tree storey and a possible second storey. The basal area is recorded in NFI also for all tree storeys. The development class of stand was checked and updated based on the changes in the growing stock.

The phases in the increment estimations and models were as follows. The length of the increment period in days was calculated first for each field plot and was defined as the number of the days in the growing season between the date of 31 July of the target year and the date of the field measurement. It was assumed that the growing season starts on 1 May and ends on 10 August. The number of the days in the full season is thus 102. The number of the days in the increment period was changed to the number of growing seasons ( $n_{year}$ ) by dividing it by 102.

The plot level volume increments were estimated using the stand level models by Nyysönen and Mielikäinen (1987) for pine and spruce dominated tree layers. The models of pine dominated forests were used also for the broad-leaved dominated tree layers. The models were thus employed by tree storeys. The volumes by tree storeys at plot level were not available in the data. They were estimated as the shares of the total plot level mean volume, the shares proportional to the quantity  $i_G i_H$  were  $i_G$  is the basal area and  $i_H$  the mean height of tree storey  $i$ . The increased volumes by tree storeys were combined to the plot level volumes.

The model had been estimated for the natural logarithm of the percentage of the volume increment ( $\log(p_v)$ ). The model for a pine dominated stand is

$$\log(p_v) = a + b_1 \log(T)^2 + b_2 V^{1/V^{0.3}} + b_3 \log(D)^8 / 10000 + b_4 I_{\{sf \leq 4\}}(sf) \quad (1)$$

where  $T$  and  $D$  are the age and mean diameter of the tree storey in question in a stand,  $V$  the volume of the tree storey on a plot and  $I_{\{sf \leq 4\}}(sf)$  the indicator function of the site fertility class ( $sf$ ). The values of the parameters are:  $a=0.7702$ ,  $b_1=-0.09667$ ,  $b_2=1.2503$ ,  $b_3=-0.1796$  and  $b_4=0.1817$ . If  $D$  was zero or missing, the parameters of the model had been estimated without  $D$ , and are:  $a=0.7632$ ,  $b_1=-0.1181$ ,  $b_2=1.3516$  and  $b_4=0.09116$ .

The model for the percentage of the volume increment of a spruce dominated stand is (Nyyssönen and Mielikäinen 1987)

$$\log(p_v) = a + b_1 \log(T) + b_2 \log(V) + b_3 (\log(T) \log(V))^2 + b_4 \log(T) V^2 / 100000 + b_5 (\log(D))^5 + b_6 I_{\{sf \leq 2\}}(sf) \quad (2)$$

The values of the parameters are:  $a=8.839$ ,  $b_1=-1.2749$ ,  $b_2=-0.5948$ ,  $b_3=0.00309$ ,  $b_4=-0.1193$ ,  $b_5=-0.0006095$  and  $b_6=0.1009$ . If  $D$  was zero or missing, the parameters of the model had been estimated without  $D$ , and are:  $a=9.7669$ ,  $b_1=1.5813$ ,  $b_2=-0.5730$ ,  $b_3=0.003315$  and  $b_4=-0.1177$ ,  $b_6=0$  (site fertility indicator was missing from the model).

The increased volume of the tree storey in the end of the updating period, including the estimated increment over the updating period, was  $V_2 = V_1 (1 + p_v / 100)^{nyear}$  where  $V_1$  and  $V_2$  are the volume of the tree storey in the beginning and in the end of the period,  $p_v$  the increment percentage from the model and  $nyear$  as above, the number of growing seasons in years. The increased volume  $V_u$  for a plot was the sum of the increased volumes of the dominant tree storey, the increased volume of a possible second storey and the original volume of a possible third tree storey. The third tree storey is quite uncommon and the significance of its possible volume to the total volume negligible. The ratio  $V_u / V_o$  was used as a factor to calculate the volumes by tree species groups and by timber assortments. Here  $V_o$  is the original plot level volume.

The same ratio  $V_u / V_o$  was used also to increase the variables canopy cover of trees and canopy cover of broad-leaved trees as well as biomasses by the tree species groups and tree compartments.

For the increment estimates of the other key variables, except the age of a tree storey of a stand, new models were derived using the permanent field plot data of NFI10 and NFI11. The age was updated simply increasing the assessed age by the number of the growing seasons. The mean diameter  $D$ , mean height  $H$  and basal area  $G$  of a stand were updated also by tree storeys. The model for the logarithm of the relative diameter increment  $\log(i_D / D)$  was

$$\log(i_D / D) = a + b_1 D + b_2 \log(T) + b_3 \log(T)^2 + b_4 G + b_5 dd + b_6 I_{\{SP=2\}}(SP) + b_7 I_{\{SP=3\}}(SP) \quad (3)$$

where  $i_D$  is the annual mean diameter increment of the tree storey in question calculated from the successive measurements of field plot stands of NFI data,  $G$  is the basal area of the trees of the tree storey in question,  $dd$  the effective temperature sum,  $I_{\{SP=i\}}(i)$ , the indicator function of tree species groups  $i$ , and the other variables as in Eqs. 1 and 2. The tree species groups were, pine and other coniferous than spruce (1), spruce (2) and broad-leaved species. The values of the parameters were  $a=-0.8152130$ ,  $b_1=-0.0655599$ ,  $b_2=-1.0520602$ ,  $b_3=0.1317468$ ,  $b_4=-0.0042745$ ,  $b_5=0.0003396$ ,  $b_6=0.0091463$  and  $b_7=0.0073079$ .

The model for the logarithm of the relative height increment  $\log(i_H / H)$  was

$$\log(i_H / H) = a + b_1 H + b_2 \log(T) + b_3 \log(T)^2 + b_4 dd \quad (4)$$

where  $iH$  is the annual mean height increment of the tree storey in question calculated from the successive measurements of field plot stands of NFI data and the other variables as in Eqs. 1, 2 and 3. The values of the parameters were  $a=-0.6601906$ ,  $b_1=-0.0101824$ ,  $b_2=-1.0175697$ ,  $b_3=0.0890791$  and  $b_4=0.0007121$ .

The model for the logarithm of the relative increment of the basal area of the trees of the storey in question  $\log(i_G/G)$  was

$$\log(i_G/G) = a + b_1G + b_2\log(T) + b_3\log(T)^2 + b_4dd + b_5I_{\{SP=1\}}(SP) + b_6I_{\{SP=2\}}(SP) + b_7I_{\{SP=3\}}(SP) \quad (5)$$

where  $iG$  is the annual basal area increment calculated from the successive measurements of field plot stands of NFI data and the other variables as in Eqs. 1, 2, 3 and 4. The values of the parameters were  $a=1.4523612$   $b_1=-0.0753081$   $b_2=-1.8919602$   $b_3=0.2124715$   $b_4=0.0009454$   $b_5=-0.0186978$   $b_6=0.0041331$   $b_7=-0.0129012$  The model was applied to the basal areas of the stands by the tree storeys.

Only the dominant tree storey and a possible second tree storey were updated using the increment models of the diameter, height and basal area. A possible third storey was not updated.

The values of variables,  $D$ ,  $H$  and  $G$  by tree storeys on 31 July 2017 or 2019 were calculated in a same way as the volumes, that is,  $M_2 = M_1(1+pr)^{nyear}$  where  $M_1$  and  $M_2$  are the value of the variable  $M$  in the beginning and in the end of the period,  $pr$  the relative increment from the models and  $nyear$  as above, the number of growing seasons in years.  $D$  and  $H$  are given in NFI data only by tree storeys, but  $G$  also for all storeys together. The total basal area of the stands was the sum of the basal areas of the tree storeys.

The mean age was adjusted according to the years between the field data date and 2017 or 2019.

**Calibration to the updating target** The growth model results were calibrated to the updating target by multiplying the growing time with a multiplier. This multiplier was determined iteratively by computing the total volume ( $m^3$ ) after the increment prediction and comparing the result to the updating target determined for the corresponding image.

**Updating of development class** The following possible changes in the development class were considered from the date of the field measurement to the updating date (July 31, 2017 or 2019):

1. from temporarily unstocked regeneration stand to young seedling stand
2. from shelter tree or seed tree stand to open area or young seedling stand
3. from young seedling stand to advanced seedling stand
4. from advanced seedling stand to young thinning stand
5. from young thinning stand to advanced thinning stand
6. from advanced thinning stand to mature stand.

For updating purposes, mainly to judge the development class of young seedling stand versus open area, we calculated the following four-dimensional distribution  $F$  i) cutting time ii) development class iii) the effective temperature sum (three classes,  $-1049$ ,  $1050-1179$ ,  $1180-$ ) iv) site fertility. Only one effective temperature sum class was used for site fertility class one due to the lack of the data.

The means and standard deviations of the mean diameter of tree storeys of stands by development classes were calculated for making decisions concerning possible transitions.

The transition frequencies from regeneration cutting, both artificial and natural, to the development class young seedling stand were estimated from the NFI data as a function of cutting time, effective temperature sum and site fertility class. The transitions were simulated based on the distribution  $F$ .

The possible new development classes, in case of an open and temporarily unstocked regeneration stand for artificial regeneration, were temporarily unstocked regeneration stand and young seedling stand. This rule was used due to the short updating period. The longest updating period was four years.

The distribution of the dominant tree species by site fertility classes in young seedling stands were estimated from the NFI data from the years 2004–2012. The dominant tree species was selected from this distribution in case of transition from a temporarily unstocked regeneration stand to young seedling stand.

For natural regeneration (open area), the possible new development classes were, the one on the date of field measurement (shelter or seedling tree stand), open area and young seedling stand. The dominant tree species for a possible young seedling stand was the one of the shelter tree / seedling tree stand.

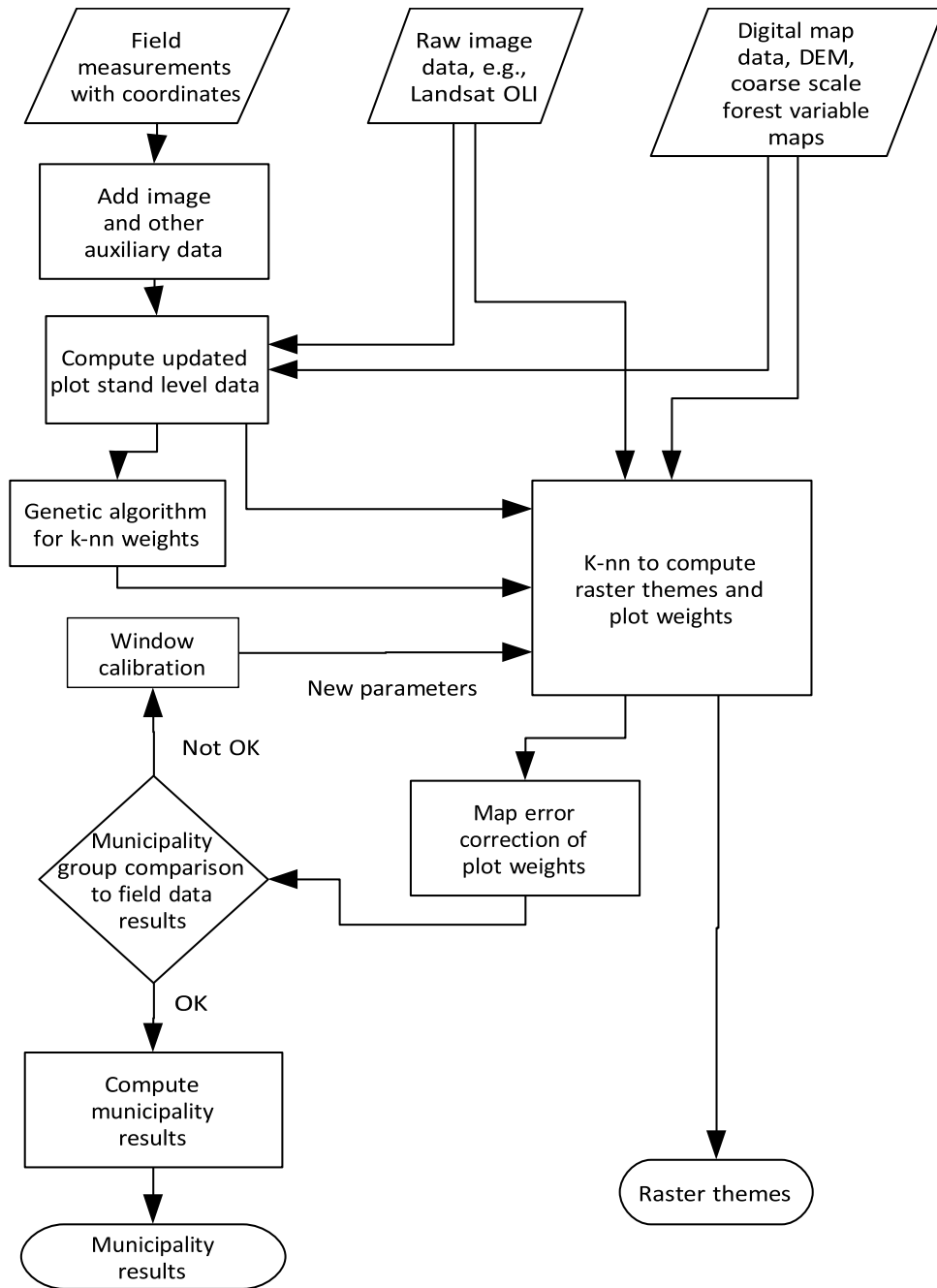
Dominant tree species, mean diameter, mean height and age remained / were changed to those corresponding a temporarily unstocked regeneration stand (0) if the result was a temporarily unstocked regeneration stand.

To update case 3), a possible transition from young seedling stand to advanced seedling stand, the updated mean height was first checked using the original height and the height increment (Eq. 4). A simple model was derived to estimate the mean diameter as a function of the height.

Similarly, a simple model was estimated for the volume ( $\text{m}^3/\text{ha}$ ) as a function of the mean height.

The dominant tree species remained as the same as in the date of the field measurements. The biomass estimates were updated respectively.

A possible change from the development class advanced seedling stand to young thinning stand was done as follows: the development class was 'up-graded' if the updated mean diameter of a stand exceeded the average mean diameter of the young thinning stands by two standard deviations of the mean diameters of those stands. A possible change from the development class young thinning to an advanced thinning stand was done similarly, as well as a possible change from an advanced thinning stand to a mature stand except in the latter case, the standard deviation of the development class mature stand was used, based on practical tests.

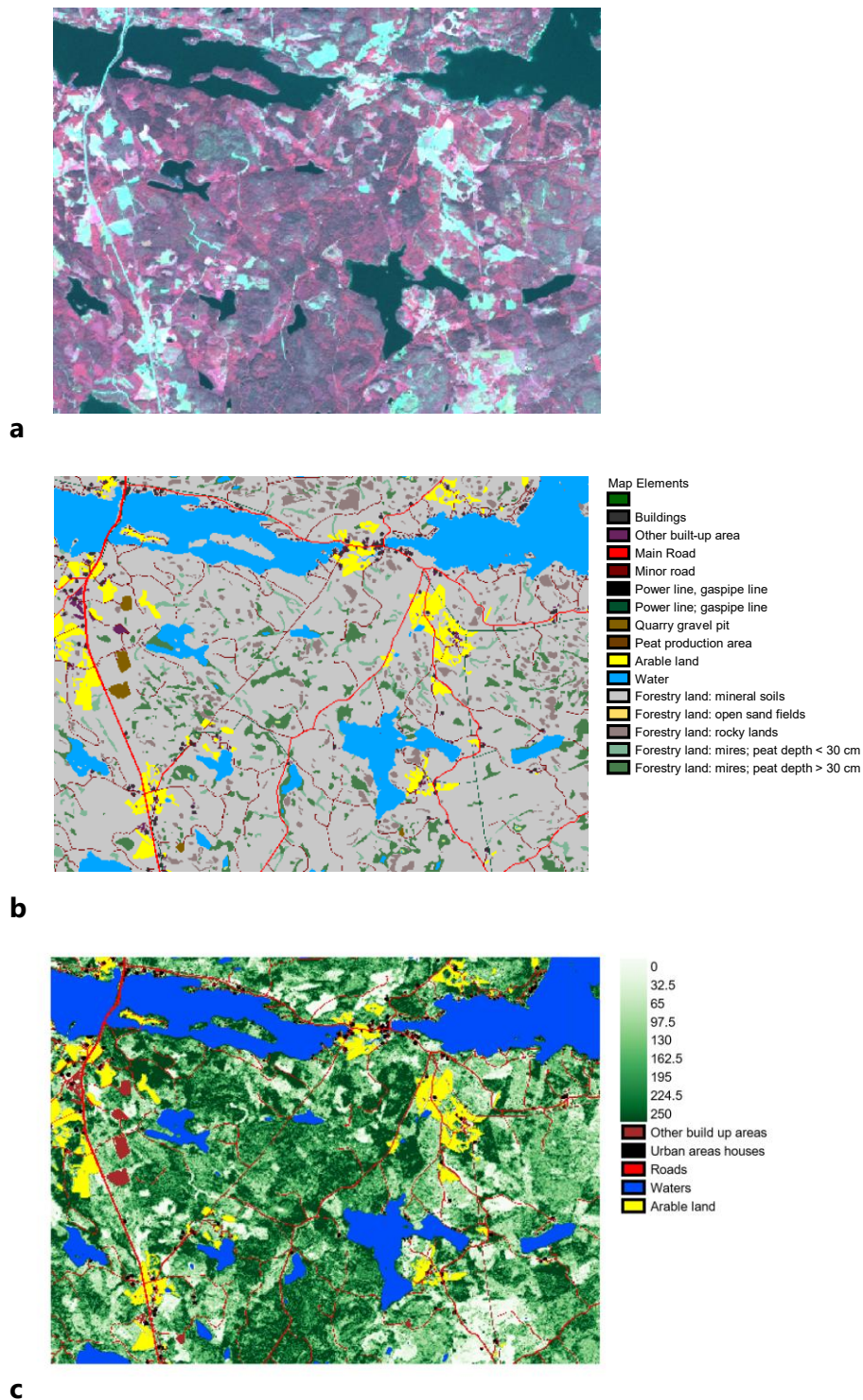


**Figure 8.** Data flow and computational scheme for multisource NFI.

### 3.3. Preparation of the input data sets

In the image analysis (Fig. 8), the input data sets were 1) ground truth data, i.e., one record for each plot part and stand corresponding to a centre point of a plot, called here centre point stand and also for stands intersecting other parts of a plot, called here sub-plot stand: 1a) field data and 1b) satellite image data, 1c) digital map data, 1d) and other numeric feature data in text format, 2) a pre-processed satellite image, 3) a digital map of land use classes and mire and open bog mask, 4) a digital elevation model and thereof derived image of the angle between terrain normal and sun illumination, 5) cloud and cloud shadow delineation mask, 6) large-area forest resource data and 7) a map of computation units to calculate small-area estimates (Fig. 9).





**Figure 9.** Examples of the Sentinel-2A MSI satellite image, multichannel colour composition of channels 2, 3, and 4 (a); the elements of the topographic map database (b); and MS-NFI-2019 map of total volume (m<sup>3</sup>/ha) with other land use map data (c). Digital map data: contains data from the National Land Survey of Finland topographic database 1/2020.

The land class map was employed to distinguish the combined forest land, poorly productive forest land and unproductive forest land (MS-NFI forestry land) from the other land classes. In this analysis, the area that was not peat production area, built-up land, arable land, roads or

waters in the numerical map was considered forestry land. The numerical map data were not always up-to-date and could contain significant errors. The effect of the map errors on the estimates were corrected the using calibration method (Section 3.3.2).

The field data used as training data was sampled, if possible, from a larger area in the image window than is used in the final result mosaic. This area was used in optimizing the feature weight sets. Field data within the finally included areas was used for selecting the best set of feature weights and for selecting the other estimation parameters.

### 3.4. The estimation methods

#### 3.4.1. The improved k-NN method (ik-NN)

The non-parametric k-NN estimation has been employed in the MS-NFI calculation since operational inception in 1990. The method has been improved continuously. The details of the current method employed for this article are given in (Tomppo & Halme 2004, Tomppo et al. 2008b, 2012, 2013). The basic principles are listed here.

With the k-NN method, the plot weights (Eq. 9) (not equal for each plot) are computed for each plot by computation units (Tomppo 1996). The computation unit is the unit for which results are computed. It can be a municipality when computing municipality results or a pixel when computing raster maps. The weights are computed for each field sample plot  $i \in F$ , where  $F$  is the set of field plot parts, centre point plot part or sub-plot part, where the centre point of the plot belongs to forestry land. These plot weights are sums of the weights that are computed for the field plots over all satellite image pixels on the forestry land mask of the computation unit. The plot weights corresponding to a single pixel (Eq. 7), in turn, are computed by a non-parametric k-NN estimation method (Tomppo 1991, 1996, Tomppo et al. 2008b, 2012, 2013). The method utilises the distance metric  $d$ , defined in the current version in the feature space of the satellite image data and coarse scale forest variables. The  $k$  nearest field plot pixels  $p_i$ , in terms of  $d$ , i.e., pixels that cover the centre of a field plot  $i \in F$ , are sought for each pixel  $p$  under the forestry land mask of the cloud free satellite image area. Note that the plot parts belonging to non-FRYL land categories are removed from the data set. The sum of the weights of the rest of the plot parts is scaled to one for each pixel. A maximum geographical distance is employed, if necessary, in order to avoid selecting the nearest plots (spectrally similar plots) from a region in which the response of image variables to field variables is not equal to that of the pixel under consideration. This is due to, e.g., changing atmospheric conditions or a large image frame. The feasible set of nearest neighbours for pixel  $p$  is thus

$$\{p_i | d_{p,p_i}^{(x,y)} \leq d_{max}^{(x,y)}, d_{p,p_i}^z \leq d_{max}^z, R(p_i) = R(p)\} \quad (6)$$

here  $d_{p,p_i}^{(x,y)}$  is the geographical horizontal distance from pixel  $p$  to pixel  $p_i$ ,  $d^z$  is the distance in the vertical direction,  $d_{max}^{(x,y)}$  and  $d_{max}^z$  are their maximum allowed values, and  $R(p)$  is the indicator function of land class on the basis of map data (Tomppo 1990, 1991, 1996, 2006b, Katila et al. 2000, Katila and Tomppo 2001, Tomppo et al. 2008b, 2012, 2013).

Denote the  $k$  nearest feasible field plots by  $i_1(p), \dots, i_k(p)$ . The weight  $w_{i,p}$  of field plot  $i$  to pixel  $p$  is defined as

$$w_{i,p} = \frac{1}{d_{p_i,p}^t} / \sum_{j \in i_1(p), \dots, i_k(p)} \frac{1}{d_{p_j,p}^t}, \text{ if and only if } i \in i_1(p), \dots, i_k(p) \quad (7)$$

$$= 0 \quad \text{otherwise.}$$

The distance weighting power  $t$  is a real number, usually  $t \in [0,2]$ . In case the distance is zero for one or more plots, all weight is given to those plots. The distance metric  $d$  employed was

$$d_{p_j,p}^2 = \sum_{l=1}^{n_f} \omega_{l,f}^2 (f_{l,p_j} - f_{l,p})^2 + \sum_{l=1}^{n_g} \omega_{l,g}^2 (g_{l,p_j} - g_{l,p})^2 \quad (8)$$

where  $f_{l,p}$  is the  $l$ th feature computed from the spectral bands of the pixel data. The features are normalised using the digital elevation model, when applicable.  $f_{l,p_j} = f_{l,p_j}^0 / \cos^r(\alpha)$ , with  $\alpha$  the angle between sun illumination and terrain normal,  $r$  the user given power for the cosine correction,  $g_{l,p}$  the large area prediction of the  $l$ th applied forest variable,  $n_f$  the number of image variables (or features),  $n_g$  the number of coarse scale forest variables and  $\omega_{l,f}$  and  $\omega_{l,g}$  the weights for image features and coarse scale forest variables respectively.

The values of the weights  $\omega_{l,f}$  and  $\omega_{l,g}$  are computed by means of a genetic algorithm (Tomppo and Halme 2004, Tomppo et al. 2008b, 2012, 2013).

A pixel size of 1 km by 1 km is used in the coarse scale forest variable predictions  $g_{l,p}$ . The first phase of the improved version of k-NN, ik-NN, is to run the optimisation algorithm by strata, e.g., mineral soil stratum and mire and bog stratum. The estimation after that is similar to the basic k-NN estimation.

For computing forest parameter estimates for computation units, sums of field plot weights to pixels,  $w_{i,p}$  are calculated by computation units, for example, by municipalities, and by map stratum  $h$  over the pixels belonging to the unit  $u$ . An example of a stratum could be mineral soil forestry land. The weight of the sub-plot  $i_l$  of plot  $i$  in forest stratum  $l$  and in map stratum  $h$  to computation unit  $u$  is denoted

$$c_{i_l,h,u} = a q_{i_l} \sum_{p \in u_h} w_{i,p} \quad (9)$$

where  $u_h$  is the set of the pixels in the map stratum  $h$ ,  $a$  is the pixel size and  $q_{i_l}$  is the share of the field plot  $i$  belonging to the forest stratum  $l$  and map stratum  $h$  on forestry land.

Reduced weight sums  $c_{i_l,h,u}^r$  are obtained from the formula 3.9, if clouds or their shadows cover a part of the area of the computation unit  $u$ . The real weight sum for plot  $i$  is obtained expanding the weight (3.9) by the ratio forestry land divided by the forestry land not covered by the clouds in each computation unit.

The weights (3.9) are computed within forestry land separately for mineral soil stratum and peatland strata. The weights are also computed for other land classes, arable land, built-up land, roads and waters using the plots falling in the corresponding stratum if the stratification-based map correction method is employed Katila and Tomppo (2002), and plots falling into forestry land map stratum if the calibration method is used (Katila et al. 2000).

After the final field plot weights to computation units ( $c_{i_l,h,u}$ ) have been calculated, the ratio estimation is employed to obtain the small-area estimates (e.g., Cochran (1977)). In this way,

the estimation is similar to that using field plot data only (Tomppo 2006a, Tomppo et al. 2008b, 2012, 2013)

$$\hat{y}_{u,l} = \frac{\sum_h \sum_{i \in I_{l,h}} c_{i,l,h,u} y_{il}}{\sum_h \sum_{i \in I_{l,h}} c_{i,l,h,u}}, \quad (10)$$

where  $y_{il}$  is the volume per hectare for plot  $i$  for the part(s) belonging to field plot sub-class  $l$ , and  $I_{l,h}$  if the set of field plots belonging to field sub-class  $l$  and map stratum  $h$ .

Predictions of some forest variables are written in the form of a digital map during the procedure. The land classes outside forestry land are transferred to map form predictions directly from the digital map file. Within forestry land mask, the variables are predicted by the weighted averages of the  $k$ -nearest neighbours (Tomppo 1991, 1996).

A pixel-level prediction of variable  $Y$  for pixel  $p$  is defined as

$$\tilde{y}_p = \frac{\sum_{i \in I_h} w_{i,p} \sum_{j \in F_i} a_{ij} y_{ij}}{\sum_{i \in I_h} w_{i,p} \sum_{j \in F_i} a_{ij}}, \quad (11)$$

The mode or median value can be used instead of the weighted average for categorical variables. Mode has turned out to work better than median in the practical tests (Tomppo et al. 2009b).

**Table 7.** The  $ik$ -NN estimation parameters employed in MS-NFI-2017 and MS-NFI-2019.

Parameter	Choice
Variables applied in the distance metric	Illumination corrected spectral values for satellite image bands and large area forest variable estimates
Distance metric	Weighted Euclidean distance
Value of $k$	3–5
Weights attached to the nearest neighbours	Weights proportional to the inverse distance ( $t=1$ )
Restrictions for search of nearest neighbours	Large area forest maps as features are used to direct the NN selection. In addition, the geographic distance between the pixel being processed and the acceptable reference plots was limited.

One special detail of the Finnish NFI is that some stand level variables are not recorded in the field for the plot parts not including a centre point of a plot in case there are no tally trees belonging those plot parts. The reason is that the area estimates are based on the numbers of the centre points while volumes are summed up from all tally trees in the stratum in question. The variables not recorded for the sub-plots without a centre point and without any tally tree are for example land class based on the FAO classification, main site class, site fertility class, stand age, mean diameter of stand, mean height of stand, stand basal area, canopy cover of trees and canopy cover of broad-leaved trees.

This fact is taken into account in the municipality level estimates in such a way that the missing value is imputed from the distribution of the variable in question when the distribution is

calculated from a similar forest stratum. In pixel level predictions, those plot parts don't have values for these variables, that is, they are not included in set  $F_i$  of Eq. 11.

The predicted variables in a map form are usually land class, main site class, site fertility class, stand age, mean diameter of stand, mean height of stand, stand basal area, canopy cover of trees, canopy cover of broad-leaved trees and volumes by tree species (pine, spruce, birch, other broad-leaved trees) and by timber assortment classes as well as biomass by tree species groups and tree compartments. The total number of the maps in MS-NFI-2017, MS-NFI-OA-2017, MS-NFI-2019 and MS-NFI-OA-2019 was therefore 44 (Table 21).

### 3.4.2. Taking into account the plot representative areas in ik-NN

For best results with a NN classifier, the number of prototypes for each class should match the a priori probabilities of the classes Davies (1988). Similar argumentation can be applied also to k-NN estimation.

If the auxiliary variables don't provide any information about the target variable, the distribution of the k-NN estimates matches the distribution of the learning data. If the information is perfect, then the distribution of estimates matches the distribution of that variable in the population where estimates are produced. In practice, the situation is somewhere between these extremes.

The distributions matter if, e.g., one is computing an average of a variable over an area. The estimates are computed for each pixel in the area. If the distribution of the estimates matches the distribution of the variable in the area of interest, the resulting average will be unbiased. If the distributions don't match, the average may be biased. This problem is present, e.g., if learning data originates from stratified sampling. In northern Lapland (see Section 2.1), the inclusion probabilities of the sample units (plots) of the NFI vary significantly between the strata within the inventory region. In NFI11, in Åland two clearly different sampling densities were used in different areas. Otherwise, the differences between sampling densities in adjacent inventory regions are small.

The sampling density can be modelled using the representative area of each plot (Tomppo et al. 2011a, Eq. 3). This is computed by dividing the sampled area  $A_c$  of sampling region  $c$  by the number of sample plots  $n_c$ . Let's assume that the variable in sample plot  $i$  is  $y_i$  and the representative area of the sample plot is  $a_{ci}$ . The estimate of the average of the variable  $\bar{y}$  over an area  $A \cup a_i$  can be computed from the samples within the area with:

$$\bar{y} = \frac{\sum_{i \in A} a_{ci} y_i}{\sum_{i \in A} a_{ci}} \quad (12)$$

Inspired by the previous equation, computation of the weights (Eq. 7) is modified to take into account the representative area  $a_{ci}$ :

$$w_{i,p} = \frac{a_{ci}}{d_{p_i,p}^t} / \sum_{j \in i_1(p), \dots, i_k(p)} \frac{a_{cj}}{d_{p_j,p}^t}, \text{ if and only if } i \in i_1(p), \dots, i_k(p) \quad (13)$$

$$= 0 \quad \text{otherwise.}$$

This approach does not completely solve the problem because it only modifies the computed results on the condition that the neighbours have been selected without taking into account

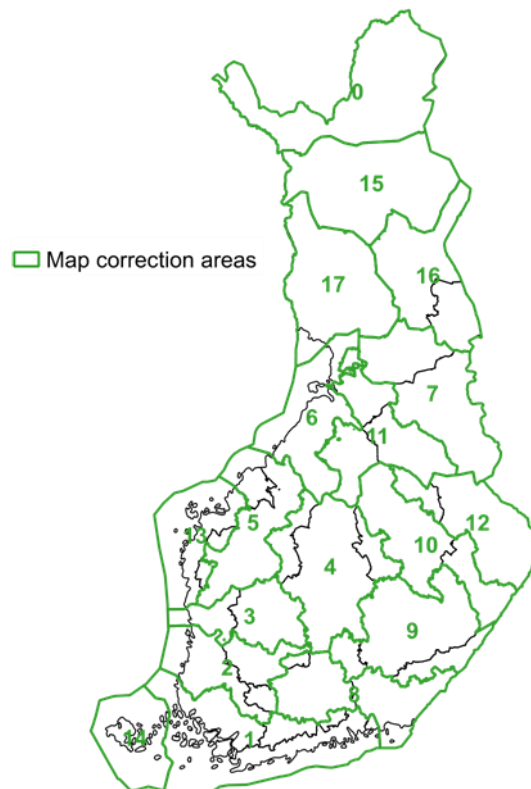
the representative areas of the sample plots. An example of the effect of this modification was reported in the previous MS-NFI report Mäkisara et al. (2019) where the effect was found small.

### 3.4.3. Selecting estimation parameters and their values for k-NN

The basic principle of k-NN estimation is straightforward. However, practice has shown that the predictions and estimation errors depend to a large extent on the core estimation parameters of the k-NN algorithm. These are:

1. the variables employed in the distance metric, spectral bands or their transformations, possible correction for variation in illumination angle of the pixel based on elevation variation (slope, aspect) (Tomppo 1996)
2. the distance metric (Tomppo & Halme 2004)
3. the value of k (Katila & Tomppo 2001)
4. the weights to be attached to the nearest neighbours, e.g., even weights or functions of the used distance and powers (negative),
5. the variables employed in restricting the area from which the nearest neighbours are sought for a pixel, e.g., a geographical reference area (Katila & Tomppo 2001). In MS-NFI-2017, the country was divided into 21 processing windows. In MS-NFI-2019, also 21 windows were used. A geographical distance limit was used in the largest image windows to prevent use of plots from different atmospheric imaging conditions
6. the use of additional information, e.g., large area variation of forest variables in the distance metric (Tomppo & Halme 2004),
7. the use of ancillary data in the estimation, e.g., for stratification.

The parameters and their values in MS-NFI-2017 and MS-NFI-2019 are given in Table 7. The parameters are selected separately for each image (consisting of one or more image frames, see Table 3). The criteria are the mean square error and bias of pixel level predictions using leave-one-out cross validation, and particularly, the difference between areal estimates based on i) multi-source inventory and ii) on the field data-based estimates and their sampling errors (Tomppo et al. 2008b, 2012, 2013). The differences of the areal estimates are assessed in terms of sampling error based on the field data plots (e.g., Katila & Tomppo 2002, Tomppo & Halme 2004). The values of the parameters usually vary by image depending on, e.g., imaging conditions, number of available field plots and variability of forests. The selections are not independent. A change in one parameter affects the optimal value of the other parameter. A crucial factor concerning the accuracy of the estimates seems to be the performance of the genetic algorithm. It was slightly revised for MS-NFI-2009 as an aim to control the weights of the feature variables coming out from the algorithm.



**Figure 10.** The large regions used for calculating the error probabilities between land classes and map strata for MS-NFI-2017 and MS-NFI-2019, and the boundaries of the Forest Centre regions. Digital map data: National Land Survey of Finland, licence MML/VIR/MYY/328/08.

#### 3.4.4. Area and volume estimates for small areas – correction for map errors

In the multi-source estimation, numerical map data (see Sect. 2.3.2) are employed to decrease estimation errors. If the numerical map data would be error free, the computation unit weights (Eq. 9) could be calculated using pixels belonging to forestry land (according to the map data) only. However, map data can be out-of-date, include location errors and does not correspond exactly to the definitions of NFI land classes. Errors can also arise during the post-processing of map data. Two methods have been developed to reduce the effect of map errors on small-area multi-source forest resource estimates: a statistical calibration method (Katila et al. 2000, Katila 2006a) and a stratified k-NN method (Katila & Tomppo 2002).

The calibration method is based on the confusion matrix between land use classes of the field sample plots and corresponding map information. The bias in the land class or other total cover estimates, obtained, e.g., from remote sensing or map data, can be corrected by means of the error probabilities expressed as a confusion matrix (Czaplewski & Catts 1992, Walsh & Burk 1993), assuming that the employed field sample are based on a statistical sampling design (Card 1982).

The employed map strata are defined in such a way that each stratum is reasonably homogeneous with respect to the 'map errors' and the NFI land class distribution. This enables the use of the synthetic small-area estimation method when correcting map errors (Rao 2003). The method utilises the error and land class proportions that have been estimated from a larger region. The large regions of municipalities were formed in such a way that the map errors would be as homogeneous as possible within the regions and within each stratum (Tomppo et al. 2013). Seventeen regions were used for map correction (Fig. 10). In the MS-NFI-2017, NFI

field plots from years 2013–2017 were used to compute the confusion matrix. The field plots from years 2015–2019 were used for MS-NFI-2019.

The method given in (Katila et al. 2000) was used to calculate the calibrated field plot weights. The calibration typically increases the mean volume estimates and decreases the FRYL area estimates for small areas, if FRYL is overrepresented on maps. Calibration was carried out by groups of municipalities. Despite the rather simple idea of the calibration, it is quite laborious when implemented in the MS-NFI.

The MS-NFI employs a topographic database for municipality boundaries, while the field inventory employs land and water areas from official statistics of the Finnish Land Survey (Suomen pinta-ala kunnittain 2020). The area information from the latter data source is more accurate and there are slight differences between the total and land areas of municipalities from these two data sources. Hence, after the correction of map errors, the MS-NFI municipality land areas are calibrated to the official land areas. The calibration coefficient is straightforward  $A_{U, LandNLS} / A_{U, Land}$  and this ratio is assumed to also hold for forestry land and the (calibrated or stratified) weights  $c_{i,U}$  are multiplied by this coefficient. For the calibrated MS-NFI, the calibrated land area  $A_{U, Land}$  must be first estimated (see Tomppo et al. 2008b, 2012, 2013). The calibration to the official land areas is valid only for (random) deviations between the two data sets and not for the case where real and significant boundary changes between municipalities have taken place in either of the two data sources.

### 3.4.5. Assessing the errors

Deriving an error estimator for an arbitrary group of pixels has proven to be a challenging task. The problem can be divided into the derivation of i) an error estimator for a pixel level prediction and ii) an error estimator for a parameter for an area of interest. Difficulties arise because:

1. errors depend on the actual value of the variable to be predicted and so pixel-level errors are spatially dependent,
2. the variables measured or observed on the field plots are also spatially dependent,
3. the spectral values of adjacent pixels of a satellite image are dependent due to the atmospheric properties (scattering) and imaging technique.

Furthermore, several error sources make the error estimation complex. Examples of such error sources are given in (Tomppo et al. 2008b, 2012, 2013).

During the data processing phase in the Finnish MS-NFI, the pixel-level root mean square error (RMSE) and the pixel level average bias are calculated with leave-one-out cross-validation using the available field plots. This is also a part of the employed genetic algorithm and the selection of the estimation parameters of k-NN and ik-NN. For a sufficiently large area consisting of a group of pixels, e.g., for areas of 200 000–300 000 ha, the MS-NFI estimates are compared to the estimates and error estimates based solely on field data. Some empirical error estimates are also available for reliability assessments (Katila 2006b, Tomppo et al. 2008a,b, 2012, 2013, 2014). Recently the accuracy of the MS-NFI municipality level estimates has been studied by comparing them against field data-based municipality estimates (Katila and Heikkinen 2020) and post-stratified NFI based municipality-based estimates (Haakana et al. 2020). For some recent developments in error estimation of k-NN based inventories, see also (Kim & Tomppo 2006, McRoberts & Tomppo 2007, McRoberts et al. 2011, McRoberts et al. 2007, Magnussen et al. 2009, Magnussen 2013, Magnussen & Tomppo 2016, Magnussen et al. 2016, Dash et al. 2015).



### 3.4.6. Calibration of the results within a processing window

The error assessment usually shows that, in spite of all tuning, the average values computed from MS-NFI do not quite match the values computed from field data. For instance, it is common that there are differences in volumes of tree species classes even if the total volumes are quite close. There are many possible reasons for the differences processing, e.g., the image characteristics, the image area in the mosaic, and the set of sample plots used in the specific processing window.

Because of this, an additional calibration step is added to the method. The purpose is to make the averages computed from several pixels to be closer to the estimates of mean values based on field data only for each processing window than they would be without this extra calibration step. The effect of this small change in averages on the RMS errors is negligible. The method was not applied in one image window in MS-NFI-2017 where the cloud-free area was too small for reliable correction.

Since MS-NFI-2017, the extra calibration is applied to the volumes, basal area, mean height, mean diameter, age, and the biomasses. The calibration may be applied also to other variables in future.

The correction is basically applied as follows. The average value of variable  $v_i$  in MS-NFI before calibration but including map error correction is denoted by  $\bar{v}_{im}$  and the corresponding average from region  $c$  field data by  $\bar{v}_{if}$ . The calibrated MS-NFI result is the uncalibrated result multiplied by  $\bar{b}_{if}^c = \bar{v}_{if}/\bar{v}_{im}$ .

A slightly more complicated method is applied to the volumes because the volumes for tree species classes must add up to the total volume for each pixel. Two methods have been used to solve the problem. The recommended method consists of the following steps:

1. Apply the multipliers individually to the tree species classes and compute the total volume for each pixel in the processing window as sum of the volumes in the tree species classes.
2. Compute the average total volume from the pixel-wise total volumes.
3. Adjust the multipliers for the tree species classes so that the total volume ratio matches the target.
4. Compute the new field data using the multipliers for the species classes. Compute the total volume for each plot as the sum of the volumes for the species classes.

In the method above, the effective correction factors for total volume vary from one plot to another. In one of the processing windows this effect was problematic. In this case, the adjustment for the multipliers for species classes was computed separately for each plot so that the corrected volumes for tree species classes add up to the total volume. In this method, the effective corrections factors for the species classes tend to be smaller than the original corrections factors.

In MS-NFI-2015, the correction factors were determined separately for each processing window based on aggregates of the municipality groups (45 in total) as presented in (Section 3.4.5, Mäkisara et al. 2019). The groups were combined within a window if the overlap did not contain enough field plots (the target was about 1000 plots).

For MS-NFI-2017 and MS-NFI-2019 the method was modified slightly. The correction factors were determined for each municipality group using aggregated results for the whole country. The factors for each processing window were then computed as weighted averages of the

factors for the municipality groups intersecting the processing windows. The weights were the numbers of field plots in each overlapping area.

The new method enabled use of the community groups as they were planned. The aggregation used in MS-NFI-2015 resulted in some cases in areas for which the calibrated estimates deviated overly from the expected.

## 4. Results

### 4.1. Pixel-wise error estimates for the different themes

The MS-NFI method does not produce error estimates for the results. The magnitude of errors can be approximated using different indirect methods. One of these is to compare the estimates against the learning data. The k-NN implementation used currently in MS-NFI omits the field plot from searching the neighbours at the corresponding pixel. This means that direct comparison of the values at the locations of training data results in leave-one-out validation.

Table 8 shows RMSE estimates for the errors at the field plot pixels for the continuous forest variables. The results have been computed separately for South Finland and North Finland and for mineral soils and peatlands (stratum based on the field data). Only the field plots completely on a single stand are included.

Table 9 shows the coefficients of determination ( $R^2 = 1 - \frac{\sum_i (\tilde{y}_i - y_i)^2}{\sum_i (y_i - \bar{y})^2}$ ) for the same variables. The plots covering more than one stand have been excluded from this evaluation. The purpose of the tables is to show the order of magnitude of the errors that can be expected for individual pixels.

If the coefficient of determination is negative, a better prediction would be to put the mean of the variable to every pixel. The coefficients of determination for some variables are negative. These are typically variables for species and timber assortments that are very rare.

The user is usually not interested in values at single pixels. More interesting is mean within a small area, e.g., forest stand. The errors become smaller when neighbouring pixels are averaged. An example can be seen in Table 10, where averages in 3 by 3 pixel neighbourhoods are used. The plots with minimum distance less than 21 m from stand boundary were left out.

**Table 8.** The RMSE errors and means for the different continuous themes. Plots on single stands. Bm = biomass, unit 10 kg/ha.

	SF/min		SF/pea		NF/min		NF/pea	
	RMSE	mean	RMSE	mean	RMSE	mean	RMSE	mean
Mean vol. m <sup>3</sup> /ha	87.3	145.9	67.0	112.7	50.2	84.4	39.0	53.1
Pine vol. m <sup>3</sup> /ha	67.0	65.2	50.5	58.9	44.1	54.0	30.3	31.8
...saw log	44.0	25.4	30.6	18.2	23.7	13.5	12.0	4.1
pulp wood	39.3	37.3	32.4	37.9	31.5	37.7	24.1	25.0
Spruce vol m <sup>3</sup> /ha	63.8	52.7	42.8	30.0	27.9	18.0	19.4	9.4
saw log	47.1	25.2	29.5	12.0	16.4	5.8	10.0	2.0
pulp wood	29.7	24.4	21.7	15.5	16.5	10.9	12.6	6.3
Birch vol m <sup>3</sup> /ha	34.9	22.0	32.0	21.7	17.6	11.0	19.2	11.4
saw log	11.2	2.7	7.3	1.5	2.0	0.2	1.7	0.1
pulp wood	27.0	16.1	26.4	16.2	14.8	7.9	16.6	8.3
Other dec m <sup>3</sup> /ha	25.4	6.1	15.8	2.0	8.9	1.4	6.2	0.5
saw log	7.6	0.7	5.1	0.3	1.0	0.0	0.6	0.0
pulp wood	18.2	3.9	10.5	1.3	7.0	0.9	5.1	0.3
Age years	26.3	47.7	29.6	58.9	46.7	76.3	36.4	59.7
Basal area m <sup>2</sup> /ha	6.9	17.8	6.5	15.6	5.1	13.1	4.7	9.0
Mean height dm	51.5	142.9	42.5	122.2	37.8	109.5	30.8	76.1
Mean diam. cm	6.7	16.5	5.4	14.4	6.1	15.0	4.3	9.7
Crown cover %	16.7	61.6	16.6	54.3	15.6	45.8	14.6	35.2
decid. %	15.4	13.5	14.1	11.4	10.2	7.3	11.0	7.1
Bm pine stem	2 624.0	2 532.2	1 982.3	2 292.2	1 710.9	2 074.0	1 178.9	1224.7
branches living	355.0	379.4	279.9	376.4	314.6	430.0	215.0	248.9
branches dead	90.5	99.0	73.8	98.2	69.8	95.5	51.3	60.6
foliage	117.5	136.8	98.3	143.8	107.6	153.6	80.5	101.3
stump	186.1	196.2	150.3	190.9	140.6	185.6	100.2	112.5
roots >=1cm	609.2	597.1	470.4	555.4	443.9	547.0	295.0	309.9
stem residual	152.6	92.8	145.5	106.5	175.7	104.7	135.1	99.3
Bm spruce stem	2 341.8	1 953.4	1 596.2	1 123.2	1 074.4	700.3	744.4	365.7
branches living	510.8	475.0	366.5	289.9	318.5	221.2	210.8	115.7
branches dead	95.4	88.4	68.2	54.0	46.1	32.8	34.0	18.6
foliage	324.4	328.0	239.8	208.8	194.5	148.3	142.1	86.0
stump	200.5	173.9	141.5	103.8	114.7	75.0	75.2	38.4
roots >=1cm	722.1	670.6	528.5	413.5	423.9	295.4	291.9	159.7
stem residual	164.0	112.3	157.6	89.3	102.6	53.0	98.7	44.5
Bm decid. stem	2 127.0	1 355.6	1 755.1	1 146.7	973.7	593.5	994.0	564.3
branches living	350.3	223.4	271.6	184.5	189.0	129.1	180.6	111.3
branches dead	19.3	13.4	16.2	11.5	11.1	7.3	10.9	6.6
foliage	75.4	61.9	70.3	56.2	54.9	40.3	54.2	36.6
stump	189.8	120.5	152.4	102.5	115.6	66.3	111.0	60.1
roots >=1cm	584.6	410.6	505.4	360.6	340.2	196.4	341.9	191.1
stem residual	386.0	199.2	339.2	188.1	285.2	148.2	273.5	135.8

**Table 9.** The coefficient of determination ( $R^2$ ) between the true value and the predictions. Plots with one stand. Bm = biomass, unit 10 kg/ha.

	SF/min	SF/peat	NF/min	NF/peat
Mean volume	0.46	0.53	0.51	0.62
Pine vol. m <sup>3</sup> /ha	0.34	0.39	0.40	0.49
saw log	0.16	0.15	0.16	0.05
pulp wood	0.32	0.40	0.38	0.49
Spruce vol m <sup>3</sup> /ha	0.52	0.56	0.48	0.47
saw log	0.44	0.42	0.34	0.08
pulp wood	0.38	0.50	0.40	0.47
Birch vol m <sup>3</sup> /ha	0.20	0.30	0.24	0.36
saw log	-0.07	-0.09	-0.09	-0.18
pulp wood	0.20	0.29	0.21	0.31
Other dec m <sup>3</sup> /ha	-0.02	-0.00	-0.11	-0.31
saw log	-0.13	-0.19	-0.17	-0.16
pulp wood	-0.05	0.06	-0.13	-0.29
Age years	0.40	0.40	0.46	0.43
Basal area m <sup>2</sup> /ha	0.58	0.61	0.63	0.72
Mean height dm	0.57	0.66	0.58	0.73
Mean diameter cm	0.53	0.62	0.51	0.66
Crown cover %	0.53	0.63	0.60	0.73
decid. %	0.47	0.47	0.36	0.45
Bm pine stem	0.33	0.39	0.39	0.49
branches living	0.36	0.45	0.40	0.48
branches dead	0.38	0.45	0.42	0.51
foliage	0.40	0.50	0.42	0.52
stump	0.37	0.43	0.41	0.50
roots >=1cm	0.33	0.39	0.38	0.47
stem residual	0.07	0.13	-0.01	0.16
Bm spruce stem	0.52	0.56	0.49	0.47
branches living	0.50	0.56	0.44	0.48
branches dead	0.51	0.57	0.49	0.50
foliage	0.49	0.58	0.47	0.51
stump	0.50	0.55	0.44	0.46
roots >=1cm	0.50	0.55	0.45	0.48
stem residual	0.07	0.18	0.11	0.19
Bm decid. stem	0.24	0.33	0.24	0.34
branches living	0.21	0.31	0.21	0.34
branches dead	0.25	0.34	0.23	0.35
foliage	0.36	0.38	0.28	0.39
stump	0.18	0.26	0.12	0.25
roots >=1cm	0.25	0.31	0.18	0.29
stem residual	0.11	0.12	0.05	0.13

The categorical variables must be evaluated using confusion matrices (i.e., matrices tabulating the expected and realized outcome from classification). The Producer’s Accuracy (UA) shows how often the training field plot is classified correctly, whereas the User’s Accuracy shows how often the classes are present at the pixel. The column CProp shows the proportions of the classes in the training data and the column PProp shows the proportions in the prediction. The overall accuracy in the intersection of the UA column and PA line shows how often the training plots are classified correctly.

**Table 10.** Examples of the coefficient of determination ( $R^2$ ) between the true value and the predictions for data averaged in 3 by 3 neighbourhoods. Plots with distance less than 21 meters to stand boundary left out. Bm = biomass, unit 10 kg/ha.

	SF/min	SF/peat	NF/min	NF/peat
Mean volume	0.65	0.72	0.71	0.77
Pine vol. m <sup>3</sup> /ha	0.54	0.60	0.61	0.70
saw log	0.41	0.43	0.46	0.40
pulp wood	0.53	0.60	0.60	0.70
Other dec m <sup>3</sup> /ha	0.23	0.26	0.24	0.12
saw log	0.15	0.17	0.15	0.22
pulp wood	0.23	0.31	0.23	0.10
Age years	0.62	0.66	0.68	0.70
Basal area m <sup>2</sup> /ha	0.74	0.79	0.80	0.85
Mean height dm	0.74	0.82	0.77	0.87
Mean diameter cm	0.71	0.79	0.72	0.84
Crown cover %	0.69	0.79	0.76	0.86
decid. %	0.66	0.68	0.60	0.64
Bm pine stem	0.54	0.60	0.61	0.70
branches living	0.57	0.65	0.61	0.71
branches dead	0.57	0.64	0.62	0.72

Table 11 shows the results for the land class theme. The forest land is slightly more common in the prediction than in the training data and it is by far the most common class overall. The poorly productive forest land is fairly often misclassified.

**Table 11.** The confusion matrix, the user (UA), producer (PA) and overall accuracies for the land class theme. The column CProp shows the proportions of the classes in the training data and the column PProp shows the proportions in the prediction

pixel/plot	1	2	3	UA	CProp	PProp
1	33 063	1 047	199	96.4	85.9	87.7
2	475	1 397	431	60.7	6.8	5.9
3	43	234	2 212	88.9	7.3	6.4
PA	98.5	52.2	77.8	93.8	100.0	100.0

Table 12 shows the results for the FRA land class theme. Class 2 (other wooded land) proportion in the result is not bad, but it is often misclassified.

**Table 12.** The confusion matrix, the user (UA), producer (PA) and overall accuracies for the FRA land class theme. The column CProp shows the proportions of the classes in the training data and the column PProp shows the proportions in the prediction

pixel/plot	1	2	3	UA	CProp	PProp
1	35 375	432	457	97.5	91.2	92.7
2	153	144	137	33.2	1.9	1.1
3	146	158	2 099	87.3	6.9	6.1
PA	99.2	19.6	77.9	96.2	100.0	100.0

Table 13 shows the results for the principal site class. Class 2 (spruce mires) tends to be misclassified as class 1 (mineral soil) or 3 (pine mires).

Table 14 shows the results for the site fertility class. These classification results are not good. The classes are defined so that the neighbouring (by number) classes are more similar than classes with Table indices further away. The expected differences in the satellite data between neighbouring classes are small. If error to neighbouring class is accepted, the overall accuracy rises from 55.0 % to 92.6 % (Table 15). The classification accuracy is worst for classes 7 (rocky and sandy soils) and 8 (summit and fell forests) in this case.

**Table 13.** The confusion matrix, the user (UA), producer (PA) and overall accuracies for the main site class theme. The column CProp shows the proportions of the classes in the training data and the column PProp shows the proportions in the prediction

pixel/plot	1	2	3	4	UA	CProp	PProp
1	25 291	1 891	1 254	63	88.7	67.6	72.9
2	468	726	349	5	46.9	8.6	4.0
3	670	726	5 882	219	78.5	19.3	19.2
4	8	3	46	1 500	96.3	4.6	4.0
PA	95.7	21.7	78.1	83.9	85.4	100.0	100.0

**Table 14.** The confusion matrix, the user (UA), producer (PA) and overall accuracies for the site fertility theme. The column CProp shows the proportions of the classes in the training data and the column PProp shows the proportions in the prediction

pixel/ plot	1	2	3	4	5	6	7	8	9	10	UA	CProp	PProp
1	76	130	69	8	4	0	1	0	0	0	26.4	1.9	0.7
2	324	2 030	1 629	199	28	3	14	0	1	0	48.0	14.1	10.8
3	265	2 857	11 643	3 604	420	29	112	71	8	0	61.2	43.7	48.6
4	36	387	3 202	5 213	1 389	83	116	73	17	7	49.5	26.2	26.9
5	24	66	415	1 060	1 717	236	29	10	5	1	48.2	9.6	9.1
6	0	8	29	67	139	208	1	0	1	0	45.9	1.4	1.2
7	2	12	49	49	30	1	292	9	4	1	65.0	1.5	1.1
8	0	0	22	20	4	0	5	36	3	0	40.0	0.6	0.2
9	0	4	18	28	9	4	8	10	184	49	58.6	0.7	0.8
10	0	0	3	8	2	0	5	13	41	112	60.9	0.4	0.5
PA	10.5	36.9	68.2	50.8	45.9	36.9	50.1	16.2	69.7	65.9	55.0	100.0	100.0

**Table 15.** The confusion matrix, the user (UA), producer (PA) and overall accuracies for the site fertility theme if error to neighbouring class is accepted. The column CProp shows the proportions of the classes in the training data and the column PProp shows the proportions in the prediction

pixel/ plot	1	2	3	4	5	6	7	8	9	10	UA	CProp	PProp
1	400	0	69	8	4	0	1	0	0	0	83.0	1.9	1.2
2	0	5 017	0	199	28	3	14	0	1	0	95.3	14.1	13.5
3	265	0	16 474	0	420	29	112	71	8	0	94.8	43.7	44.4
4	36	387	0	9 877	0	83	116	73	17	7	93.2	26.2	27.1
5	24	66	415	0	3 245	0	29	10	5	1	85.5	9.6	9.7
6	0	8	29	67	0	445	0	0	1	0	80.9	1.4	1.4
7	2	12	49	49	30	0	298	0	4	1	67.0	1.5	1.1
8	0	0	22	20	4	0	0	55	0	0	54.5	0.6	0.3
9	0	4	18	28	9	4	8	0	228	0	76.3	0.7	0.8
10	0	0	3	8	2	0	5	13	0	161	83.9	0.4	0.5
PA	55.0	91.3	96.5	96.3	86.7	78.9	51.1	24.8	86.4	94.7	92.6	100.0	100.0



## 4.2. Difference between estimates from plot weights and from pixels

The calibration of the results aims to bring the means of the estimates computed by accumulation of the plot weights close to the means of estimates from field data for large areas. The estimates accumulated from the plot weights include correction of the map errors. This means that these results are not necessarily equal to the averages for the same areas computed from the raster themes. The estimates of means from accumulated plot weights tend to be higher than the averages from the raster data.

The results with map correction are typically computed for the land use classes 1 and 2, whereas the averages from the raster themes can only be accumulated for land use classes 1, 2 and 3. This means that these results can't be compared directly. This is especially true for area where the area and proportion of waste land is difficult to estimate (mainly Åland and Lapland). Computation of the municipality groups assesses this statistically, but it is not possible to do this reliably in the rasters. The land use class theme shows the raw result from prediction, but the map correction can't be applied to the rasters.

**Table 16.** Some results for the mean stem volume in MS-NFI-2019 for the region Uusimaa computed with different methods.

Row	Method	Land use class				
		1	2	3	1–2	1–3
1	field data	164.5	57.4	0.0	159.3	155.0
2	learning data for pixels	160.9	69.4	8.8	156.3	153.0
3	thematic map, forest pixels *)	152.4	49.0	7.4	149.3	148.0
4	thematic map, borders removed *)	163.6	31.1	1.2	163.2	162.8
5	thematic map, learning locations	161.7	84.8	41.3	157.8	153.7
6	plot weights				153.0	
7	plot weights, map correction				161.7	

\*) land use class from the thematic map

Table 16 shows a set of results computed with different methods and region definitions using MS-NFI-2019 for the region Uusimaa. Only the mean stem volume is shown in this example. The reasons for the different results are discussed in Section 5.2.

The first and second row show results computed from the field data. The first row shows the field data result as it is computed in NFI for the field plots not in cloud pixels (i.e., using the land use class in the observations). The computation uses only the sub-plots in stands belonging to the particular land use classes for which the estimate is calculated. The second row shows the stem volume computed for all non-cloudy pixels where the centre of the plot is in the particular land use classes for which the estimate is calculated. All the sub-plots at the pixels are included (including the sub-plots in non-forest stands) in this case.

The third and fourth row show results averaged using the land use class theme to classify the pixels to land use classes. On the fourth row, the border pixels of connected forest areas (pixels with neighbours outside forest) are removed. For example, this removes pixels that because of water level differences and rectification inaccuracies are not really forest.

The fifth row shows the result averaged from the pixels corresponding to the training field plot locations (the land use class is from the centre point stands of the field data).

The last two rows show results computed using the accumulated plot weights instead of pixels (see Section 3.4.6). Results without map correction and with map correction are shown. Only results for combined forest and poorly productive land have been computed.

### **4.3. Differences between MS-NFI-2017 and MS-NFI-2019 results**

The users use the MS-NFI results from different years to assess changes in forests. The simplest method is to subtract the themes from one result from themes from another result. The reliability of the results and the changes in the methods should be taken into account when interpreting the results.

It is believed that the stability of the results has increased when the methods (especially calibration) have been developed during years. A comparison between the total volume estimates for regions between MS-NFI-2017 and MS-NFI-2019 is presented in Table 17. No attempt has been made to correct the field data mean volumes to the ends of the field data interval. This means that the two-year differences are not for the same years (for instance, the MS-NFI-2019 target year is 2019, whereas the midpoint of field data is in 2017) but show the size of the changes within two years. The rightmost column contains the standard error estimates for the later field data based mean values.

The differences are mostly small compared to the standard errors from field data. In Päijät-Häme, the difference computed from field data looks large, but the standard error is also large compared to other standard errors. The same applies to Etelä-Karjala.

**Table 17.** The second and third column contain the means of the predictions for mean stem volume computed from the plot weights (map correction included). The fourth column is their difference. The fifth column is the difference of means computed from the field data (years 2013-2017 and 2015-2019). The sixth column is the difference of these means. The last column is the standard error of the mean for the 2019 estimates computed from the field data.

Nbr	Region	Mean vol.	Mean vol.	Difference	Field data	Field data
		2019	2017	2019–2017	2019–2017	std error 2019
1	Uusimaa	161.7	159.2	2.5	0.8	3.7
2	Varsinais-Suomi	146.9	148.2	-1.3	-2.3	2.7
4	Satakunta	142.8	140.0	2.8	3.8	3.1
5	Kanta-Häme	166.7	167.1	-0.4	0.4	4.6
6	Pirkanmaa	155.8	158.1	-2.2	-0.5	2.6
7	Päijät-Häme	163.8	166.5	-2.7	6.4	4.5
8	Kymenlaakso	148.7	150.1	-1.4	-3.8	3.9
9	Etelä-Karjala	148.0	148.3	-0.4	3.9	4.0
10	Etelä-Savo	154.8	152.0	2.8	1.3	2.2
11	Pohjois-Savo	139.6	143.0	-3.4	1.7	2.2
12	Pohjois-Karjala	129.9	132.3	-2.4	1.0	1.9
13	Keski-Suomi	140.4	142.8	-2.4	0.5	2.2
14	Etelä-Pohjanmaa	113.9	116.4	-2.5	0.5	2.1
15	Pohjanmaa	137.1	133.5	3.6	2.8	3.4
16	Keski-Pohjanmaa	107.0	108.9	-2.0	1.8	3.7
17	Pohjois-Pohjanmaa	91.6	93.3	-1.7	1.3	1.2
18	Kainuu	96.3	97.5	-1.2	-1.1	1.5
19	Lappi	63.2	64.2	-0.9	0.9	0.5
21	Ahvenanmaa	129.9	121.9	8.0	11.6	4.9

#### 4.4. Empirical error estimates of the municipality estimates

Assessment of MS-NFI municipality estimates was based on their absolute difference from ordinary field plot based NFI estimates (Katila and Heikkinen 2020). The differences were divided by the standard error (SE), of the NFI estimator. The diagnostic characteristic, denoted here by  $\times SE$ , is  $|\tilde{y}_u - y_u|/s$ , where  $s$  is used for SE. Standard half-normal distribution (Leone et al. 1961) was used as a reference, since  $\times SE$ -values should follow it, if the MS-NFI estimators were unbiased and if their MSE was small in comparison to the SE of the corresponding field data estimators.  $\times SE$ -values greater than 2 were considered statistically significant (Tomppo et al. 2008b).

**Table 18.** The median and upper quantiles of the absolute value the difference between the MS-NFI2017 total volume estimate and the updated field inventory estimate (2013–2017 field plots) scaled to the NFI SE ( $\times SE$ ) employing the MS-NFI-2017 for the volume and the volume by tree species group (pine, spruce, birch and other broadleaved tree species) for the municipalities ( $n = 306$ – $309$  depending on the variable). The standard half-normal distribution quantiles are displayed.

Quantile	Volume	Pine	Spruce	Birch	Other broadl. spp.	Half norm. distrib.
Median	0.66	0.67	0.71	0.67	0.71	0.67
Q90	1.65	1.82	1.94	1.90	2.94	1.64
Q95	2.05	2.28	2.49	2.35	4.82	1.96
Q97.5	2.31	3.72	3.30	2.75	6.02	2.24
Q99	3.44	4.91	5.27	3.44	10.62	2.58

The field data 2013–2017 based estimates and their SEs by municipalities were updated to the year 2017 and used for comparison. Linear regression models were fitted between the estimates of mean volume based on single-year NFI field samples and the corresponding inventory year (2004–2016) by sampling region. Coefficients from the models were used to update the volume estimates. The upper quantiles of the total volume of growing stock roughly follow the half-normal distribution for most quantiles (computed with the `qfoldnorm` function in the VGAM R-package; Yee 2018), the expected distribution for precise and accurate small-area estimators. Hence these estimates from MS-NFI-2017 could be considered unbiased. The upper quantiles for the total volumes of pine, spruce and birch were slightly higher (Q95) than the half-normal ones and there is bias, to some extent, in these estimates. The total volume estimates of the other broadleaved tree species displayed a clear amount of biased observations. For a subset of municipalities where the total growing stock was over one mill. m<sup>3</sup> ( $n=283$ ) the Q95s of  $\times SE$ s were 1.96, 2.02, 2.11, 2.29, 3.73 for the total volume and the total volumes of pine, spruce birch and other broadleaved tree species, respectively. This validation of the MS-NFI municipality estimates can be considered conservative because there are no confidence limits for the MS-NFI estimates. Otherwise a statistics for comparing two means could be applied. Some NFI field data-based estimates may be unreliable. This was concluded after comparing the municipal level mean volume estimates (after updating them applying a trend estimated for the sampling region) of two subsequent NFIs. Few municipalities showed significantly different mean volume estimates.

#### 4.5. Forest resources by municipalities

One of the primary results of MS-NFI are the forest resource estimates for municipalities. With the MS-NFI method, it is possible, at least in theory, to estimate for municipalities all the parameters that are usually estimated for the regions using field data only. The estimates are presented in Appendix Tables 1–9 for both MS-NFI-2017 and MS-NFI-2019 for the parameters whose estimates are considered to be sufficiently precise. These tables include the same parameters as the ones published for MS-NFI-2009 (Tomppo et al. 2013), MS-NFI-2011 (Tomppo et al. 2014), MS-NFI-2013 (Mäkisara et al. 2016) and MS-NFI-2015 (Mäkisara et al. 2019).

The estimates can be divided into area and volume estimates. Some tables present only area estimates, some only volume estimates and some volume estimates for sub-categories of forest land or poorly productive forest land, together with area estimates of the sub-categories.

The estimates of the areas of forest land, poorly productive forest land and unproductive forest land (three forestry land categories) are given in Appendix Table 1a for the entire forestry land and in Appendix Table 1b for forestry land available for wood supply. A national classification is used for land classes, for definitions of these classes and comparison to FAO land classes see Tomppo et al. (2011a). The areas and proportions of forestry land of mineral soils and peatland soils are given in Appendix Tables 2a separately for three forestry land categories, and the similar estimates for forestry land available for wood supply are given in Appendix Tables 2c. The Appendix Tables 2b and 2d show again the areas of forest land and poorly productive forest land on mineral soils and peatland soils, as in Appendix Tables 2a and 2b, and now also the mean volumes of the growing stock for the land categories of the tables.

The dominant tree species by municipalities are presented in Appendix Table 3a for forest land and in Appendix Table 3b for poorly productive forest land. The dominant tree species is defined in the NFI for the field assessment as a stand-level variable. In NFI11 and NFI12, it is the tree species with the highest basal area for the development classes from young thinning stand to mature stand and seed tree and shelterwood stands and is defined as the tree species with highest number of stems capable of development in young and advanced seedling stands. The proportion of pine dominated forests of forest land is usually high in North Finland (Lappi), often over 80 %, and also in the many municipalities in South, Central and North Ostrobothnia regions. A high proportion of pine mires increases the area and proportion of pine dominated forests in these regions. The proportion is high also in some areas in South Finland in coastal regions and Central Finland in areas where Sub-xeric heath forests are common. Among the areas of the Regions, the proportion of spruce dominated forests on forest land is highest in South Finland in Kanta-Häme, Pirkanmaa and Päijät-Häme Regions being 50 % with the highest municipality level estimates in MS-NFI-2017 and MS-NFI-2019.

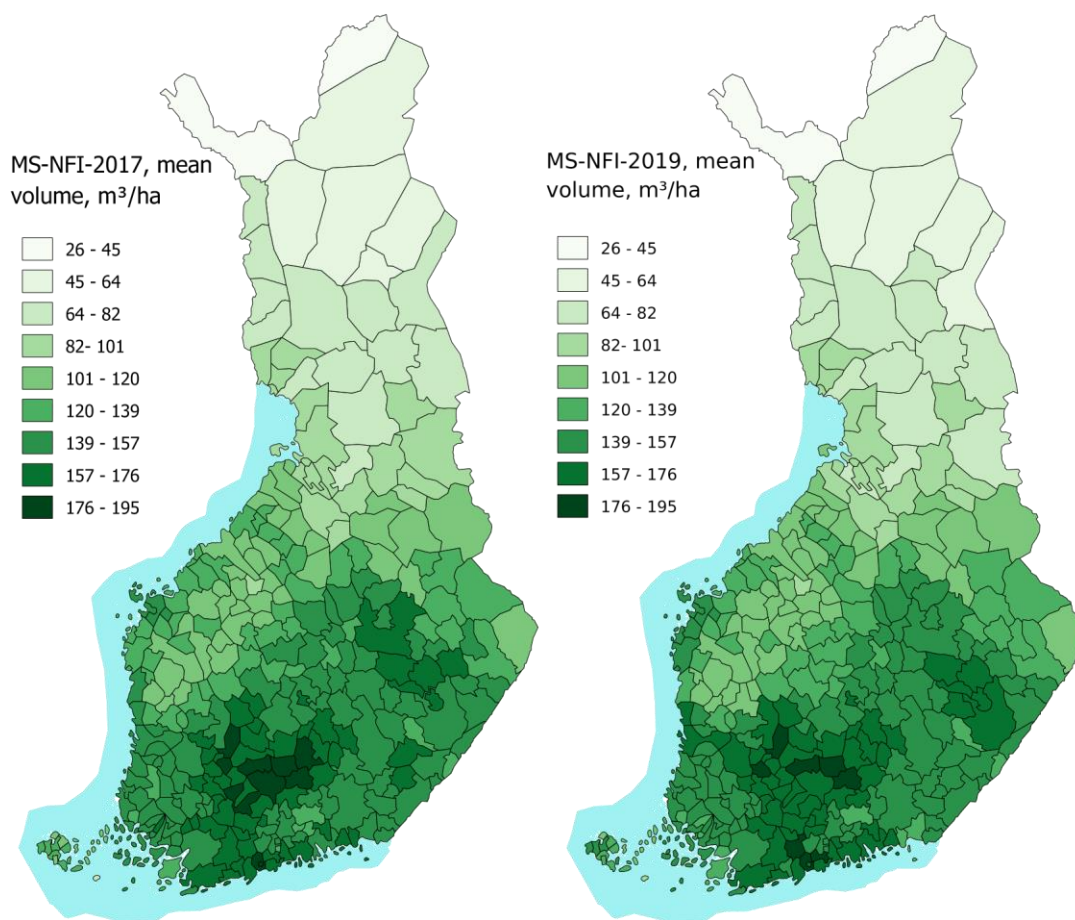
The stand age and the development class of a stand used in MS-NFI are defined in a same way as in the field inventory (Tomppo et al. 2011a). The area estimates for age classes on forest land by municipalities is presented in Appendix Table 4a and for development classes in Appendix Table 5a. The proportion of forest land with a stand age not more than 40 years varies by municipality in South Finland, from about 15 % to about 60 %, the regions level proportions being around 40 %, The proportional area of young forests is high in Eastern and South-Eastern Finland, and also in some municipalities in Central Finland. The proportional area of forest not more than 40 years old is lower in North Finland than in South Finland. One should note that the same age in South Finland and North Finland corresponds to different development class of stand due to slower growth in the North than in the South.

The mean volume and total volume estimates are given in many different ways: mean volumes by tree species and by timber assortments for combined forest land and poorly productive forest land (6a) likewise with total volumes (6b). The similar estimates are given for forest land and poorly productive forest land available for wood supply in Appendix Tables 6c and 6d. Appendix Tables 7a–d present the similar estimates for forest land as Appendix Tables 6a–d for forest land and poorly productive forest land. Note that poorly productive forest land consists either of rocky soils, field forests or less fertile peatland soils, such as oligo-ombrotrophic or ombrotrophic peatland, e.g., Sphagnum fuscum dominated peatland. Note that the water balance of peatland soil also affects the wood production capacity and land class of peatland.

The mean volumes of the growing stock in the municipalities vary significantly by the regions and also within the regions. The mean volume estimates on forest land and forest and poorly productive forest land are given also separately for mineral soils and peatland soils in Appendix Table 2b, and for forest land and forest and poorly productive forest land available for wood supply in Appendix Table 2d.

The mean volume estimates by age classes on forest land are given in Appendix Table 4b and the similar estimates for the forest land available for wood supply in Appendix Table 4d. The corresponding mean volume estimates by development classes on forest land are given in Appendix Tables 5b and 5d.

The mean volume of the growing stock on combined forest land and poorly productive forest land by municipalities varies in Southernmost Finland is typically over 140 or 150 cubic metres per hectare ( $\text{m}^3/\text{ha}$ ) except in the municipalities in South coast and Åland region. In continental South Finland (regions 1–16, Fig. 1), the mean volume of growing stock by municipalities (Appendix Table 6a and Fig. 11) ranged from  $94 \text{ m}^3/\text{ha}$  in Halsua to  $186 \text{ m}^3/\text{ha}$  in Padasjoki in MS-NFI-2017 and from  $94 \text{ m}^3/\text{ha}$  in Halsua to  $191 \text{ m}^3/\text{ha}$  in Pälkäne in MS-NFI-2019 and those for pine from  $29 \text{ m}^3/\text{ha}$  in Järvenpää to  $91 \text{ m}^3/\text{ha}$  in Kustavi in MS-NFI-2017 and pine from  $31 \text{ m}^3/\text{ha}$  in Siilinjärvi to  $93 \text{ m}^3/\text{ha}$  in Lumparland in MS-NFI-2017 and those for spruce from  $9 \text{ m}^3/\text{ha}$  in Halsua and  $102 \text{ m}^3/\text{ha}$  in Pälkäne in MS-NFI-2017 and from  $4 \text{ m}^3/\text{ha}$  in Kökar and  $102 \text{ m}^3/\text{ha}$  in Pälkäne in MS-NFI-2019 and those for birch from  $13 \text{ m}^3/\text{ha}$  in Kustavi to  $44 \text{ m}^3/\text{ha}$  in Vantaa in MS-NFI-2017 and from  $10 \text{ m}^3/\text{ha}$  in Kustavi to  $44 \text{ m}^3/\text{ha}$  in Vantaa in MS-NFI-2019. In North Finland (Regions 17–19, Fig. 1), the respective ranges were from  $28 \text{ m}^3/\text{ha}$  in Utsjoki to  $132 \text{ m}^3/\text{ha}$  Alavieska in MS-NFI-2017 and from  $27 \text{ m}^3/\text{ha}$  in Utsjoki to  $126 \text{ m}^3/\text{ha}$  Alavieska in MS-NFI-2019 and those for pine from  $10 \text{ m}^3/\text{ha}$  in Utsjoki to  $71 \text{ m}^3/\text{ha}$  in Kalajoki in MS-NFI-2017 and from  $11 \text{ m}^3/\text{ha}$  in Utsjoki to  $69 \text{ m}^3/\text{ha}$  in Kalajoki in MS-NFI-2019 and those for spruce from  $0 \text{ m}^3/\text{ha}$  in Utsjoki to  $42 \text{ m}^3/\text{ha}$  in Alavieska in MS-NFI-2017 and from  $1 \text{ m}^3/\text{ha}$  in Utsjoki to  $42 \text{ m}^3/\text{ha}$  in Nivala in MS-NFI-2019 and those for birch from  $7 \text{ m}^3/\text{ha}$  in Savukoski to  $28 \text{ m}^3/\text{ha}$  in Kemi in MS-NFI-2017 and birch from  $7 \text{ m}^3/\text{ha}$  in Savukoski to  $24 \text{ m}^3/\text{ha}$  in Kempele in MS-NFI-2019.



**Figure 11.** The MS-NFI-2017 mean volume of growing stock on forest and poorly productive forest land by municipalities. Digital map data: ©National Land Survey of Finland, licence No. MML/VIR/MYY/328/08

The mean volume of spruce saw log on combined forest land and poorly productive forest land and also on that available for wood supply by municipalities is naturally highest in the region in which spruce volume is highest, that is, in southern part of Central Finland, in regions of Häme-Uusimaa, and the southern regions of Central Finland and Pohjois-Savo. The volume of pine saw log is relatively high, near or over 30 m<sup>3</sup>/ha, in some municipalities in South-West (Lounais-Suomi) and South-East (KaakkoisSuomi) and Etelä-Savo, while the birch saw log, 6–8 m<sup>3</sup>/ha in some municipalities in Uusimaa (Appendix Tables 6a and 6c).

#### 4.5.1. Biomass estimates and available energy wood

The two main potential development classes of wood energy sources of forests in Finland are young thinning stands and mature stands. All tree compartments above stump of those trees to be harvested as energy wood are removed in a young thinning stand, i.e., the entire stem over stump, branches and foliage. Only the residual part of stem, in addition to branches are removed for energy in the case of the regeneration cutting of a mature stand.

Biomass estimates have been calculated for each field plot and plot part on forest land and poorly productive forest land in the NFI11, NFI12 and NFI13 data for biomass and energy wood estimation. The biomass estimates in the field data by tree compartments (Table 13) were predicted first for sample trees on forest land and poorly productive forest land of NFI11, NFI12 and NFI13 and then predicted for tally trees in a similar manner as the volumes. The biomass

of the stem (including bark) was calculated from the volume of a stem using stem wood density models by (Repola et al. 2007). The biomass estimates of the other tree compartments were calculated using the models by Repola (2008, 2009) (Table 19). Note that the stem residual biomass is included to the stem biomass in the table. Tree level biomass predictions were converted to kilograms per hectare (kg/ha), taking into account the angle count sampling (Bitterlich sampling) basal area factor and the maximum radius of the plot or the expansion factor for the fixed radius plots. The biomass estimates by tree species groups in young thinning stands (development class) are presented in Appendix Table 8a with a unit of Gg ( $10^9$  g). The biomasses of stem and bark, branches and foliage were calculated using those field plots on which first commercial thinning was proposed for the first 5-year period or on which pre-commercial thinning was proposed and the treatment was already considered to be delayed in the corresponding stand. The proportion of the field plot biomass capable to be removed was estimated employing the field plot data and the basal area thresholds from the thinning regimes for mineral soils (Hyvän metsänhoidon suosituksset 2005) and peatlands (Hyvän metsänhoidon suosituksset turvemaille 2007), varying according to region (degree days), dominant tree species and site class. First the dominant height of the field plot stand was estimated from stand mean height. The basal area removed was the stand basal area minus basal area threshold value after cutting from the particular thinning regime. The basal area removal percentage was converted to volume removal percentage using the relations of these two removals obtained from Motti stand simulator for corresponding regions, dominant tree species and site classes (Hynynen et al. 2002). This percentage was used to estimate the biomass components removal for the selected field plots. Appendix Table 8c presents similar estimates to those in Appendix Table 8a for land available for wood supply. The biomass estimates of mature forests are presented separately for branches, foliage and stem residuals, and stumps and large roots by tree species groups in Appendix Table 8b and for land available for wood supply in Table 8d. In practice, only branches and stem residuals can be harvested from regeneration cutting areas

**Table 19.** The compartments for tree biomass (Repola et al. 2007, Repola 2008, 2009).

Biomass compartment
Stem and bark
Living branches
Foliage
Dead branches
Stump
Roots with diameter larger than 1 cm
Stem residual (from NFI timber assortment class proportions and stem, and bark biomass)

The biomass models employed here and in (Tomppo et al. 2012, 2013, 2014, Mäkisara et al. 2016, 2019) were different from those used in MS-NFI-2005 (Tomppo et al. 2009a). The effect of the two sets of the models on the biomass estimates and differences is discussed in (Tomppo et al. 2012).

The energy wood estimates represent energy wood potential rather than the energy wood available in practice. The practical constraints in use and harvesting of energy wood, like



minimum removal and other cutting operations were not taken into account. For more details of deriving the biomass estimates and the reliability of the estimates, see (Tomppo et al. 2008b).

#### 4.6. Digital thematic output maps

Thematic forest maps in raster format were produced for the most important forest variables (Table 20): land class, main site class, site fertility class, stand age, mean diameter and height of stands, stand basal area, canopy cover of trees, canopy cover of broad-leaved trees and volumes by tree species and timber assortments, for four tree species or species groups (pine, spruce, two birch species combined, and other broad leaved tree species). Twelve volume maps were produced for volumes of saw timber, pulp wood and total volume by tree species groups and one for all species together, without breaking down to saw timber and pulp wood. Twenty-one thematic maps show the biomass estimates for three tree species groups (pine, spruce, broad-leaved trees) and for seven tree compartments. Note that the biomass component stem residual is a part of biomass component stem and bark. The maps produced are georeferenced raster layers in ETRS-TM35FIN coordinate system with a spatial resolution of 16 m by 16 m (Table 20), and cover Finland as shown in Fig. 6. The non-forestry land use cover was obtained from the digital land use map data and overlaid on the satellite image data during the estimation phase. The ik-NN pixel-level predictions were made for the rest of the area. An example of the total volume thematic map is given in Fig. 9c. The raster layers can be combined to produce new thematic maps, e.g., dominant tree species and mean volume classes by tree species dominance. More examples of digital thematic maps are given in Tomppo et al. (2008b).

Two kinds of map were made for the open access product: the viewable maps and the complete maps. The background map data has been replaced by a no-data value in both products. The viewable maps are 8-bit images where the forest variables have been classified into a small number of classes and colours have been assigned to the classes. These maps are available in viewing services like the Paikkatietoikkuna of the National Land Survey of Finland (<http://www.paikkatietoikkuna.fi/>) (Paikkatietoikkuna 2018). The complete maps retain the full precision of the results in 16-bit raster data. These are available at <http://kartta.luke.fi/index-en.html> (Luke 2018).

For a cover as complete as possible for MS-NFI-OA-2017 from the entire country, the 2017 product has been completed by the data estimates from the recent years. The product thus consists of the following sub-products:

1. The estimates from 2017, the NFI field data from 2013–2017 and the satellite images from 2017–2018,
2. The estimates from 2015, the NFI field data from 2012–2016 and the satellite images from 2015–2016,
3. The estimates from 2013, the NFI field data from 2009–2013 and the satellite images from 2012–2014 (Mäkisara et al. 2016),
4. The estimates from 2011, the NFI field data from 2007–2011 and the satellite images from 2009–2012 (Tomppo et al. 2014),
5. The estimates for about municipality Enontekiö in North Lapland, the NFI field data from 2003 and the satellite images from 2000,
6. The estimates from 2007, the NFI field data from 2005–2008 and the satellite images from 2005–2008.

The estimates used to complete the MS-NFI-OA-2019 are the following:

1. The estimates from 2019, the NFI field data from 2015–2019 and the satellite images from 2018– 2019,
2. The estimates from 2017, the NFI field data from 2013–2017 and the satellite images from 2017– 2018,
3. The estimates from 2015, the NFI field data from 2012–2016 and the satellite images from 2015– 2016,
4. The estimates from 2013, the NFI field data from 2009–2013 and the satellite images from 2012– 2014 (Mäkisara et al. 2016).

Note that the sum and mean values calculated from raster layers could deviate, and also do deviate in most cases, from the area and volume estimates in the Appendix Tables, due to the corrections for map errors. The forestry land area calculated from the maps is greater, and the mean volume estimates smaller, than those in the Appendix Tables in most cases (cf. Subsection 3.4.4). Particularly, if the estimates are calculated for a specific stratum, e.g., the mean or total volume for forests older than 120 years, the small area estimates calculated using the weights (Eq. 9) may deviate significantly from the estimates calculated from the map layers (Mäkisara et al. 2019). The reason is that there is a tendency towards the mean in the map form estimates while original field data are used in the small area estimates. For these reasons, it is recommended to use the small area approach in estimation when estimating strata far from the mean of the variable.

In addition to the latest estimates, the open access products include, estimates from earlier multisource inventories. The proportion of pixels and area included from the different estimates is shown in Table 21 for MS-NFI-OA-2017 and in Table 22 for MS-NFI-OA-2019. The table shows that coverage of the year 2017 and 2019 inventories is very good. Note that here the total area is the area under the forest mask derived from the topographic database (see Section 2.3.2) and it differs slightly from the area of forestry land computed from the field plot data (in NFI11 26 193 000 ha from Korhonen et al. (2017)).

**Table 20.** The estimated raster themes in MS-NFI-2017 and MS-NFI2019.

Theme
Biomass, spruce, living branches (10 kg/ha)
Biomass, spruce, stem residual (10 kg/ha)
Biomass, spruce, roots, d > 1 cm (10 kg/ha)
Biomass, spruce, stump (10 kg/ha)
Biomass, spruce, dead branches (10 kg/ha)
Biomass, spruce, stem and bark (10 kg/ha)
Biomass, spruce, foliage (10 kg/ha)
Biomass, broad-leaved trees, living branches (10 kg/ha)
Biomass, broad-leaved trees, stem residual (10 kg/ha)
Biomass, broad-leaved trees, roots, d > 1 cm (10 kg/ha)
Biomass, broad-leaved trees, stump (10 kg/ha)
Biomass, broad-leaved trees, dead branches (10 kg/ha)
Biomass, broad-leaved trees, stem and bark (10 kg/ha)

Biomass, broad-leaved trees, foliage (10 kg/ha)
Biomass, pine, living branches (10 kg/ha)
Biomass, pine, stem residual (10 kg/ha)
Biomass, pine, roots, d > 1 cm (10 kg/ha)
Biomass, pine, stump (10 kg/ha)
Biomass, pine, dead branches (10 kg/ha)
Biomass, pine, stem and bark (10 kg/ha)
Biomass, pine, foliage (10 kg/ha)
Site main class (1–4)
Site fertility class (1–8)
Land class (1–3)
Stand age (year)
Stand mean diameter of (cm)
Stand mean height (dm)
Canopy cover (%)
Canopy cover of broad-leaved trees (%)
Stand basal area (m <sup>2</sup> /ha)
Data source index
Volume, birch (m <sup>3</sup> /ha)
Volume, birch pulpwood (m <sup>3</sup> /ha)
Volume, birch saw timber (m <sup>3</sup> /ha)
Volume, spruce (m <sup>3</sup> /ha)
Volume, spruce pulpwood (m <sup>3</sup> /ha)
Volume, spruce saw timber (m <sup>3</sup> /ha)
Volume, other broad-leaved trees (m <sup>3</sup> /ha)
Volume, other broad-leaved trees pulpwood (m <sup>3</sup> /ha)
Volume, other broad-leaved trees saw timber (m <sup>3</sup> /ha)
Volume, pine (m <sup>3</sup> /ha)
Volume, pine pulpwood (m <sup>3</sup> /ha)
Volume, pine saw timber (m <sup>3</sup> /ha)
Volume, the growing stock (m <sup>3</sup> /ha)

**Table 21.** The proportions of forest area from the different estimates in MS-NFI-OA-2017

Source	pixels	1000 ha	%
MS-NFI-2017	1 006 340 878	25 762.3	96.79
MS-NFI-2015	32 352 445	828.2	3.11
MS-NFI-2013	1 032 613	26.4	0.10
MS-NFI-2011	10 117	0.3	0.00
2006/2009 Enontekiö	2 439	0.1	0.00
MS-NFI-2007	8 715	0.2	0.02
No data	0	0.0	0.00
Total	1 039 747 207	26 617.6	100.0

**Table 22.** The proportions of forest area from the different estimates in MS-NFI-OA-2019

Source	pixels	1000 ha	%
MS-NFI-2019	1 035 117 170	26 499.0	99.54
MS-NFI-2017	4 734 810	121.2	0.46
MS-NFI-2015	72 410	1.9	0.01
MS-NFI-2013	6 203	0.2	0.00
No data	0	0.0	0.00
Total	1 039 930 593	26 622.2	100.0

## 5. Discussion

### 5.1. The pixel-wise error estimates

The pixel-wise error estimates computed using the field observations provide some data on the accuracy of the predictions, although the users are not really interested in single pixels. The accuracy is assumed to increase when groups of pixels are considered. This is difficult to test for larger areas and only a simple test is presented here.

The pixel-wise RMS errors are reported for reference in Table 8. These errors are averages for large areas and the actual error for as smaller area may be either larger or smaller. The results are shown in absolute units and the mean is shown for reference.

The coefficients of determination for the different themes are shown in Table 9. The coefficients for some themes (where also the RMS error is large in relation to mean) are below zero. This means that predicting the mean for all pixels would give better results. The coefficients for determination have also been computed for some themes for predictions averaged over a 3 by 3 pixel neighbourhood. In this case, the coefficients of determination, even for the problematic themes, are clearly positive. This indicates that also these predictions are useful when aggregates of pixels are considered.

Different methods have to be used when analysing the categorical themes. The confusion matrices have been computed for these themes. The proportions of the different classes are shown in the tables. In the land use class themes and the main class theme, one class dominates, but the proportions of the other classes in predictions are quite close to the proportions in the reference material. This applies also to the site fertility theme, although there the dominance of a single class is not so strong. The site fertility classes have some kind of an order, i.e., the differences between neighbouring classes are not very strict. Table 15 shows the confusion matrix when classification to the neighbouring class is not considered an error. This clearly increases the values in the diagonal in some cases.

### 5.2. Differences between field data, thematic maps and municipality statistics

MS-NFI computes predictions for several forest variables using remote sensing data and numerical map data as the auxiliary data in predictions. The training data is from the Finnish NFI field plots. One might expect that the predictions should be near to the field data. However, there are some things that makes comparisons complicated: the compared predictions may not really describe the same geographical area (called *aggregation area* here).

The magnitude of the differences described above have been demonstrated experimentally in Section 4.2 (Table 16). The results have been computed for a fairly large area so that the trends observed would be reliable. The Uusimaa region chosen for the demonstration includes significant areas of built-up land and agricultural land. This makes the observed differences larger than would be found in more forested regions.

In the field data, the sampling unit is the field plot. A field plot may cover parts of different stands. The data is collected separately for each stand. In this way, the parts of the stand are separable in the analysis. On the other hand, the pixel is the basic spatial unit in the raster map

results. It can't be split into parts in MS-NFI. This means that it includes only one "stand". When the training data is associated with the auxiliary data at the corresponding pixel, the field plot stands must be aggregated. This is natural with the continuous-valued variables, but only one of the classes must be selected in case of class variables. The information in the classes of the other stands is lost and can't be used when aggregating results from pixels.

MS-NFI makes the thematic maps and the municipality statistics in the same process. Both use the same field data and basic k-NN processing. The results are assembled in a different way: the predictions are computed for each pixel separately for the thematic maps, whereas the results are directly accumulated for the municipality statistics. In this way, the data from all stands can be properly accumulated when the intermediate aggregation to pixels is bypassed in computing the municipality statistics.

The definitions of the aggregation areas also cause differences in results. With field data, the land use class of each stand is determined. The definition for the aggregation area is based on the locations of the field plots included in estimation. Outside these locations, the aggregation area is defined statistically, e.g., based on the land use classes of sample plots within a known geographic area (Tomppo et al. 2011). The accuracy of the area determination depends on the size of the area, but no map errors are involved because the definition is based directly on field observations.

When using the raster maps, the aggregation area is defined by the pixels included in the aggregation. The set of pixels can be determined by some pre-defined map (the topographic database in case of MS-NFI) or a classification (e.g., the land use layer of MS-NFI). The map used in defining the area may not have quite the correct definition of classes for the task at hand. For instance, in MS-NFI, the forestry land from the map includes everything that is not something else in the topographic database. The positional accuracy of the auxiliary data causes similar effects, but here the reason is not definitions. Similar argumentation can also be applied when considering sub-plots in field data and pixels. A pixel covering both forestry land and non-forestry land can't be divided, whereas only the sub-plots in forest can be considered when computing results from field data.

The result is that the area classified as forest includes sample plot locations not classified as forestry land in the field. The predictions at these pixels typically correspond to low volume forest and decrease the aggregated results. There are also errors in the other direction, i.e., pixels belonging to forest are excluded from aggregation. In the average, the excluded pixels don't change the aggregated results much.

These effects can be qualitatively visualized by considering a patch with value one on a background with value zero. The correct aggregation area is defined by the extent of the patch. The average computed for this area is one. If the aggregation area is extended somewhat to the background, the average will be below one. If some part of the patch is left out of the aggregation area, the average will still be one. If the integral (total) over the aggregation area is considered, extending the aggregation area to the background does not change the integral. Leaving out some of the blob area decreases the integral.

The first and second row in Table 16 show an example of the effect of the border pixels even if we consider the classification of the plots correct. Including the sub-plots in other land use classes changes the mean volume. For instance, including the sub-plots in not in forest land decreases the mean volume in forest land, whereas including forest land sub-plots to poorly productive forest land increases the mean volume for poorly productive forest land. The mean

volume for all forestry classes decreases when non-forest sub-plots with zero volume are included.

The third and fourth row of Table 16 shows the effect of incorrect land use classes at the borders of raster areas. Removing the border pixels increases the probability that the aggregation area includes only forestry land pixels. This increases the mean volume (163.2 m<sup>3</sup>/ha) fairly close to the value computed from field data (159.3 m<sup>3</sup>/ha). The difference may seem large, but the standard error for total volume from field data in Uusimaa in MS-NFI-2019 is 3.7 m<sup>3</sup>/ha (Table 17).

The map correction used when computing the municipality statistics tries to correct the differences between the land use class definitions based on the map and based on field plots in the aggregation areas for the municipality statistics (Katila et al. 2000). The sixth and seventh rows in Table 16 show an example of the effect of map correction. Row six corresponds roughly to the result computed from raster data. Row seven shows the map corrected result for the Uusimaa region. It is close to the result from field data. This is expected because the calibration should make these results close. The increase resulting from map correction is quite large in case of Uusimaa.

The effect of the calibration on the results for raster pixels is shown in rows two and five in Table 16. The mean volumes for forest land and forestry land are very close in these rows. This shows that the calibration is successful also for pixel data. The results for poorly productive forest land and waste land are not so close. The reason for this is that the prediction methods tend to concentrate the prediction towards the mean value, i.e., increase the values in these cases.

Note that the raster layers are also used for tasks where aggregation errors are not present. For instance, if the aggregation areas are delineated from a satellite image (e.g., stands), the definition is precise for the task at hand.

The pixel-wise predictions may be biased. MS-NFI includes calibration of the predictions to minimize the bias in the results after calibration. In calibration, the goal of calibration must be chosen. In MS-NFI, the choice was made to calibrate the means of variables (stem volume, etc.) computed for large areas using plot weights and map correction to match the same values computed from the field data for the same areas. The primary purpose of this choice was to make the municipality statistics unbiased. Note also that the calibration is done to the field data results that include some error, although calibration is based on large areas.

### 5.3. Comparisons between MS-NFI results from different time points

Detection of changes is often attempted by differencing results from two time points. The operation is simple, but interpreting the result is more complicated: the expected accuracies must be taken into account.

Section 4.3 shows an example from differencing the two results described in this report. The differences between the differences are small compared against the standard errors in the field data. Considering that the true differences are expected to be a few m<sup>3</sup>/ha at most with the two-year difference, this experiment shows that no firm conclusions should not be drawn from these differences between consecutive MS-NFI results. At the municipality level mean volume estimates from MS-NFI-2011, -2013 and -2015 Katila and Heikkinen (2020) detected

differences of over 13 m<sup>3</sup>/ha in every fourth municipality in a subset of municipalities where the total growing stock was over one million m<sup>3</sup>. These changes were considered unrealistic and a multi-temporal data fusion combining MS-NFI estimators from three time points was tested as a means to improve single time point MS-NFI estimates. It is evident that some sort of trend analysis is recommendable to detect changes in forest variables from MS-NFI small area estimates. Katila et al. (2020) applied a contextual Mann-Kendall test to detect trends in time-series of two decades of thematic maps (MS-NFI), up to units of size of a small municipality.

## 5.4. Other topics

The main purpose of the MS-NFI method is to obtain forest resource information for areas smaller than would be possible using only field data. The adopted k-Nearest Neighbour estimation method (k-NN) meets the requirements set to the method and the results. In addition to the small area estimates, the MS-NFI provides predictions of forest variables in map form. The post-stratified (PS) estimates by municipalities, available in addition to the MS-NFI estimates, have the advantage of error estimates for variables. However, the PS estimates are considered reliable only for municipalities roughly larger than 400 km<sup>2</sup> (Haakana et al. 2020), the number of variables reported is smaller than in MS-NFI and the PS estimates may not be bases for long term forecast calculations as the MS-NFI, where there are on average 700–900 clusters of field plots with weights for a single municipality (Katila and Heikkinen 2020). Nevertheless, the PS estimates are based on MS-NFI thematic and MS-NFI uses the field data-based estimates to groups of municipalities and single municipalities for validation purposes aiming at minimising the deviation from them (Sections 3.4.5 and 4.4).

One of the applications of satellite image-based digital volume maps is to use them to simulate different sampling strategies. For instance, the sampling intensities of Finnish NFIs have been fitted to the spatial variation in forests throughout the whole country, being lower in the north than in the south (Henttonen 1991, Tomppo et al. 2011a). A spatially balanced sampling utilizing local pivotal method and MS-NFI-2007 thematic maps was tested in South-Western Finland (Räty et al. 2019). The MS-NFI thematic maps were used, among other GIS-data, to stratify the first phase clusters for the second phase sample in North Lapland in NFI9 and NFI11 for the double sampling with stratification (Tomppo et al. 2011a, Korhonen et al. 2017), as well as in NFI13.

The field data used in MS-NFI originates from several years, whereas the target date for the products was set to be July 31, 2017 for MS-NFI-2017 and July 31, 2019 for MS-NFI-2019. The variables of the field data were projected (updated) to the target date to correct the expected changes between field measurements and the target date. An approach based on stand level models were selected after exploring different alternatives (Subsection 3.2, Tomppo et al. 2014). Existing models were used for volume increments. Models and their parameters for the increments of the other updated quantities were estimated for the MS-NFI purposes. The permanent sample plots of the NFI from years 2004–2011 were used. Big changes on the NFI plots compared to the image data were interpreted. Some of them are difficult to detect. Thus, some open questions still remain, in addition to the precisions of the increment models. Examples, in addition to the detection of some big changes, such as regeneration cuttings and heavy storm damages, the detection of light storm damages and thinning cuttings (not tried for this product).



The pixel level prediction error is generally rather high in MS-NFI. Several error sources are listed in (Tomppo et al. 2008b). For this article, the estimates and pixel level predictions were validated when selecting the estimation parameters comparing MS-NFI estimates and error estimates with those based on NFI11 field data using groups of municipalities. Alternatively, more accurate error estimates for the NFI field data are available using post-stratification (Haakana et al. 2019).

The ik-NN method can be used to either compute forest resource maps or to directly compute estimates for groups of pixels. The results computed for municipalities in MS-NFI are an example of the latter method. There are significant advantages when computing the results directly compared to using the raster maps as an intermediate step, as exemplified in (Mäkisara et al. 2019). The examples show how carrying information about the distribution of the estimates to the final aggregation of the results helps in computing the fractions of uncommon values of the forest variables. The information from the distributions is retained in the maps if the value of  $k$  is one. Unfortunately, this reduces the usability of the maps for applications that are natural to them.

A promising forest land delineation mask ('SMK metsämaski') has been produced by Finnish Forestry Centre employing NLS topographic database and cadastral index maps using the size of the property to define whether the unit is forestry land or not (Suomen metsäkeskus 2021). The 'SMK metsämaski' forestry land area obtained is more accurate (i.e. unbiased) than from using topographic map data only. However, the definitions of forest used in GIS processing do not correspond to the ones used in NFI field work (Jyrkilä et al. 2022).

It was found difficult to make the delineation of the protected areas to match the NFI field inventory estimates. The Metsähallitus multiple step rules for delineation of the non-production land areas were available only at the NFI plot level.

The most serious potential risk in the application of MS-NFI method is the availability of relevant satellite images. An individual satellite image scene should be large enough to cover high enough number of field plots, preferably several thousands, to get satisfactory ground truth data. On the other hand, the pixel size should not be larger than about 30 metres. In addition to the problems caused by clouds, the number of the natural resource satellites with suitable specifications for forest applications has not been large. ESA's Sentinel-2A, launched in 23 June 2015 and Sentinel-2B, launched 7 March 2017, have improved coverage. Landsat 9 was launched in September 2021, and it will further improve data availability for the next MS-NFI.

This article is one in the series in which the MS-NFI estimates are calculated every second year. The future method development work will focus, in addition to the decrease of all kinds of estimation errors, to improve the consistency between the subsequent products. Now that there are four openly available products, users are increasingly interested in the trends observed by comparing the products. Up to now, the MS-NFI products have been independent but possibilities to use previous estimates to increase the reliability of current estimates will be investigated.

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## Appendix

The following tables (MS-NFI-2017 and MS-NFI-2019) can be retrieved from the URL <http://urn.fi/URN:ISBN:978-952-380-538-5>

- Table 1a. Area and proportion of land classes on forestry land by regions (maakunta)
- Table 1b. Area and proportion of land classes on forest land available for wood supply by regions
- Table 2a. Area and proportion of mineral soils and peatlands by land classes and regions
- Table 2b. Area and mean volume of growing stock on mineral soils and peatlands on forest land and forest and poorly productive forest land by regions
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- Table 7a. The mean volume of growing stock by tree species and roundwood assortment on forest land by regions
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- Table 8a. The biomass of the tree compartments available for energy wood by tree species in young thinning stands on forest land by regions. Stands with proposed cutting for the first 5-year period selected. The assessed removal by plot stand based on regional thinning regimes
- Table 8b. The biomass of the tree compartments available for energy wood by tree species in mature stands on forest land by regions
- Table 8c. The biomass of the tree compartments available for energy wood by tree species in young thinning stands on forest land available for wood supply by regions. Stands with proposed cutting for the first 5-year period selected. The assessed removal by plot stand based on regional thinning regimes
- Table 8d. The biomass of the tree compartments available for energy wood by tree species in mature stands on forest land available for wood supply by regions
- Table 9. The biomass of tree compartments by tree species on forest and poorly productive forest land by regions



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