Genetic analysis of clinical mastitis during different risk periods in Finnish Ayrshire

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Clinical mastitis (CM) records from first-lactation Finnish Ayrshire were analysed by linear and threshold models to assess the effects trait definition on estimates of genetic parameters and sire evaluation. The studied CM traits were defined by dividing lactation into six lactation stages (risk periods) by days (d) after calving: CM1 (-7 to 150 d), CM2 (-30 to 30 d), CM3 (-30 to 150 d), CM4 (31 to 150 d), CM5 (150 to 300 d), CM6 (-30 to 300 d). In addition, two data sets were prepared to assess the effect of excluding (Data I) or including (Data II) records of culled cows on estimates of genetic parameters. Sire variances and heritabilities were larger using Data II. When data from longer intervals was used heritabilities of CM were slightly higher than shorter intervals indicating that longer intervals tend to obscure genetic variation between animals. Of all CM traits, heritability of liability to CM with threshold-liability model was highest for CM2 (h²=0.083) implying that most of the genetic information on CM is in early lactation. In sire evaluation, a multitrait index calculated by combining CM2, CM4 and CM5 had the highest correlation with all other univariate CM trait evaluations. This and the magnitude (less than 1.0) of genetic correlations between CM traits suggest that a multitrait model considering CM from the different risk periods would be appropriate for CM sire evaluation.

Key-words: Dairy cattle, genetic parameters, clinical mastitis, Bayesian analysis

Introduction

Mastitis is one of the most common and costly diseases in dairy cattle. It is also the leading reason for premature disposal of Finnish Ayrshire cows. Apart from its effect on milk production, the high cost of mastitis is due to the cost of replacement heifers for culled cows. Besides, culled cows have incomplete lactation records in other words missing data (Little and Rubin 1987, Im et al. 1989). Including or excluding records of culled cows in
statistical analysis may cause data sampling biases. Moreover, the use of information about culled cows affects variance component estimates.

So far there are only few studies that considered CM during the different sampling periods and evaluated the effect of information from culled cows. From Swedish data, Koenen et al. (1994) reported significantly higher heritability estimates for mastitis when information on culled cows was included in the evaluation. Heringstad et al. (2001a) divided first lactation from 15 days before calving to 210 days into 8 periods to assess the effect of sampling period on the heritability and genetic associations between CM traits using a linear sire model. They found that heritability increased slightly with the increase in the sampling interval and the longest period analysed gave the highest heritability estimate. However, the sampling period covering 15 days before first calving to 30 days after calving captured a large part of the genetic variation.

Using Bayesian threshold models Chang et al. (2004) analysed the effect of different CM trait definitions on estimates of genetic parameters and sire evaluations. The estimates of heritability of liability to CM ranged from 0.06 to 0.14, depending on the model and stage of lactation. In multi-period models genetic correlations between periods were positive and ranged from 0.13 to 0.55. For Finnish Ayrshire, Pösö and Mäntysaari (1996) and Negussie et al. (2006) used linear sire models to analyse CM defined over single interval going from 7 days before calving to 150 days after calving. However, clinical mastitis occurs unevenly during the course of lactation (Koivula et al. 2005), and the use of single and relatively longer intervals may result in loss of information. Thus a clear understanding of the genetic variation associated with CM traits defined over the different risk periods is essential in deciding about the best measure of CM when estimating variance components or predicting breeding values. The objectives of this study were first: to assess the effects of CM trait definition on estimates of covariance components and associated genetic parameters using linear and threshold models; second: to evaluate the effects of information about culled cows on estimates of covariance components and associated parameters and third: to assess the genetic association between different CM traits and its effect on sire evaluation using linear sire models.

**Material and Methods**

The data came from more than 112 000 first lactation Finnish Ayrshire cows calving from 1992 to 2002. The cows were selected from herds that were actively participating in the health-recording scheme. Only first crop daughters (i.e. difference between the birth year of a daughter and that of her sire was less than 6 years) were included. Additional requirements were: a sire should have at least 20 daughters in the analysis, and the age at first calving should be between 23 and 30 months. The pedigree file had 1226 males, of which 979 sires had daughters in the data.

The whole first lactation was divided into six risk periods by days (d) after calving as follows: CM1 (-7 to 150 d), CM2 (-30 to 30 d), CM3 (-30 to 150 d), CM4 (31 to 150 d), CM5 (150 to 300 d), CM6 (-30 to 300 d). These intervals were selected with the aim to assess the genetic variation associated with the different risk periods and also with alternative definitions of CM for use in the genetic evaluation of mastitis. In addition, two data sets were prepared in order to assess the effects of records from cows that had been culled from the herd before the end of the risk period. In the first data (Data I), records of cows culled before the end of the risk period were not included. Whereas in the second data (Data II), records of cows culled before the end of the risk period were included if the cow had been culled for mastitis reasons. Within each interval, for each cow CM was defined as a binary trait with “0” or “1” based on whether or not a cow had at least one veterinary treatment or was culled from the herd because of mastitis. Descriptions of the data used are in Table 1.
Statistical model

Threshold and linear sire models were used for estimation of covariance components and associated genetic parameters. Heritabilities for the six CM traits were estimated with the univariate analyses while genetic correlations were estimated with bivariate and trivariate analyses involving two or three traits at a time, respectively.

Bayesian analysis

Threshold-liability model (Gianola 1982) was used for the analysis of CM traits. For the binary variable CM, the model uses the threshold concept (Dempster and Lerner 1950, Falconer 1981), where an underlying continuous variable, liability ($\lambda$) is assumed. Thus, the observed binary variable takes a value of 1 if $\lambda$ is larger than a fixed threshold and 0 otherwise. With the binary data, since the threshold and the residual variance are not identifiable these parameters were set to 0 and 1, respectively.

A Bayesian approach using Markov chain Monte Carlo (MCMC) methods (Korsgaard et al. 2003) was used for the genetic analysis of the different CM traits. In matrix notation the general linear model is:

$$\lambda = X\beta + X_h h + Z_s s + \epsilon$$

where

- $\lambda$ is unobserved liabilities to CM
- $\beta$ is vector of fixed effects
- $h$ is vector of herd-3-year of calving effects
- $s$ is vector of sire genetic effects
- $\epsilon$ is vector of residual effects

$X$, $X_h$, and $Z_s$ are incidence matrices that link appropriate effects in vectors $\beta$, $h$, and $s$ to the liabilities to CM.

The fixed effects in $\beta$ were age at first calving and calving year-calving season. Age at first calving (in months) had 8 classes in the first lactation. The calving season in Finland is divided into 3 seasons of four months starting in October and the calving year-calving season had 36 classes. The data from 1992–2002 was divided into four 3-year periods (1992–1994, 1995–1997, 1998–2000, 2000–2002) in order to make classes for the herd-3-year period of calving effect. There were 4355 herds and 14,384 herd-3-year of calving periods.

The herd-3-year effect was treated as random in order to avoid the extreme category problem (ECP). ECP arises when there is small number of records per class and the prevalence of CM is either high or low giving rise to the possibility that all observations fall in the same category, as either “1” or “0”. When this occurs, the maximum likelihood estimates of such effects, when treated as fixed, are not finite. Treating herd effects as random with

<table>
<thead>
<tr>
<th>Traits</th>
<th>Data I</th>
<th>Data II</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Days after calving)</td>
<td>No.</td>
<td>CM-%</td>
</tr>
<tr>
<td>CM1 (-7–150)</td>
<td>110722</td>
<td>8.41</td>
</tr>
<tr>
<td>CM2 (-30–30)</td>
<td>112329</td>
<td>6.28</td>
</tr>
<tr>
<td>CM3 (-30–150)</td>
<td>110667</td>
<td>8.50</td>
</tr>
<tr>
<td>CM4 (31–150)</td>
<td>110736</td>
<td>2.50</td>
</tr>
<tr>
<td>CM5 (151–300)</td>
<td>104955</td>
<td>2.50</td>
</tr>
<tr>
<td>CM6 (-30–300)</td>
<td>103075</td>
<td>10.5</td>
</tr>
</tbody>
</table>

1Data I: Records of cows culled before the end of the risk period were not included.
2Data II: Records of cows culled due to mastitis before the end of the risk period were included.
null mean can remove biases in inferences about variance components (Moreno et al. 1997).

**Prior distributions**

Independent uniform prior, U(-99, 99) was assigned to each of the elements of $\beta$. A multivariate normal prior distribution was assigned to the herd-3-year effect, $h \sim N(0, \sigma_h^2 I)$ and to the sire additive genetic effects, $s \sim N(0, \sigma_g^2 A)$. Here $\sigma_h^2$ and $\sigma_g^2$ are variances of herd-3-year and sire effects, respectively, and $A$ is the matrix of additive relationship between sires of order 1226. Inverted chi-square prior distributions were assumed for $\sigma_h^2$ and $\sigma_g^2$.

**Convergence diagnostics**

The Gibbs sampler was run as a single chain. Length of burn-in and of sampling period, and a measure of mixing rate were obtained following Raftery and Lewis (1992). The effective number of iterates was determined by the initial positive sequence estimation (Geyer 1992). Based on the above-mentioned diagnostics and on visual inspection of trace plots, a chain of 50,000 iterations was run, after a burn-in of 5000 rounds. All post burn-in samples were kept for inference about posterior distributions.

**Linear model analysis**

Inferences regarding estimates of covariance components from the univariate Bayesian analyses were compared with those obtained from the corresponding REML analyses. In the linear model, the binary nature of CM traits is ignored. The general structure of the model is as given above except that here $\lambda$ is a vector of observations of CM (i.e. “0” or “1”) on the observable scale. Variance components were estimated using the AI-REML procedure in the DMU package (Madsen and Jensen 2002).

**Sire evaluation**

Correlations between estimated breeding values (EBVs) of the different CM traits were used to assess the effect of CM trait definition on sire evaluation. Using Data II, six different univariate BLUP analyses were made to estimate breeding values for each of the six CM traits defined over the different risk periods. In addition, a multi-trait BLUP analysis was made fitting a multi-trait model for CM2, CM4, and CM5 traits and a multi-trait index was calculated by giving equal weight for all traits. A mixed-linear model was used for estimation of breeding values and effects included in the model were the same as those used for the estimation of covariance components. Mixed model equations were solved by preconditioned conjugate gradient (PCG) method with iteration on data technique using the MIX99 software (Strandén and Lidauer 1999).

**Results and discussion**

**Genetic parameters**

**Heritability**

Heritability estimates using the linear model for Data I and Data II ranged from 0.005 to 0.024 and from 0.005 to 0.029 (Table 2), respectively, depending on the stages of lactation or the risk period defined. In both Data I and Data II, the lowest heritability estimates were for CM4 and CM5, reflecting the low incidence of CM during this period. The highest estimates of heritability were for CM6 with estimates of 0.024 and 0.029 from Data I and II, respectively. Heritability estimates for CM1, CM2 and CM3 were all about the same i.e., 0.023 from Data I, and 0.024 from Data II, respectively. This agrees with results using the linear model analysis on records from the Danish Red cows, which involved different risk periods (Lund et al. 1999). They reported a slightly higher heritability estimate for the period from 10 days before to 300 days after calving compared to other risk periods (Lund et al. 1999). On the underlying liability scale, however, they found the highest heritability for the period from 10 days before to 50 days after calving. It seems that most of the genetic variation in CM is in early lactation. When heritability estimates of CM traits from
Data I and Data II were compared, estimates for CM1, CM2, CM3 from Data II were 5% higher than those from Data I. These were CM traits, which were defined over the risk periods covering the early lactation stages. However, for CM traits, which were defined over the later lactation stages, the difference in heritability estimates between the two data sets was higher. Generally, estimates of heritabilities from Data II were 14 to 25% higher than those from Data I (Table 2). This is not unexpected because in the late period, the intervals for CM traits were longer and most cows are culled from the herd due to mastitis and other reasons. This in effect has increased the amount of available information on CM and hence higher heritabilities. With the inclusion of information about culled cows, increased sire variance and higher heritability of CM has also been reported for first lactation Norwegian dairy cattle (Heringstad et al. 2001a). In analyses of dairy cattle field records, either including or excluding records of culled cows may in general cause data sampling biases, is properly discussed by Heringstad et al. (2003a) and Heringstad et al. (2003b).

Results from the threshold model analyses are in Figure 1 and Table 3. In Figure 1, plots of the marginal posterior densities of heritability of liability to CM traits from Data II are presented. Two groups in the heritability of liability to CM for the different risk periods were observed except for CM1, CM3 and CM6 (Table 3). CM1 is a trait that is currently used in the national genetic evaluation of CM in Finland. The trait CM2 is a slight extension of CM1 and was designed to include CM information starting from one month before calving instead of 7 days before calving, which is the case of CM1. On the other hand, CM6 was a trait defined to include information on CM covering the whole lactation starting from one month before calving to culling, 300 days after calving or second calving which ever occurred first. The result in Figure 1 on comparing these three CM traits (CM1, CM3 and CM6) shows no differences. Plots of the marginal posterior density of heritabilities were also somewhat similar. The reasons for this could be that the longer interval, i.e. CM6, ignored repeated cases of CM and resulted in a reduction of information on CM. Moreover, the genetic correlations between these traits were very high (~0.98). As a consequence, the longer interval did not show any major improvement over CM1 and CM3, which were defined over comparatively shorter intervals. Similarly, CM3, which was extended to include incidences of CM recorded much earlier before calving, was also not superior to a relatively shorter period of CM2. This could be an indication that by going much earlier before lactation there was not much CM...
information to be captured in Finnish Ayrshire, or the two are just measures of the same trait. This agrees with Heringstad et al. (1997) who reported that although inclusion of CM records before calving resulted in higher heritability estimates, there was no difference between the periods starting 10, 20, or 30 days prior to calving.

In the threshold model analysis, the inclusion of information from culled cows resulted in higher heritability of liability to all CM traits compared to the corresponding estimates from Data I (Table 3). The increase was quite constant and ranged from 11% for CM 5 to 17% for CM6. This agrees with the results from the linear model analysis. However, among all the CM traits, the heritability of liability to CM was highest for CM2 (-30 to 30) with estimates of 0.076 and 0.083 from Data I and II, respectively. This is despite the lower incidence of CM in this period compared to longer intervals and indicates that estimates on the underlying scale are not frequency dependant.

The higher estimates of heritability for CM2 (-30 to 30) indicate that most genetic variation is found in the early part of the lactation period (Fig 1 and Table 3). This could be due to the fact that about 50 to 60% of the incidences of CM in Finnish Ayrshire occur during the early period of lactation going from 30 days before calving to 30 days after calving (Koivula et al. 2005). The high heritability for this short interval compared to heritabilities of the longer intervals may also indicate that incidences of CM in the beginning of lactation, when the animals are facing high physiological demands, are related to the cow’s genetic resistance to CM (Lund et al. 1999). This also suggests that there is more variation between sires during this period, and CM2 can be taken as

![Fig. 1. Posterior distribution of heritability \(h^2 = 4\sigma^2_s / (\sigma_s^2 + 1)\) of liability to clinical mastitis (CM) traits from Data II: CM1 (-7 to 150 days, black line), CM2 (-30 to 30 days, thick gray line), CM3 (-30 to 150 days, dotted gray line), CM4 (31 to 150 days, dotted black line), CM5 (151 to 300 days, broken gray lines) and CM6 (-30 to 300 days, broken black lines).]

Table 3. Posterior means of sire variance (\(\sigma^2_s\)) and heritability (\(h^2\)) of liability to clinical mastitis (CM) traits from univariate threshold model analyses in first lactation Finnish Ayrshire from Data I\(^1\) and Data II\(^2\). Subscripts are posterior standard deviations for the estimates.

<table>
<thead>
<tr>
<th>Traits (Days after calving)</th>
<th>Data I</th>
<th>Data II</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(\sigma^2_s) (x100)</td>
<td>(h^2)</td>
</tr>
<tr>
<td>CM1 (-7–150)</td>
<td>1.629 (0.27)</td>
<td>0.064 (0.001)</td>
</tr>
<tr>
<td>CM2 (-30–30)</td>
<td>1.957 (0.32)</td>
<td>0.076 (0.012)</td>
</tr>
<tr>
<td>CM3 (-30–150)</td>
<td>1.674 (0.27)</td>
<td>0.065 (0.011)</td>
</tr>
<tr>
<td>CM4 (31–150)</td>
<td>0.863 (0.33)</td>
<td>0.034 (0.013)</td>
</tr>
<tr>
<td>CM5 (151–300)</td>
<td>1.194 (0.35)</td>
<td>0.042 (0.013)</td>
</tr>
<tr>
<td>CM6 (-30–300)</td>
<td>1.583 (0.24)</td>
<td>0.062 (0.010)</td>
</tr>
</tbody>
</table>

\(^1\)Data I: Records of cows culled before the end of the risk period were not included.
\(^2\)Data II: Records of cows culled due to mastitis before the end of the risk period were included.
a suitable measure of CM for sire evaluation. In addition, since economic losses due to mastitis are higher during the beginning of lactation, selection of sires based on low mastitis probabilities during the early period of lactation would be rational. On the other hand, the lower heritability estimates for the longer risk periods (such as CM6) compared to CM2 suggests loss of genetic information on CM when multiple cases of CM are ignored.

**Genetic correlation among CM traits**

Genetic correlations between CM traits were slightly higher for Data II than for Data I (Table 4). Estimates from Data I range from 0.42 to 0.99 while those from Data II range from 0.61 to 0.99 (Table 4). Inclusion of information on culled cows in Data II resulted in better data capturing more genetic information on CM. Svendsen and Heringstad (2006) recently reported a genetic correlation of 0.44 to 0.90 between three CM traits defined for the first lactation Norwegian Red cattle. This is in line with the results of the current study. However, the slightly higher correlation from our study is due to some of the overlapping traits included in the analyses. So far, there are only few studies that have considered the correlation between CM traits defined for the different risk periods. Using a linear sire model, Lund et al. (1999) found that the genetic correlation between clinical mastitis in early lactation (-10 to 50 days after first calving) versus other periods ranged from 0.68 to 0.89 in Danish Red cows. In contrast, Emanuelson et al. (1988) found a negative genetic correlation between the periods of -10 to 150 days from parturition and 150 days after calving in Swedish Red cattle. Heringstad et al. (2003b) found moderate to high genetic correlations using a longitudinal threshold model up to 270 days in milk for first-lactation Norwegian Red cattle. Generally, the moderate to high genetic correlations between CM traits found in our study suggest that susceptibility to CM varies during lactation, which is probably due to different genes operating at different parts of the lactation.

### Effect of clinical mastitis trait definition on sire evaluation

Correlations between breeding values for CM traits defined for the different risk periods are in Table 5. Overall, the result shows that correlations between sire evaluations range from medium to high (0.33 to 0.99). Compared to all other CM trait evaluations the multi-trait index calculated by combining CM information from CM2, CM4 and CM5 had the highest correlations with all the other CM trait evaluations. Therefore, our result suggests that a multi-trait index is more suitable for CM sire evaluation than a single-trait analysis, which by ignoring repeated cases of CM reduces the available genetic information on CM. In addition, such evaluation apart from making effective use of available information enables to address

<table>
<thead>
<tr>
<th>Traits (Days after calving)</th>
<th>CM1 (-7 to 150)</th>
<th>CM2 (-30 to 30)</th>
<th>CM3 (-30 to 150)</th>
<th>CM4 (31 to 150)</th>
<th>CM5 (151 to 300)</th>
<th>CM6 (-30 to 300)</th>
</tr>
</thead>
<tbody>
<tr>
<td>CM1 (-7 to 150)</td>
<td>0.97 ± 0.011</td>
<td>0.99 ± 0.001</td>
<td>0.95 ± 0.065</td>
<td>0.57 ± 0.116</td>
<td>0.98 ± 0.006</td>
<td></td>
</tr>
<tr>
<td>CM2 (-30 to 30)</td>
<td>0.97 ± 0.014</td>
<td>0.98 ± 0.009</td>
<td>0.87 ± 0.126</td>
<td>0.42 ± 0.128</td>
<td>0.93 ± 0.020</td>
<td></td>
</tr>
<tr>
<td>CM3 (-30 to 150)</td>
<td>0.99 ± 0.004</td>
<td>0.97 ± 0.012</td>
<td>0.94 ± 0.072</td>
<td>0.57 ± 0.115</td>
<td>0.98 ± 0.006</td>
<td></td>
</tr>
<tr>
<td>CM4 (31 to 150)</td>
<td>0.96 ± 0.051</td>
<td>0.84 ± 0.120</td>
<td>0.94 ± 0.061</td>
<td>0.91 ± 0.126</td>
<td>0.99 ± 0.066</td>
<td></td>
</tr>
<tr>
<td>CM5 (151 to 300)</td>
<td>0.75 ± 0.093</td>
<td>0.61 ± 0.114</td>
<td>0.75 ± 0.093</td>
<td>0.94 ± 0.088</td>
<td>0.68 ± 0.085</td>
<td></td>
</tr>
<tr>
<td>CM6 (-30 to 300)</td>
<td>0.98 ± 0.008</td>
<td>0.93 ± 0.024</td>
<td>0.98 ± 0.008</td>
<td>0.99 ± 0.057</td>
<td>0.83 ± 0.058</td>
<td></td>
</tr>
</tbody>
</table>

1Data I: Records of cows culled before the end of the risk period were not included.
2Data II: Records of cows culled due to mastitis before the end of the risk period were included.
the diversity of CM occurring at different stages of lactation due to different pathogens or other causative agents.

Conclusions

Including records of culled cows resulted in increased sire variance, heritability and slightly increased genetic correlations between the different CM traits, implying better data capturing more genetic information on CM. Results of the threshold analyses showed that the posterior mean heritability of liability to CM was highest for CM2 (-30 to 30 days after calving) and followed by CM6 (-30 to 300d), CM3 (-30 to 150 d) and CM1 (-7 to 150) with estimates of 0.083, 0.073, 0.073 and 0.072, respectively. The high heritability estimate for CM2 trait (-30 to 30 days after calving) indicates that most genetic information is in early lactation. Genetic correlations between CM traits defined over the different risk periods were less than one, implying that mastitis cannot be regarded as the same trait during lactation. Therefore, a multivariate model treating mastitis in different stages of lactation as different traits is appropriate for accurate genetic evaluation.

Acknowledgements. The authors are grateful to the Finnish Animal Breeding Association (Faba) for providing all the necessary information. The project has received funding from the Finnish Ministry of Agriculture and Forestry.

Table 5. Rank (Spearman, above diagonal) and product moment (Pearson, below diagonal) correlations between estimated breeding values from the different clinical mastitis (CM) traits and a multi-trait index calculated from a multitrait linear model analyses of three CM traits (CM2, CM4 and CM5) for sires with at least 50 daughters.

<table>
<thead>
<tr>
<th>Traits (Days from calving)</th>
<th>CM1 (-7–150)</th>
<th>CM2 (-30–30)</th>
<th>CM3 (-30–150)</th>
<th>CM4 (31–150)</th>
<th>CM5 (151–300)</th>
<th>CM6 (-30–300)</th>
<th>CM245 (MTindex)</th>
</tr>
</thead>
<tbody>
<tr>
<td>CM1 (-7 to 150)</td>
<td>0.89</td>
<td>0.99</td>
<td>0.66</td>
<td>0.27</td>
<td>0.95</td>
<td>0.96</td>
<td></td>
</tr>
<tr>
<td>CM2 (-30 to 30)</td>
<td>0.90</td>
<td>0.90</td>
<td>0.33</td>
<td>0.17</td>
<td>0.85</td>
<td>0.90</td>
<td></td>
</tr>
<tr>
<td>CM3 (-30 to 150)</td>
<td>0.99</td>
<td>0.90</td>
<td>0.65</td>
<td>0.26</td>
<td>0.96</td>
<td>0.96</td>
<td></td>
</tr>
<tr>
<td>CM4 (31 to 150)</td>
<td>0.68</td>
<td>0.34</td>
<td>0.68</td>
<td>0.34</td>
<td>0.45</td>
<td>0.62</td>
<td></td>
</tr>
<tr>
<td>CM5 (151 to 300)</td>
<td>0.26</td>
<td>0.16</td>
<td>0.26</td>
<td>0.33</td>
<td>0.45</td>
<td>0.42</td>
<td></td>
</tr>
<tr>
<td>CM6 (-30 to 300)</td>
<td>0.96</td>
<td>0.86</td>
<td>0.96</td>
<td>0.68</td>
<td>0.46</td>
<td>0.97</td>
<td></td>
</tr>
<tr>
<td>CM245 (MTindex)</td>
<td>0.96</td>
<td>0.91</td>
<td>0.97</td>
<td>0.63</td>
<td>0.43</td>
<td>0.98</td>
<td></td>
</tr>
</tbody>
</table>

References


