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## **Carbon footprint and land use of oat and faba bean protein concentrates using a life cycle assessment approach**

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### **Highlights**

- Carbon footprints and land use of oat and faba bean protein concentrates were assessed using LCA.
- Carbon footprint per kg protein of the oat and faba bean protein concentrates are less than half of dairy proteins.
- Plant proteins have potential to reduce environmental impacts of food consumption.
- Benefits are achieved despite the energy required in processing of beta glucan processing co-products to protein products.

### **Abstract**

There is a need to find sustainable alternative protein sources in order to meet the increasing protein demand of the growing population. Legumes such as faba beans are underutilized protein rich sources and can be valorized as hybrid protein ingredient through dry fractionation technologies. Also, cereal side streams can be interesting sources towards multifunctional protein ingredients.

The aim of this study was to assess the environmental impacts of the production of oat protein concentrate (OPC) and faba bean protein concentrate (FBC) using life cycle assessment (LCA) methodology and to compare the impact per kg protein to other relevant proteins. The OPC is obtained as a side stream of the beta glucan extraction process, which also produces valuable oat oil, while FBC is the only main product obtained from dehulled faba beans. Average European oat cultivation and faba bean cultivation with low and high yield were modelled. Data for protein concentrates production was from real factories who have suitable facilities, but are not currently producing concentrates commercially.

The major hotspot in the carbon footprint of oat protein concentrate comes from energy consumption in processing. For faba bean protein concentrate, energy consumption in processing is lower and cultivation of faba bean is the main hotspot.

The carbon footprint of oat protein concentrate is more than 50 percent lower, compared to dairy proteins per kg protein, while the carbon footprint of faba bean concentrate protein is 80-90 percent lower. Compared to legume protein sources, OPC has four times higher impacts. This is mainly due to the lower amount of processing steps needed to reach high protein content concentrates from faba beans resulting mainly from relatively lower level of lipids, which enables more energy-efficient dry separation, and high initial protein content of legumes compared to cereals. Moreover, legume cultivation requires very little nitrogen fertilizers due to symbiotic N<sub>2</sub> fixation.

This study shows that OPC and FBC have lower carbon footprints than animal protein sources. However, it should be remembered, that the environmental impacts of OPC are very sensitive to the allocation method and allocation basis. In this study economic allocation was used and prices of the different products (OPC, oat oil and beta glucan) play a key role in defining the climate impacts of OPC.

PREPRINT

## 1. Introduction

Food production and consumption are responsible for around 25% of the carbon footprint of the total consumption in Europe (Tukker et al., 2006) and of several other environmental impacts, such as eutrophication, land use etc. (Xue & Landis, 2010). Many studies show that plant-based food products have lower land use, and lower impact on climate and eutrophication compared to animal-based food products (e.g. Carlsson-Kanyama & Gonzalez 2009, Nijdam et al. 2012, Xue & Landis 2010). Plant proteins such as peas and beans, have the lowest land use per kg protein followed by protein from milk, eggs and poultry. The land use per kg pork protein is approximately twice as high and more than seven times higher per kg beef protein compared to per kg plant protein (Nijdam et al. 2012). On average, the production of 1 kg animal based protein needs an input of 10 kg plant proteins, depending on meat production type (Reijnders & Soret, 2003). Environmental impacts in animal production are higher than in plant production due to direct emissions from animals, in particularly from enteric fermentation of ruminants and manure management, and due to high feed consumption per kg meat produced. There is an increasing pressure to find alternative sustainable protein sources to meet the protein demands of the growing global population despite the limited agricultural land.

Therefore, from an environmental point of view there is a need to consume less animal-based proteins and increase the intake of plant-based proteins. Also from a health perspective, a shift from animal-based to plant-based protein consumption would be favourable in Western countries where current red meat consumption levels are increasing the risk of cancers (WCRF, 2017). However, the increasing elderly population requires elevated protein intake to maintain good health (Nowson & O'Connell, 2015).

Availability of sustainable plant protein sources could be increased by finding novel protein sources or by efficient valorisation of the existing ones. Side-streams from cereal processing are under-exploited despite their high content of health promoting valuable components such as dietary fibre, protein and bioactive compounds (Sozer et al. 2017a). Valorising side-streams also has the potential of providing protein sources with low carbon footprint, because the main product will bear the main environmental burden of the production and the side-stream (or waste stream) will mainly only bear the environmental impact from the valorisation and further processing.

The most commonly used plant proteins in food applications are from soy, wheat, pea, rice and canola (Nehete et al., 2013; Frost & Sullivan, 2016a and 2016b). However, to increase the amount of available and sustainable plant protein sources, diverse alternative plant protein sources are needed. There is a need to find suitable and more sustainable protein sources with different properties for different food applications. One way to do this is to develop new legume products and to valorise existing side streams more efficiently.

Oat is an important crop worldwide with a global production of 21 million ton per year hereof 62% in the EU (FAOSTAT, 2012). In EU-27, the top three oat producers are Poland, Finland

and Germany. Oats are mainly used as feed for livestock; i.e. about 50% is fed for cattle, and less than 10% is used for food products.

Some oats are also further processed for the very valuable products, beta glucan and oat oil, where a side-stream of this process is also containing a protein rich fraction. The overall concept for producing oat based protein concentrate evaluated in this work is based on a patent by Kaukovirta-Norja et al. (2008). This concept focuses on dry separation of oat beta-glucan where a side stream rich in proteins could also be obtained, and can therefore contribute to wider applications for oats. However, this protein rich fraction can be used as a valuable protein ingredient either to replace animal proteins or to enrich the protein content in traditional foods e.g. bread and pasta. Furthermore, it is of particular interest as it has the potential of being gluten-free, as long as contamination can be controlled in the whole production chain (Mäkinen et al., 2016).

In recent years, there has been a growing interest for increasing the amount of legumes in the diet. Faba bean (*Vicia faba L.*) is an annual legume growing in different climatic zones from Europe to Africa and Asia. Faba bean seeds are rich in proteins, vitamins, minerals and dietary fibre (Coda et al. 2015). Faba bean can be further processed to obtain a protein rich fraction, which can be used as a hybrid ingredient either to replace animal proteins in foods or to produce protein-enriched foods (Sozer et al., 2017b).

There are very few published studies on environmental impacts of plant proteins. Deng et al. (2013) have studied wheat gluten as an ingredient in packaging industry, and Smetana et al (2015), have studied gluten and soy meal based meat alternatives. A few references can also be found from the Agri-Footprint database (Blonk Agri-footprint, 2014) and Thrane et al. (2016) for soy, potato and pea concentrates and soy and gluten isolates, and in Finnigan (2010) for mycoprotein. Those studies indicate that nitrogen fixing legumes and concentrates of them are very environmentally friendly as protein sources. Although it should be remembered that soy cultivation causes significant land use changes in certain regions and if the greenhouse gas emissions related to deforestation would be included in assessments, the impacts of soy concentrates would be much higher. The sustainability of the new protein concentrates from oat and faba bean needs to be evaluated and compared with other protein sources to investigate their potential to help mitigate GHG emissions from food consumption.

Life cycle assessment (LCA) is one of the most widely used methods to assess the environmental impact of a product throughout the life cycle of the product (Notarnicola et al., 2017) and is recognised by the European Commission as the best method for environmental assessment of a product (European Commission, 2013).

The aim of this study was therefore to assess the carbon footprint and land use in the production of oat protein concentrate (OPC) and faba bean protein concentrate (FBC) using the life cycle assessment (LCA) methodology and to compare the impact per kg protein to other relevant proteins, such as soy, wheat, pea and dairy proteins. The OPC is obtained from a side stream of beta glucan extraction system, which also produces valuable oat oil

(Kaukovirta-Norja et al., 2008), while FBC is the main product obtained from dehulled and milled faba beans by dry separation (Coda et al., 2015).

## **2. Material and methods**

A life cycle assessment (LCA) approach was used in the present study, that cover the chain until factory gate where the protein concentrates are assumed to be produced. Modelling was done with SimaPro 8.4. tool. Environmental impact categories included in this study were carbon footprint (global warming potential, GWP) and land use. For estimating carbon footprint, IPCC 2013 characterization factors with a time frame of 100 years as implemented by Pré Consultants in Simapro were used. Thus, the characterization factor for biogenic methane was 27.75, fossil methane 30.5, carbon dioxide 1 and dinitrogen monoxide 265. For land use, only the use of agricultural land in cultivation was taken into account, not area used for production plants.

### *2.1 Goal and scope*

#### *Functional unit*

The functional unit was 1 kg oat protein concentrate (with 37% of protein) and 1 kg faba bean protein concentrate (with 60% of protein). In addition to the results per kg product, the results were shown per kg protein from the oat or faba bean concentrates.

#### *System boundary*

All relevant processes related to production of oat and faba bean protein concentrates were included in the study. Figure 1 presents the processes in the production of the concentrates from the cultivation of the crops to the processing steps. From the cultivation of oats and faba beans, the production of all relevant inputs, e.g. fertilizers, lime, fuels, and transport of inputs and emissions related to cultivation were included in the assessment. The assessment included also all energy and other inputs needed in the processing of the protein concentrates and transportation of the raw materials. According to the Danish cultivation data, the carbon footprint of pesticide production accounted only for 3 % of carbon footprint of Danish crop production (Audsley et al. 2009). Thus, production of pesticides was excluded from the study. Also production of machines and infrastructure were excluded from the main processes.

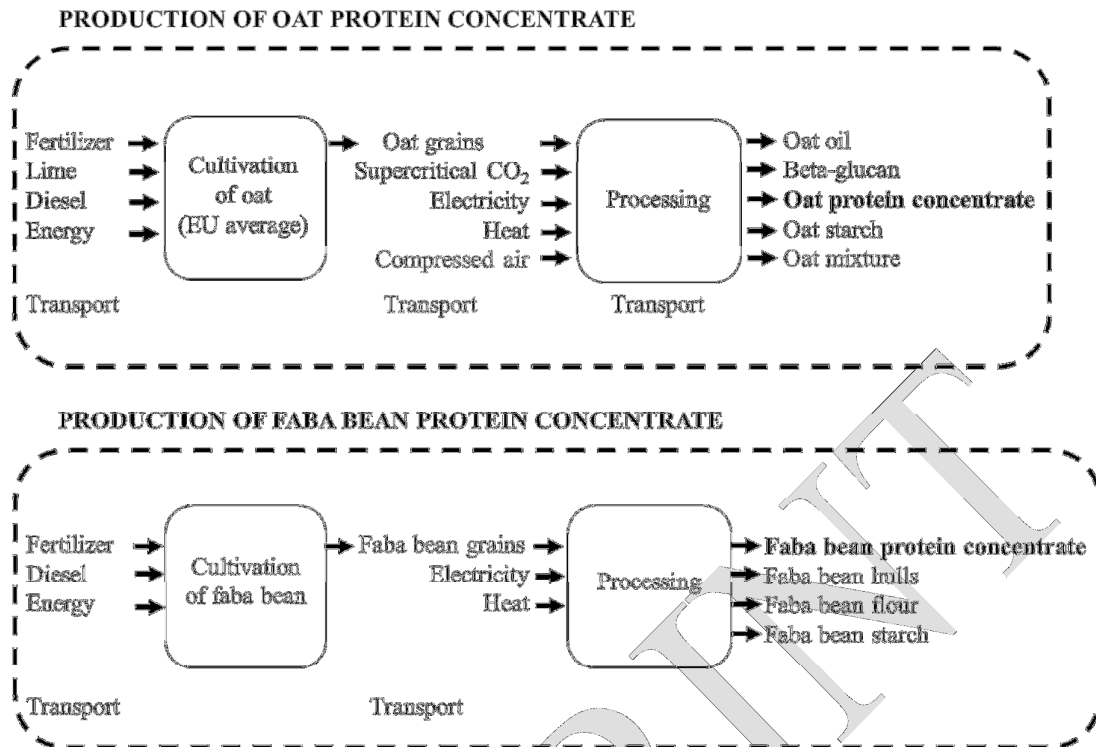


Figure 1. System boundaries in production of oat and faba bean protein concentrates.

The production of oat protein concentrate (OPC) is presented in Kaukovirta-Norja et al. (2008): After dehulling and flaking or roller milling, oats are defatted using supercritical-CO<sub>2</sub> extraction. In the second phase, defatted oat flour is milled and air classified twice to separate beta glucan, oat protein, oat starch and oat mixture (Figure 1).

Faba bean concentrate production includes cracking the seeds, de-hulling, milling and air classification which separate starch and protein rich fractions (Coda et al. 2015) (Figure 1).

## 2.2 Life Cycle Inventory

### 2.2.1 Oat Cultivation data

In order to find the average oat produced and sold in Europe, the major oat export countries in Europe were identified, which were Finland, Sweden, France, Poland, Germany, Spain, UK and Denmark (FAOSTAT, 2017). These countries represent almost 80% of all exported oat in Europe (FAOSTAT, 2017). However, oat cultivation input-output data was not available from all countries, thus some countries were assumed to represent also other country with similar yield, e.g. cultivation in Finland also represent cultivation in Sweden, and together these two productions represent 68% of European oat export (Table 1).

Conventional oat cultivation was considered in all countries. Input and output data used for the five countries is shown in Table 1. Assessment of environmental impacts of cultivation was conducted separately for each country, and the average EU values are shown here only for illustrative purposes.

Table 1. Main input output data used in assessment of oat cultivation in different countries.

<b>Countries used in assessment</b>	Finland	Denmark	Germany	Romania	Poland	Average EU
<b>Countries represented</b>	(FI & SE)	(DK & FR)	(DE & UK)	(RO & ES)	(only PO)	
<b>Proportion in assessment</b>	68%	12%	9%	5%	6%	
<b>Input</b>						
Mineral N fertilizer (kg N ha <sup>-1</sup> )	83 <sup>1</sup>	91 <sup>4</sup>	80 <sup>7</sup>	42 <sup>9</sup>	55 <sup>11</sup>	80
Lime (kg ha <sup>-1</sup> )	139 <sup>1</sup>	165 <sup>5</sup>	167 <sup>8</sup>	150 <sup>10</sup>	150 <sup>10</sup>	146
Fuel consumption (l ha <sup>-1</sup> )	65 <sup>2</sup>	67 <sup>2</sup>	66 <sup>2</sup>	64 <sup>2</sup>	64 <sup>2</sup>	65
<b>Output</b>						
Oat grain yield (kg ha <sup>-1</sup> )	3743 <sup>1</sup>	4936 <sup>3</sup>	5010 <sup>6</sup>	1973 <sup>9</sup>	2731 <sup>3</sup>	3851

<sup>1</sup> SustFoodChoice –project in Luke, based on ProAgria data (not published previously)

<sup>2</sup> Number of field operations (Anonym, 2011), fuel use per operation (Dalgaard et al. 2002)

<sup>3</sup> FAOSTAT 2017

<sup>4</sup> Danish norms (Anonym, 2014)

<sup>5</sup> Nielsen et al. 2014

<sup>6</sup> Statistics Germany, 2014

<sup>7</sup> Bavarian State Research Institute for Agriculture

<sup>8</sup> KTBL

<sup>9</sup> TEMPO database

<sup>10</sup> Expert opinion

<sup>11</sup> Estimated according to FAO 2003

The same level of fuel consumption in field work was assumed across countries based on similar field operations and same fuel consumption per operation. Thus, the only difference between countries in fuel consumption is because of different yield, as fuel consumption in harvesting is dependent on the yield. The straw yield was estimated according to IPCC (2006), and straw was assumed to be left on field, except in Denmark where 9% of the straw yield was assumed to be collected and sold for energy production. Energy consumption for irrigation and drying was taken into account in those countries that need to use irrigation (Denmark and Germany) and to dry grains for storage (Finland, Denmark and Poland). Emissions from peat land cultivation were included to the assessment in those countries that use peat lands for cultivation (Finland and Romania).

### 2.2.2 Faba bean cultivation data

Faba bean cultivation was modelled using same methodology as oat cultivation. However, it was not possible to estimate an EU average faba bean due to lack of cultivation data. The major faba bean exporting countries in years 2009-2013 in Europe were France, United Kingdom, Germany, Spain and Italy (FAOSTAT 2017). Due to lack of cultivation input data from these major export countries, it was decided to assess faba bean cultivation as low and high yield scenarios based on Knudsen et al. (2013). Yield in high yield scenario is similar to yields in France and Germany, and yield in low yield scenario has similar yield as it is in Spain and Italy (Table 2 and FAOSTAT 2017).

Table 2. Main input output data used in assessment of faba bean cultivation in low and high yield scenarios (Knudsen et al. 2013).

<b>FABA BEAN CULTIVATION</b>	Low yield	High yield
<b>Input</b>		
Mineral N fertilizer (kg N ha <sup>-1</sup> )	0	0
Mineral P fertilizer (kg P ha <sup>-1</sup> )	44	16
Fuel consumption (l ha <sup>-1</sup> )	45	47
<b>Output</b>		

### 2.2.3 Processing and transport data

Oat processing data was from two factories, which have the technology available and conducted the two phase processing to generate the fractions and data for the environmental analyses. However, those factories are currently not producing the oat protein commercially. More detailed description of the processing is reported in Kaukovirta-Norja et al. (2008). Summary of the inventory data relevant for carbon footprint assessment is presented in Table 3.

Table 3. Inventory data for the processing of oat protein concentrate. The amounts are given according to the functional unit (FU) of 1 kg oat protein concentrate.

<b>OAT PROCESSING</b>	<b>Amount</b>	<b>Unit</b>
<b>Input</b>		
Oat grains	20.2	kg FU <sup>-1</sup>
Energy consumption	114.7	kWh FU <sup>-1</sup>
Supercritical CO <sub>2</sub> *	-	kg FU <sup>-1</sup>
Compressed air*	-	kg FU <sup>-1</sup>
Transport	11.9	tkm FU <sup>-1</sup>
<b>Output</b>		
Oat protein concentrate	1.0	kg
Oat oil	0.9	kg FU <sup>-1</sup>
Beta-glucan	1.6	kg FU <sup>-1</sup>
Oat starch	14.4	kg FU <sup>-1</sup>
Oat mixture	1.3	kg FU <sup>-1</sup>

\* Amount is confidential and cannot be published

Faba bean processing data was provided by VTT and reported more in detail in Coda et al. (2015). Summary of the inventory data relevant for carbon footprint assessment is presented in Table 4.

Table 4. Inventory data for the processing of faba bean protein concentrate. The amounts are given according to the functional unit (FU) of 1 kg faba bean protein concentrate.

<b>FABA BEAN PROCESSING</b>	<b>Amount</b>	<b>Unit</b>
<b>Input</b>		
Faba bean grains	4.3	kg FU <sup>-1</sup>
Energy consumption	1.1	kWh FU <sup>-1</sup>
Transport	2.1	tkm FU <sup>-1</sup>
<b>Output</b>		
Faba bean concentrate	1.0	kg
Faba bean flour	0.2	kg FU <sup>-1</sup>
Faba bean starch	2.0	kg FU <sup>-1</sup>
Faba bean hulls	1.0	kg FU <sup>-1</sup>

Comparing inventory data for oat and faba bean processing, a larger amount of oat than faba bean is needed to produce 1 kg protein concentrate (Table 3 and Table 4), this is mainly



because of lower protein content of oat. In addition, energy consumption per kg protein concentrate is higher when producing OPC than FBC. This is partly due to higher processing needs of obtaining all oat products. The main part of consumed energy in oat processing is coming from the supercritical CO<sub>2</sub> extraction process.

Transportation of oat and faba bean was assumed to be only road transportation with truck. It was assumed that in the future there would be couple of such factories in central locations in Europe. Thus, the transportation distance from farm to processing was assumed to be 500 km for both oat and faba bean. However, OPC production is divided into two processing phases and these could be in different locations. Thus, in order to be sure not to underestimate the impacts, an additional intermediate product transportation of 100 km was included in the assessment.

### 2.3 Emission factors

Similar emission factors were used for modelling the emissions related to cultivation of oat and faba beans. Applied reference behind the emission factors are presented in Table 5.

Table 5. Main emission factors used in the assessment.

	<b>Database or other source</b>	<b>Process name in database</b>
Nitrogen fertilizer production	Agri-footprint	Calcium ammonium nitrate (CAN), (NPK 26,5-0-0), at regional storehouse/RER economic
Phosphorus fertilizer production	Ecoinvent	Triple superphosphate, as P <sub>2</sub> O <sub>5</sub> , at regional storehouse/RER S
Lime production	Agri-footprint	Lime fertilizer, at regional storehouse/RER economic
Diesel production and use in farm	Agri-footprint	Energy, from diesel burned in machinery/RER economic
Electricity production and use in farm	Ecoinvent	Country specific, e.g. Electricity, low voltage, at grid/FI
Electricity production and use in processing	Ecoinvent	Electricity, medium voltage, production RER, at grid
Heat production and use for grain drying	Ecoinvent	Light fuel oil, burned in industrial furnace 1MW, non-modulating/RER S
Heat production and use in processing	Ecoinvent	Heat, light fuel oil, at industrial furnace 1MW/RER
Transportation	Agri-footprint	Transport, truck >20t, EURO4, 50%LF, default/GLO economic
Direct and indirect N <sub>2</sub> O emissions	IPCC 2006	-
NO <sub>3</sub> leaching used for indirect N <sub>2</sub> O emissions	Nutrient balance model	-
Emissions from lime use	IPCC 2006	-
Emissions from peat soils	IPCC 2006	-

### 2.4 Allocation

Economic allocation was used for allocating the environmental impacts on the single products. The fractions have very different properties and thus, different application and economic value. Therefore, economic allocation was seen most suitable, even though it was very challenging to quantify the market value of the products. For obtaining a representative market price range for each of the products, several publicly available sources were used;

moreover, due to the diverse product portfolio all needed price data is not available in any single market study or data source. As one data source, Alibaba.com was used for defining the market price ranges of the main products: Inclusion criteria for considering an available product relevant for defining these ranges were a) the width of the price range reported and b) the overall price level. Very wide ranges and very high prices were excluded as they may imply many small shipments or very specialty products. After defining all price ranges, their average values were used to define allocation factors. All prices, allocation factors and sources are shown for oat fractions in Table 6 and and for faba bean fractions in Table 7.

Table 6. Estimated prices, allocation factors and their sources and justifications for oat fractions.

	Price USD/kg	% of income	Source and justification:
Beta glucan	10	45	Alibaba (2018): expert judgement
Oat oil	10	25	Alibaba (2018): average of large scale oat oil and avocado oil supply prices, price range 5-15USD/kg
Oat protein	2	5.6	Mulder et al. (2016), Frost&Sullivan (2016b): plant proteins (soy, pea, wheat gluten based) with 50-70% protein content, price range 1.4-2.5 USD/kg. Higher protein content reference plant proteins and dairy proteins or possible added value due to other functionalities is not considered in this baseline analysis
Oat starch	0.6	24	Alibaba (2018), Elder M. (2017): calculated from world market value and volume estimates for native starch
Mix fraction	0.1	0.4	Estimated based on energy content

Table 7. Estimated prices, allocation factors and their sources and justifications for faba bean fractions.

	Price USD/kg	% of income	Source and justification:
Protein-rich fraction	2.5	63	Mulder et al. (2016), Frost&Sullivan (2016b): plant proteins (soy, pea, wheat gluten based) with 50-70% protein content, price range 1.4-2.5 USD/kg. Higher protein content reference plant proteins and dairy proteins or possible added value due to other functionalities is not considered in this baseline analysis
Flour	0.6	3.5	Mulder et al. (2016), Marz U. (2013): Soy meal
Starch-rich	0.6	31	Alibaba (2018): Legume starch fractions; Mulder et al. (2016), Marz U. (2013): Soy meal as reference with similar protein content
Hull	0.1	2.5	Estimated based on energy content

In the cultivation of oat, allocation between oat and straw was also made according to economic value as in Denmark 9% of straw from oat cultivation is used for energy production (Danish Statistics, 2017). Values of oat and straw in Denmark were from FarmTal online (Anonymous, 2012). In the other countries, 100% of the straw was assumed to be left on the field, and thus, no allocation was made.

### 3. Results

#### 3.1 Oat and faba bean cultivation

The carbon footprint of cultivation of EU average oat is 0.55 kg CO<sub>2</sub>-eq. per kg oat, varying between 0.33 (in Germany) and 0.68 (in Romania) kg CO<sub>2</sub>-eq. per kg oat (Figure 2). Oat cultivated in Germany has the lowest carbon footprint due to the highest yield. Romania has the highest carbon footprint because yield is lowest in Romania. There are also some peat lands in Romania in cultivation which are significant source of additional emissions. Finland has also a significant share of peat lands in cultivation, thus the carbon footprint of Finnish oat is almost as high as it is in Romania. Biggest contribution to carbon footprint is from nitrogen fertilizer production and use (field N<sub>2</sub>O emissions), and from energy consumption in field work.

Carbon footprint of faba bean cultivation is between 0.23 and 0.58 kg CO<sub>2</sub>-eq. per kg faba bean when it was assumed that all faba beans are cultivated in mineral soils (i.e. no emissions from peat soil cultivation). Also, faba bean cultivation does not need any additional nitrogen fertilization, because faba bean is a nitrogen fixing plant. However, some nitrogen leaching and other nitrogen losses happen also in faba bean cultivation. This is included to the field N<sub>2</sub>O emissions. Others include e.g. seed and phosphorus fertilizer production. Land use of oat cultivation is between 2.0 m<sup>2</sup> and 5.5 m<sup>2</sup> per kg oat in different countries included to the assessment, and 2.8 m<sup>2</sup> an average (Figure 2). Land use of faba bean cultivation is higher, an average 5.4 m<sup>2</sup> per kg faba bean. It varies between 3.0 and 7.7 m<sup>2</sup> per kg faba bean.

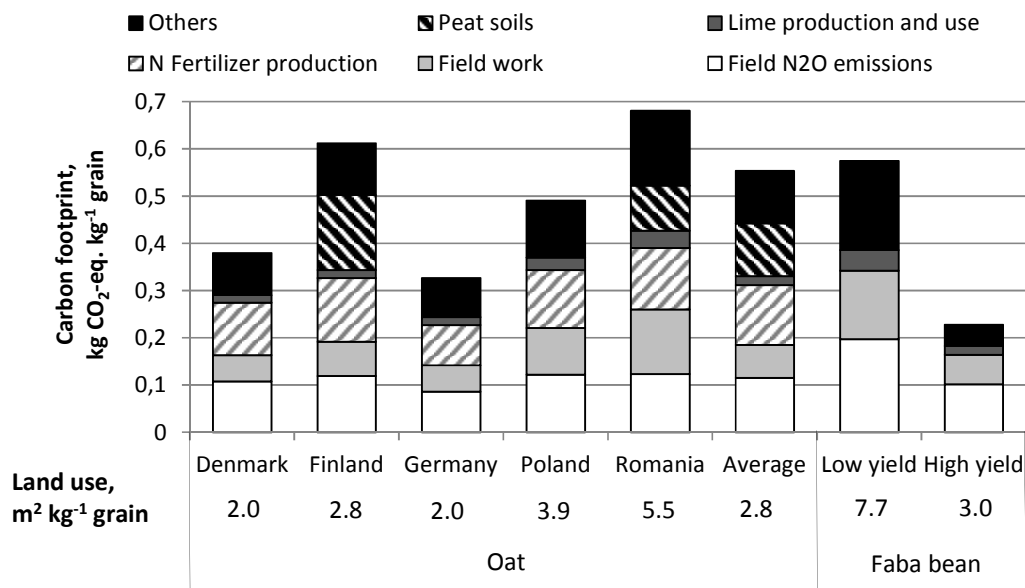


Figure 2. Carbon footprint and land use of oat cultivated in different countries, EU average oat, and faba bean cultivation in Europe either when yield is low or high.

#### 3.2 Oat and faba bean protein concentrates

When assessing impact per kg product, carbon footprint of oat protein concentrate is higher compared to faba bean protein concentrate with both low and high faba bean yield (Table 8). When assessing per kg protein, faba bean has even lower impact due to higher protein content (60% protein in FBC, 37% protein in OPC). Land use of OPC is much smaller than land use of FBC, because only small amount of oat cultivation impact is allocated to oat protein concentrate because oat oil (from processing 1) has high economic value, and also due to higher yield of oat compared to low yield faba bean.

Table 8. Environmental impacts of cradle-to-processing of oat protein concentrate and faba bean protein concentrate.

	Carbon footprint		Land use	
	kg CO <sub>2</sub> eq kg <sup>-1</sup> concentrate	kg CO <sub>2</sub> eq kg <sup>-1</sup> protein	m <sup>2</sup> kg <sup>-1</sup> concentrate	m <sup>2</sup> kg <sup>-1</sup> protein
Oat protein concentrate (OPC), EU average	3.3	8.8	3.2	8.6
Faba bean protein concentrate (FBC), low yield	2.0	3.4	20.8	34.7
Faba bean protein concentrate (FBC), high yield	1.1	1.9	8.0	13.3

The major hotspot in the carbon footprint of oat protein concentrate comes from energy consumption in processing of oat (Figure 3). For faba bean protein concentrate, energy consumption in processing is lower and thus, cultivation of faba bean is the main hotspot. In OPC production, only around 20% of emissions are coming from oat cultivation.

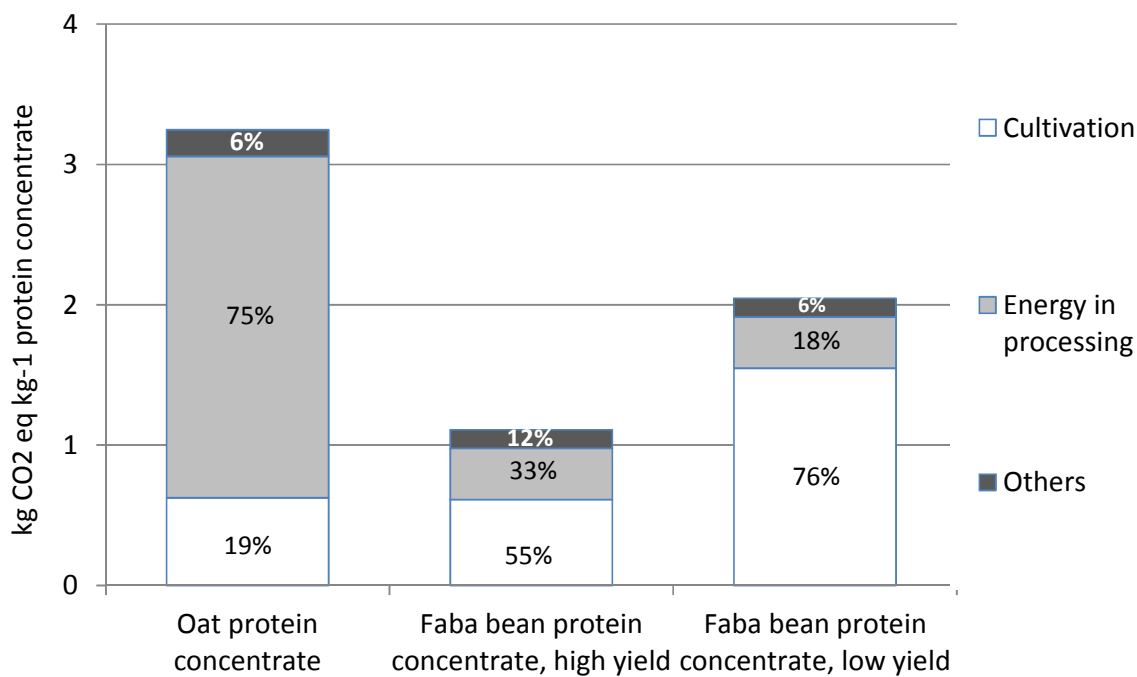


Figure 3. Carbon footprint of cradle-to-processing of oat protein concentrate (OPC) and faba bean protein concentrate (FBC) divided into production chain phases. Others include transports and CO<sub>2</sub>-production of processing.

### 3.3 Sensitivity analysis

As a consequence of using economic allocation, the prices of the single products will affect the environmental impacts that are allocated to the products. The question is how much significant changes in the prices can affect the carbon footprint of the OPC and thus, can they increase the carbon footprint so that the difference to animal protein is eliminated.

In addition, as the major hotspot in production of OPC is energy, sensitivity to emission intensity of electricity production was made. Instead of the average European emission factor, also emission factor describing Nordic countries (Nordel) was tested.

Impacts of prices used in allocation of the emissions of the OPC production on the carbon footprint are presented in Figure 4. If beta glucan or oat oil values are decreased drastically, by 50%, the impact to the results is around 15-30%. But if both are decreased simultaneously, the carbon footprint (or equally the land use) of OPC would increase by over 50%. Also if the price of OPC is increased by 50%, the impacts increase nearly 50%, and if the price is doubled, then the impact is increased over 90%. Thus, the results are very sensitive to the prices of OPC and quite sensitive also to prices of the different co-products.

The results are also sensitive to the emission intensity of electricity. The carbon footprint of OPC reduces nearly 20% when Nordic, instead of European average, emission factor is used.

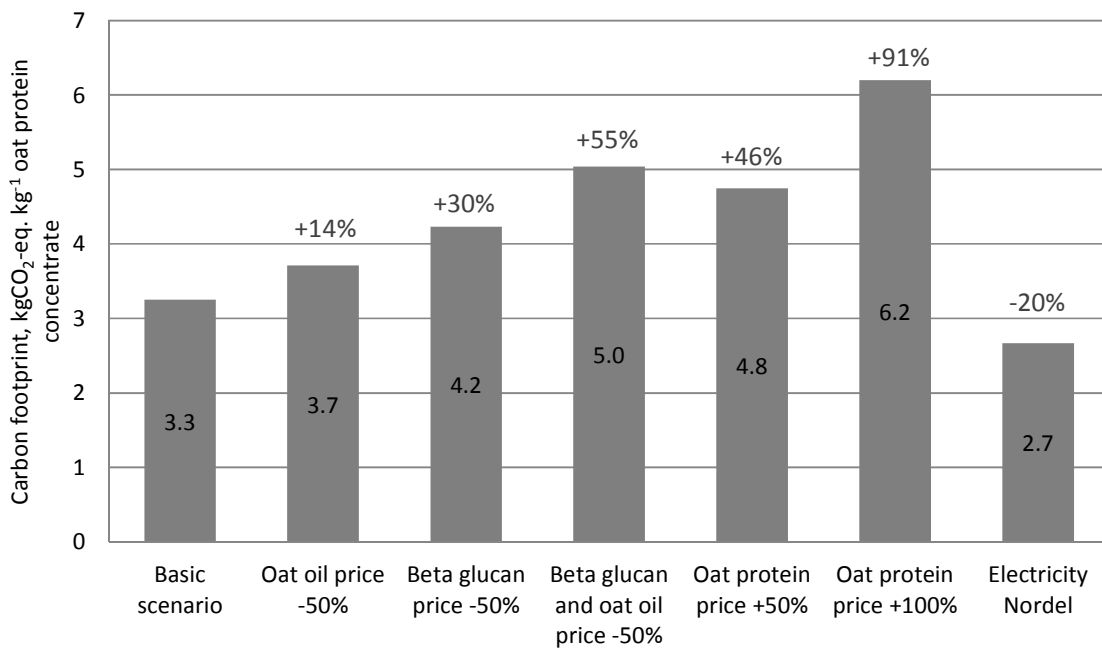


Figure 4. Sensitivity analysis results of oat protein concentrate production per kg OPC with different prices.

As 75% of carbon footprint of OPC derives from energy consumption as such, also the amount of energy consumption has major impact on the carbon footprint. If the energy consumption of processing is changed 20%, the carbon footprint changes by 15%.

In case of land use, changes in energy consumption do not have any effect on the results, because only land use for oat cultivation is taken into account.

## **4. Discussion**

### *4.1. Comparison to other protein concentrates*

The carbon footprint per kg protein of OPC is less than half compared to dairy protein sources, such as skim milk powder or whey products (Table 9). Compared to carbon footprints published on soy protein isolate, OPC has 45% higher carbon footprint. However, it should be remembered that globally soy cultivation can cause land use changes and if soy has been cultivated on lately deforested land, the carbon footprint of soy isolate would be significantly higher than reported here if direct land use changes was included. Compared to other plant protein sources, which are dominating the market, e.g. pea and soy protein concentrates and wheat gluten, OPC has four times higher footprint (when no emissions from land use are expected for soy). This is probably due to the fact that only little processing is needed to reach high protein content concentrates from legumes and also legume cultivation requires very little nitrogen fertilizers as pulses have symbiotic N<sub>2</sub> fixation and protein content is higher than of cereals.

The results show that faba bean protein concentrate has lower carbon footprint and land use than animal protein sources. According to statistics, faba bean yields have been increasing recently (FAOSTAT, 2017), which means that the high yield scenario could be more realistic, when it would have similar carbon footprint as pea or soy protein concentrates (when emissions from land use are not expected for soy). Carbon footprint of faba bean concentrate per kg protein is lower than oat protein concentrate. The difference is mainly due to the higher protein content of faba beans compared to oats, and much lower energy requirement in processing.

Usually the primary production (cultivation and animal production) is the major hotspot of food products. In the production of FBC, 55-76% of the carbon footprint occurs in cultivation stage, depending on the high or low yield scenario. Similarly, in the production of wheat gluten, 46% occurs in cultivation stage and in production of soy protein concentrate 56%, but in the case of OPC cultivation accounts for only around 19%. In addition to the energy intensity of OPC production, this is also due to other more valuable side streams of OPC production to which most of the emissions from primary production are allocated. Thus, to reduce the environmental impacts of OPC production, the processing stage plays key role, while for FBC the cultivation stage is critical.

Table 9. Comparison of carbon footprint of different protein sources.

<b>Product</b>	<b>Carbon footprint, kg CO<sub>2</sub>-eq. per kg protein</b>	<b>Protein content, %</b>	<b>Carbon footprint, kg CO<sub>2</sub>-eq. per kg product</b>	<b>Reference</b>
Soy protein concentrate, soybeans from USA	<b>1.8-2.0</b>	65-72	1.3	Agri-footprint database 3.0
Faba bean protein concentrate (FBC)	<b>1.9-3.4</b>	60	1.3-2.3	This study
Wheat gluten (mass/economic allocation <sup>1</sup> )	<b>2.1-3.4</b>	75	1.6-2.6	Deng et al. 2013
Potato protein isolate	<b>2.2-2.6</b>	90	2.0-2.3	Agri-footprint database 3.0
Pea protein concentrate	<b>2.2</b>	55	1.3	Agri-footprint database 3.0
Wheat gluten meal	<b>2.8-4.1</b>	80	2.5-2.9	Agri-footprint database 3.0
Soy protein isolate (meta-analyses, 10 case studies)	<b>6.1</b>	87	5.3	Thrane et al. 2016
Oat protein concentrate (OPC)	<b>8.8</b>	37	3.3	This study
Soy protein concentrate, soybeans from Brazil*	<b>9.3-10.3</b>	65-72	6.7	Agri-footprint database 3.0
Whey protein (meta-analyses, 3 case studies)	<b>20.0</b>	80	16.0	Thrane et al. 2016
Whey protein concentrate	<b>20.5</b>	80	16.4	Flysjö et al. 2012
Skim milk powder (meta-analyses, 3 case studies)	<b>23.0</b>	35	8.1	Thrane et al. 2016
Skim milk powder	<b>25.6</b>	32	8.2	Flysjö et al. 2012
Whole milk powder	<b>34.3</b>	26	8.9	Flysjö et al. 2012

\* Includes land use change emissions, emissions would be 2.3 kg CO<sub>2</sub> eq kg<sup>-1</sup> protein without LUC

Opposite to climate change, oat protein concentrate has lower land use compared to faba bean concentrate, 8.6 versus 13-35 m<sup>2</sup>/kg protein. This is partly due to the fact that in OPC production process several co-products are produced and also due to the yield difference between oats and faba beans. Nijdam et al. (2012) have made a review on literature and provide following ranges for land use of different protein sources: pulses 10-43, milk 26-54, eggs 29-52, poultry 23-40 and pork 40-75 m<sup>2</sup>/kg protein. Thus, the land use requirement of OPC seems very low, whereas the land use of FBC falls exactly in the middle of the range for pulses.

<sup>1</sup> Mass allocation has been applied for allocating emission of multifunctional processing stage of wheat flour production and gluten separation to wheat flour and gluten. Generally in LCA, mass allocation is rarely a priority allocation method. In the study, economic allocation has been used as a sensitivity assessment. Generally in LCA, economic allocation is widely used when co-products have different purposes and properties.

The results of this study indicate that OPC would be a climate friendly alternative to animal protein sources. However, it should be remembered, that the environmental impacts of OPC are very sensitive to the prices of the different products of its production system when economic allocation is used.

#### *4.2. Methodological uncertainties*

The current study only included two impact categories, carbon footprint and land use. The mitigation of greenhouse gasses, which is one of the main aims in valorizing all fractions of oats and faba beans, could be proved even with considering the energy consumption. Also benefits to land use compared to animal protein sources could be proved. Thus, it is expected that protein fractionation is beneficial also on other related impact categories, such as eutrophication or water depletion, but naturally, further assessment should be conducted also on other environmental impact categories sensitive to energy consumption, such as acidification, particulate matter and photochemical ozone formation.

Both certainty and accuracy of the allocation basis influence the uncertainty of the result. Allocating the impacts between the co-products of the production systems using market prices requires finding reliable price data sources. Still, even when expecting large changes in the prices, as was illustrated using sensitivity analyses, the main conclusion regarding environmental benefits of OPC compared to animal protein sources is valid. The expected prices of oat oil and beta glucan are relatively high because of their use in cosmetics and health improving properties and relatively low market competition. Thus, these high prices and the relatively high yield of other co-products carry significant part of the overall environmental impacts and, as a result, the environmental performance of OPC is good.

In addition, if OPC production would be more common in the future, it could have significant influence on the prices of different fractions and thus, also environmental impacts, e.g. price of oat oil could decrease if the OPC production is increased and more oat oil would become available in market.

Data on energy consumption was from commercially operating plants, but OPC was produced as trial batch and is not commercially produced by the plants in question. Data provided showed that OPC production is currently very energy intensive, 5 MJ of energy is needed to produce one kg OPC. In case of faba bean concentrate, the energy consumption is 3 MJ per kg faba bean protein concentrate, and in common wheat milling only 0.4 MJ is used per kg flours (LCA Food database). Even though there are uncertainties in the energy consumption of novel processes, it is likely that in the future, the energy consumption would decrease and the electricity would be based on more renewable resources, instead of average European production mix used here. The production methods of new protein sources are still evolving, and the potential to reduce their environmental impacts is larger than in conventional animal production in Western countries (Smetana et al. 2015; Goldstein et al. 2017). Overall, to change the conclusion regarding environmental benefits of OPC compared to animal protein sources, the energy consumption should be more than double, which can be considered very unlikely if commercial production would take place. Rather, the opposite,



reduction in energy consumption could be expected, and in addition, less emission intensive electricity could be used.

As Yao & Masanet (2018) describe, there are major challenges in applying LCA to emerging technologies, mainly due to lack of reliable inventory data. Still, LCA together with sensitivity analyses of key parameters can provide meaningful insights to the magnitude of environmental impacts, hot spots and feasible improvement options. Despite the uncertainties and significant influence of key parameters on the results also in this study, the main conclusions of the study can be considered to be fairly robust, and it is clear where the future improvement measures should take place in the OPC and FBC production chains.

In the future, to ensure the robustness of the results, more environmental impact categories should be assessed, in particularly those which are affected by energy intensive processing stage. Furthermore, in this study the results were shown with two functional units: impact per kg product and per kg protein. Naturally, food products functional unit could be further detailed, considering differences in the digestibility of proteins and also other valuable nutrients. Particularly focus should be on those additional nutrients, which are important for protein products (Saarinen, et al. 2017). Protein concentrates are not ready-to-eat-foods as such, but they are used as ingredients in different food products, so comparison of OPC and FBC to foods rich in protein, like meats, is not adequate. Thus, the next step is to assess the environmental impacts of food products, e.g. bread and pasta, containing OPC and to compare the impacts with those of conventional food products. These food products can be used as part of a diet, where they can replace animal based proteins.

## **5. Conclusions**

There is a need to find sustainable alternative protein sources in order to meet the increasing protein demand of the growing population. The study shows that faba bean protein concentrate has a carbon footprint comparable to pea and soy protein concentrates and lower than oat protein concentrate. This is mainly due to the lower amount of processing steps needed to reach high protein content concentrates from faba beans resulting mainly from relatively lower level of lipids, which enables more energy-efficient dry separation, and high initial protein content of legumes compared to cereals. Moreover, legume cultivation requires very little nitrogen fertilizers due to symbiotic N<sub>2</sub> fixation.

However, land use is lower for oat protein concentrate compared to faba bean protein concentrate. Both faba bean protein concentrate and oat protein concentrate have lower carbon footprints compared to dairy proteins.

The major hotspots in the carbon footprints of the protein concentrates are different. For oat protein concentrate which is produced as a co-product from beta glucan production, energy consumption in processing is the hotspot, while for faba bean protein concentrate it is the cultivation stage.

It should be remembered, that the environmental impacts of oat protein concentrate are very sensitive to the prices of the different products of its production system: OPC, oat oil and beta

glucan. By using economic allocation of the environmental burden, these high value products take the major share of the emissions of the energy intensive processing. Still, despite the uncertainties, the conclusions of the study can be considered adequately robust.

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